# Search Report from Ginger D. Roberts

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?show files;ds
File 350:Derwent WPIX 1963-2003/UD,UM &UP=200323
         (c) 2003 Thomson Derwent
File 344: Chinese Patents Abs Aug 1985-2003/Jan
         (c) 2003 European Patent Office
File 347: JAPIO Oct 1976-2002/Dec (Updated 030402)
         (c) 2003 JPO & JAPIO
File 371: French Patents 1961-2002/BOPI 200209
         (c) 2002 INPI. All rts. reserv.
Set
        Items
                Description
                COMMODITY OR COMMODITIES
S1
        17835
                WHEAT OR FEED OR GRAIN? ? OR COTTON OR RICE OR SOYBEANS OR
S2
       930422
             OILSEEDS OR PEANUTS OR TOBACCO OR MILK OR FARM() PRODUCT? ?
                SPECIALTY OR FORMULATED OR FORMULATION? OR (NEW OR IMPROVE-
S3
       118662
             D) (3N) (PROCESS? OR MANUFACTUR? OR TECHNOLOG? OR INVENTION? OR
             PATENT? ? OR INTELLECTUAL() PROPERTY)
                CHEMICAL? ? OR MATERIAL? ? OR PLASTIC? ? OR COMPOUND? ? OR
S4
      6187202
             SEALANT? ? OR ADHESIVE? ? OR AGRICULTURAL OR FOOD? ? OR ENERG-
                TRADE OR TRADING OR TRADED OR EXCHANGE OR EXCHANGES OR EXC-
       378006
S5
             HANGING OR AUCTION? ? OR DYNAMIC() MARKET? OR MARKETPLACE? OR -
             BUY? (5N) SELL? OR BID?
               E()S5
S6
S7
        16821
                (ONLINE? OR ON()LINE? OR CENTRAL OR SERVER? OR DATABASE? OR
              DATA()BASE? OR NETWORK? OR INTERNET? OR WEB OR WEBSITE? OR W-
             EBPAGE? OR WEB()SITE? OR WEB()PAGE? OR PORTAL OR ISP OR SERVI-
             CE() PROVIDER OR REAL() TIME? OR REALTIME?) (5N) S5
         4008
                CBOT OR CEA
S8
                (IDENTIT? OR NAME? OR DATA OR INFORMATION OR ID OR DELIVER-
S9
        18323
             Y? OR DELIVERIES? OR SHIPPING? OR CODE OR ADDRESS OR COMPANY -
             OR TRADER? OR BUYER? OR BIDDER? OR S5) (6N) (SECRET? OR PRIVATE?
              OR HIDDEN? OR CONFIDENTIAL?)
S10
       153990
                DELIVERY? OR SHIPPING OR MAILING
S11
           23
                S1 (5N) S3
                (S6 OR S7 OR S8) AND S11
S12
            3
S13
            0
                S11 AND S9
                S11 AND S5
S14
            3
            0
                S14 NOT S12
S15
S16
         1075
                S2 (5N) S3
S17
            0
                (S6 OR S7 OR S8) AND S16
S18
            9
                S1 AND S7 AND S9
S19
            9
                S18 NOT (S12 OR S14)
S20
           4
                SPECIALTY (5N) S1
S21
           28
                S7 AND S9 AND S10
                S21 NOT (S12 OR S14 OR S18:S20)
S22
           25
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## ?t12/4/all

12/4/2

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12/4/1
            (Item 1 from file: 350)
DIALOG(R) File 350: Derwent WPIX
(c) 2003 Thomson Derwent. All rts. reserv.
IM- *Image available*
AA- 2002-339743/200237
XR- <XRPX> N02-267170
TI- Operating method for networked asset recovery portal in which
    commodity is traded from seller to purchaser by portal for asset
    recovery maximization identifying by seller commodity to portal's
    operator and determining recovery strategy
PA- CONVERGE INC (CONV-N)
AU- <INVENTORS> ABHYANKER R; FREEBURGER P J
NC- 095
NP- 002
PN- WO 200219236 A1 20020307 WO 2001US41925 A 20010828 200237 B PN- AU 200187216 A 20020313 AU 200187216 A 20010828 200249
PN- AU 200187216 A 20020313 AU 200187216
AN- <LOCAL> WO 2001US41925 A 20010828; AU 200187216 A 20010828
AN- <PR> US 2000251953 P 20001207; US 2000229251 P 20000830
FD- WO 200219236 A1 G06F-017/60
    <DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR
    CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP
    KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PH PL PT RO RU
    SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
    <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS
    LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW
FD- AU 200187216 A G06F-017/60
                                   Based on patent WO 200219236
LA- WO 200219236 (E<PG> 53)
DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ
    DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ
    LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PH PL PT RO RU SD SE
    SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE;
    IT; KE; LS; LU; MC; MW; MZ; NL; OA; PT; SD; SE; SL; SZ; TR; TZ; UG; ZW
AB- <PN> WO 200219236 A1
AB- <NV> NOVELTY - The method involves a seller identifying a commodity to
    a portal's operator. An asset recovery strategy is determined for the
    commodity. The commodity is offered for sale on the portal in
    accordance with the asset recovery strategy.
        The seller is referred to the portal's operator by a third party
    that is selling another commodity to the seller.
AB- <BASIC> DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for a
    networked asset recovery portal coupled to multiple client terminals in
    which a commodity is traded from a seller to a purchaser.
        USE - For asset recovery maximization.
        ADVANTAGE - Creates new customers for components manufactures
    thereby shifting commodity ' based business to one with extremely
    large value added services offerings.
        DESCRIPTION OF DRAWING(S) - The figure shows a process for
    operating a networked asset recovery exchange .
        pp; 53 DwgNo 2/16
DE- <TITLE TERMS> OPERATE; METHOD; RECOVER; PORTAL; COMMODITY; PURCHASE;
    PORTAL; RECOVER; MAXIMISE; IDENTIFY; COMMODITY; PORTAL; OPERATE;
    DETERMINE; RECOVER; STRATEGY
DC- T01
IC- <MAIN> G06F-017/60
MC- <EPI> T01-N01A2E
FS- EPI
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(Item 2 from file: 350)

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DIALOG(R) File 350: Derwent WPIX
(c) 2003 Thomson Derwent. All rts. reserv.
IM- *Image available*
AA- 2001-408720/200143|
XR- <XRPX> N01-302452
TI- Effecting transactions in agriculture related marketplace for
    implementing commodity transactions within network environment by
    displaying offers to sell and offers to buy to buyers and
    sellers over communication network
PA- NET HORSEPOWER INC (NETH-N); ALLEN J L (ALLE-I); FRIEND D B (FRIE-I);
   FRIEND R K (FRIE-I); GREENELSH J M (GREE-I); ISHAM D J (ISHA-I)
AU- <INVENTORS> ALLEN J L; FRIEND D B; FRIEND R K; GREENELSH J M; ISHAM D J
NC- 083
NP- 003
PN- WO 200146774 A2 20010628 WO 2000US34890 A 20001221 200143 B PN- AU 200125894 A 20010703 AU 200125894 A 20001220 200164
PN- US 20010032165 A1 20011018 US 99171684
                                              A 19991221 200166
    <AN> US 2000747329 A 20001221
AN- <LOCAL> WO 2000US34890 A 20001221; AU 200125894 A 20001220; US 99171684
    A 19991221; US 2000747329 A 20001221
AN- <PR> US 99171684 P 19991221; US 2000747329 A 20001221
FD- WO 200146774 A2 G06F-000/00
    <DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU
    CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR
    KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE
    SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
FD- AU 200125894 A G06F-000/00
                                   Based on patent WO 200146774
FD- US 20010032165 A1 G06F-017/60
                                   Provisional application US 99171684
LA- WO 200146774 (E<PG> 64)
DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE
    DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC
    LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI
    SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
AB- <PN> WO 200146774 A2
AB- <NV> NOVELTY - A first multiplicity of offers to sell and second
    multiplicity of offers to buy may be displayed to the community of
   buyers and sellers over the communication network . At least one
    transaction is executed between a buyer and a seller with respect to a
    particular item, by a buyer's making an electronic indication on a
    respective posted offer to sell for that particular item.
AB- <BASIC> DETAILED DESCRIPTION - An INDEPENDENT CLAIM is included for:
        (a) a system for effecting efficient electronic transactions in
    agricultural marketplace
        USE - For electronic commodity trading.
        ADVANTAGE - Improved data processing based systems for
    implementing commodity transactions within a network environment.
    Smaller growers or vendors would be able to gain access to 'best
    practice' systems and current trading data, allowing them to command
    higher prices for their output. Allows buyers and sellers to lessen, or
    even eliminate, their reliance upon middlemen such as brokers, while
    further enabling sellers to reach a vastly larger market. Sellers would
    no longer be limited to selling their output to only as many entities
    as their sales staff is able to directly contact.
        DESCRIPTION OF DRAWING(S) - The drawing is a simplified block level
    diagram of the structural and functional organization of the activities
    supported by a trading platform in accordance with the practice and
    principles of the present invention.
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DE- <TITLE TERMS> EFFECT; TRANSACTION; AGRICULTURE; RELATED; IMPLEMENT; COMMODITY; TRANSACTION; NETWORK; ENVIRONMENT; DISPLAY; OFFER; SELL;

pp; 64 DwgNo 5/12

OFFER; BUY; BUY; COMMUNICATE; NETWORK

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DC- T01; T05
IC- <MAIN> G06F-000/00; G06F-017/60
MC- <EPI> T01-H07C5; T01-H07C5E; T01-H07C5S; T01-J05A1; T05-L01D
FS- EPI
              (Item 1 from file: 347)
 12/4/3
FN- DIALOG(R) File 347: JAPIO
CZ- (c) 2003 JPO & JAPIO. All rts. reserv.
TI- AUCTION MALL SYSTEM
PN- 2002-024622 -JP 2002024622 A-
PD- January 25, 2002 (20020125)
AU- OTSUBO MASATOSHI
PA- GOORANDO KK
AN- 2000-208423 -JP 2000208423-
AN- 2000-208423 -JP 2000208423-
AD- July 10, 2000 (20000710)
G06F-017/60
AB- PROBLEM TO BE SOLVED: To provide an auction mall system realizing an
       easy personal auction trade while securing the reliability and
       soundness of the auction and providing rich commodity variety by a
       real specialty shop not being a virtual shop only on the Internet alone. SOLUTION: The auction headquarter server 1 of an auction headquarter integrally controlling a plurality of internet auction sites performing auction of goods on the Web is connected to an
       auction franchises server 2 of a franchisee franchised by the
       auction headquarter for managing an auction via a network . The
       auction headquarter server is provided with Web contents, which
       has an auction mall homepage linked with Web contents of auction
        mounted on the auction franchises server for integrally
       introducing a plurality of auctions, and member database registering and controlling a basic attribute of an auction
       participant and an identification code given to each
       participant. COPYRIGHT: (C) 2002, JPO
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?t19/4/all

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(Item 1 from file: 350)
 19/4/1
DIALOG(R) File 350: Derwent WPIX
(c) 2003 Thomson Derwent. All rts. reserv.
IM- *Image available*
AA- 2003-164307/200316
TI- System and method for making payment by using bank account of payment
   postponement |
PA- RA B H (RABH-I)
AU- <INVENTORS> RA B H
NC- 001
NP- 001
PN- KR 2002071144 A 20020912 KR 200111097 A 20010305 200316 B
AN- <LOCAL> KR 200111097 A 20010305
AN- <PR> KR 200111097 A 20010305
LA- KR 2002071144(1)
AB- <PN> KR 2002071144 A
AB- <NV> NOVELTY - A payment system and method is provided to enable a
   buyer to place a purchase order and at the same time, make payment by
   using a transfer from a buyer's account to a seller's account, a
   payment postponement account from which the seller can not withdraw a
   money for a period so that it makes it easy for the buyer to request a
    repayment, a settlement or a payment suspension in a case that a
    delivered commodity or a service is abnormal.
AB- <BASIC> DETAILED DESCRIPTION - The method comprises steps of a buyer
    searching for a desired commodity in an electronic commerce site,
    transmitting a purchase order for a searched commodity to the
   electronic commerce site, and making payment for the purchased
    commodity (401), the site displaying order specifications and offering
    a web window for enabling the buyer to input the buyer data, delivery
    destination data and a payment method(402), a buyer terminal
    transmitting the buyer data, the destination data and seller data
    to an account payment server if the buyer selects an account payment
    method(403), the account payment server extracting the name of the site
    and an account number, and transmitting a web page for inputting a
           ID and an account secret number to the buyer
    terminal(404), the buyer inputting the buyer
                                                    ID and the account
    secret number on the web page, and the account payment server checking
    a balance of the account (405), the account payment server transferring
    the purchase price from the buyer account to the electronic commerce
    site account which is a payment postponement account (406), the account
    payment server transmitting an account transfer process result to the
    buyer terminal (407), the account payment server transmitting ordered
    data to the site (408), the buyer requesting a repayment to the account
    payment server if a delivered commodity is abnormal (410), the account
    payment server requesting detailed data to the site(411), the site
    transmitting the requested detailed data to the account payment
    server(412), the account payment server taking a repayment, a
    settlement or a payment suspension based on the collected data(413),
    and the account payment server transmitting a taken action to the buyer
    via an e-mail(414).
       pp; 1 DwgNo 1/10
DE- <TITLE TERMS> SYSTEM; METHOD; PAY; BANK; ACCOUNT; PAY; POSTPONE
DC- T01
IC- <MAIN> G06F-017/60
MC- <EPI> T01-N01A1; T01-N01A2A; T01-N02B1B
FS- EPI |
            (Item 2 from file: 350)
 19/4/2
DIALOG(R) File 350: Derwent WPIX
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(c) 2003 Thomson Derwent. All rts. reserv.
IM- *Image available*
AA- 2002-720821/200278
TI- Auction method for direct transaction with deferred payment of
   commission
PA- WAA WAA.COM CO LTD (WAAW-N)
AU- <INVENTORS> YANG S C
NC- 001 |-
NP- 001
PN- KR 2002041538 A 20020603 KR 200071179 A 20001128 200278 B
AN- <LOCAL> KR 200071179 A 20001128
AN- <PR> KR 200071179 A 20001128
LA- KR 2002041538(1)
AB- <PN> KR 2002041538 A
AB- <NV> NOVELTY - An auction method for a direct transaction with deferred
   payment of commission is provided to save a time and an effort of a
   member who uses an auction site by performing a direct transaction for
   processing a commodity and price between a seller and a buyer rapidly
   and performing a deferred payment of an auction commission.
AB- <BASIC> DETAILED DESCRIPTION - An auction system includes at least one
   user computers(12-1,..., 12-n), at least one affiliated
    concerns (14-1, \ldots, 14-n), and an auction site (21), and the elements
   have an environment capable of performing an interactive communication
    through the Internet(13). The user computers(12-1,..., 12-n) have a
   modem, connect to the Internet(13) using a telephone line or a private
    line, connect to the auction site(21) using a conventional web
   browser, and may use a service for selling or buying a commodity . The
    affiliated concerns (14-1,..., 14-n) supply at least one commodity
    cooperated with the auction site(21). The auction site(21) manages
    information of an individual member and the affiliated concern in the
    auction system and commodity information, and performs a smooth
    auction action between a commodity seller and a buyer. The auction
    site(21) is linked with a credit evaluation system(22) and checks
    personal information and a credit state of a user. If a normal user
    registers a commodity , the auction site(21) executes an auction. If a
     commodity is bid successfully, an auction result is informed to a
    commodity registrant. If an amount of commission levied in a cyber
    account per a predetermined period is more than a predetermined amount,
    the commission is charged in a mobile telephone company(24) or a credit
    card company (25).
       pp; 1 DwgNo 1/10|
DE- <TITLE TERMS> AUCTION; METHOD; DIRECT; TRANSACTION; DEFER; PAY;
    COMMISSION |
DC- T01
IC- <MAIN> G06F-017/60
MC- <EPI> T01-J05A
FS- EPI |
            (Item 3 from file: 350)
DIALOG(R) File 350: Derwent WPIX
(c) 2003 Thomson Derwent. All rts. reserv.
IM- *Image available*
AA- 2002-401025/200243
TI- Basket gift dealing system|
PA- KANG O H (KANG-I)
AU- <INVENTORS> KANG O H
NC- 001
NP- 001
PN- KR 2001112819 A 20011222 KR 200033089 A 20000615 200243 B
AN- <LOCAL> KR 200033089 A 20000615
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AN- <PR> KR 200033089 A 20000615
LA- KR 2001112819(1)
AB- <PN> KR 2001112819 A
AB- <NV> NOVELTY - A basket gift dealing system is provided to realize an
   optimal investment data, dealing time point, and mock investment by
   forming a dealing market of an incidental commodity .
AB- <BASIC> DETAILED DESCRIPTION - A financial agency and a private
   investor access customer terminal (13). A gift dealing system members
   access mock investment terminal(12) for a mock investment. A gift
   exchange terminal(11) is connected to a gift exchange . A gift dealing
    server (10) is connected to the private investor access customer
   terminal(13), the mock investment terminal(12), and the gift exchange
   terminal(11) and controls the private investor access customer
   terminal(13), the mock investment terminal(12), and the gift exchange
   terminal(11). The gift dealing server (10) includes a virtual gift
   dealing server(101) for performing 24 hours mock investment, an
   investment strategy server(102) for providing an optimal investment
   information and managing a gift fund, and a gift operating server (103)
   for controlling the virtual gift dealing server (101) and the investment
   strategy server (102).
       pp; 1 DwgNo 1/10|
DE- <TITLE TERMS> BASKET; GIFT; DEAL; SYSTEM
DC- T01
IC- <MAIN> G06F-017/60
MC- <EPI> T01-J05A
FS- EPI
            (Item 4 from file: 350)
19/4/4
DIALOG(R) File 350: Derwent WPIX
(c) 2003 Thomson Derwent. All rts. reserv.
IM- *Image available*
AA- 2002-205703/200226
XR- <XRAM> C02-062984
XR- <XRPX> N02-156672
TI- Computer network based system for conducting liquid exchange
   discreet segment of commodity goods market comprises market
   participants, network access device, computer network, and electronic
   product trading center
PA- EASTMAN CHEM CO (EACH ); BOWEN S A (BOWE-I); CALDWELL J K (CALD-I);
   LETTICH A R (LETT-I); TAMBAY R (TAMB-I)
AU- <INVENTORS> CALDWELL J; LETTICH A; TAMBAY R; BOWEN S A; CALDWELL J K;
   LETTICH A R
NC- 095
NP- 004
PN- WO 200177965 A2 20011018 WO 2001US11617 A 20010410 200226 B
PN- AU 200151500 A 20011023 AU 200151500 A 20010410 200226
PN- US 20020026403 A1 20020228 US 2000195778 P 20000410 200241
    <AN> US 2000202752 P 20000508
    <AN> US 2001829529 A 20010410
                 A1 20030122 EP 2001924888 A 20010410 200308
PN- EP 1277150
    <AN> WO 2001US11617 A 20010410
AN- <LOCAL> WO 2001US11617 A 20010410; AU 200151500 A 20010410; US
   2000195778 P 20000410; US 2000202752 P 20000508; US 2001829529 A
   20010410; EP 2001924888 A 20010410; WO 2001US11617 A 20010410
AN- <PR> US 2000202752 P 20000508; US 2000195778 P 20000410; US 2001829529
   A 20010410
FD- WO 200177965 A2 G06F-017/60
    <DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU
    CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR
   KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE
   SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
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- <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS
  LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW
- FD- AU 200151500 A G06F-017/60 Based on patent WO 200177965
- FD- US 20020026403 A1 G06F-017/60 Provisional application US 2000195778 Provisional application US 2000202752
- FD- EP 1277150 A1 G06F-017/60 Based on patent WO 200177965 <DS> (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI TR|
- LA- WO 200177965 (E<PG> 32); EP 1277150 (E)
- DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
- DS- <REGIONAL> AL; AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI; LT; LU; LV; MC; MK; NL; PT; RO; SE; SI; TR; EA; GH; GM; KE; LS; MW; MZ; OA; SD; SL; SZ; TZ; UG; ZW
- AB- <PN> WO 200177965 A2
- AB- <NV> NOVELTY Computer network based system comprises market participants in the market segment, a network access device accessible to the market participants, a computer network connected to the computer network, and an electronic product trading center connected to the computer network.
- AB- <BASIC> DETAILED DESCRIPTION An INDEPENDENT CLAIM is also included for a process for conducting a liquid exchange between several participants in a discreet segment of a **commodity** goods market, which comprises identifying a standard product in the segment, creating a standard contract for the liquid exchange of the standard product, and consummating the exchange between the participants.

USE - The system is used for facilitating transactions in a commodity marketplace, particularly for conducting a liquid exchange in a discreet segment of a commodity goods market, e.g. the plastics commodity market.

ADVANTAGE - The inventive system may be implemented as a business-to-business e-commerce site on the world wide web . The trading center provides increased liquidity for commodity goods, or provides a location for qualified buyers and sellers of commodity goods to congregate and consummate transactions, thus facilitating finding sellers during times of high demand for commodities and finding buyers during times of high supply of commodities . It allows commodities to be bought and sold, e.g. on a spot basis, on a continuous basis. It provides lower transaction costs, access to consistent quality vendors and products, reliability of supply, lower required inventory positions, better cash management, and long-term price stability through accurate business intelligence information. It also generates real-time performance metrics for suppliers and buyers in the industry; and collects, collates, and generates more accurate industry supply/demand information and trend information. It establishes, provides, and/or assists in the implementation of industry standards in areas including complaint systems, terms and conditions of sale, payment, financing terms, and shipping details. The combination of liquidity and transparent pricing information reduces the premiums associated with derivative instruments.

DESCRIPTION OF DRAWING(S) - The figure is a block diagram that provides an overview of the polymer **commodity** market segment supply chain.

pp; 32 DwgNo 2/5

AB- <TF> TECHNOLOGY FOCUS - POLYMERS - Preferred System: The market segment comprises a sub-segment of the commodity polymers segment of the plastics industry. The sub-segment comprises at least one of low-density polyethylene, a linear low-density polyethylene, a high-density polyethylene, a polypropylene, a polystyrene, an acrylonitrile-styrene-butadiene, and/or a polyethylene terephthalate sub-segment.

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COMPUTING AND CONTROL - Preferred System: The market participants
   comprise a purchaser and a seller. They further comprise a feedstock
   producer, an additive producer, a commodity polymer producer, a
   compounder, a converter, a broker, a recycler, a distributor, an end
   user, and a service provider. The network access device comprises at
    least one of a telephone, a cellular-capable device, a personal digital
   assistant, and a computer. The computer network comprises the
   world-wide web (Internet). The electronic product trading center
   comprises a database, an executant connected to the database, and a
   user interface connected to the executant. The database comprises a
   Microsoft SQLServer database, and/or a document repository comprising a
   Microsoft Index Server document repository. The executant comprises at
   least one of a Microsoft Active Server Pages (ASP) program, a java
    server pages (JSP) program, and a complied program executing under the
   control of an object request brokering application. The user interface
   comprises a document created using a document markup language. The
   system further comprises an electronic private
                                                     trading room, an
                          trading floor, an electronic store front, an
   electronic exchange
   electronic processor preference center, an electronic sample request
   and delivery center, and ancillary devices including a global logistics
   solutions support system, an electronic capacity exchange for product
   and capacity swaps, a professional development service, a financial
   service, and a comprehensive industry information service. The system
   may also include a mechanism to create a derivatives market
   accompanying the commodities market. Preferred Process: The process
   further comprises conducting an exchange in an electronic private
   trading room, conducting an exchange on electronic exchange trading
   floor, conducting an exchange in an electronic store front, and
   collecting a preference from the participants in an electronic
   processor preference center. It also comprises receiving a request for
   a sample in an electronic sample request and delivery center (SRDC),
   processing the request, and shipping the sample. It also includes
   providing ancillary services. The standard contract is a forward
   contract. The process further comprises creating a derivatives market
   accompanying the commodities market, which may involve creating a
   standard synthetic instrument.
DE- <TITLE TERMS> COMPUTER; NETWORK; BASED; SYSTEM; CONDUCTING; LIQUID;
   EXCHANGE; SEGMENT; COMMODITY; GOODS; MARKET; COMPRISE; MARKET;
   PARTICIPATING; NETWORK; ACCESS; DEVICE; COMPUTER; NETWORK; ELECTRONIC;
   PRODUCT; TRADE
DC- A35; T01
IC- <MAIN> G06F-017/60
MC- <CPI> All-C
MC- <EPI> T01-J05A
FS- CPI; EPI
19/4/5
            (Item 5 from file: 350)
DIALOG(R) File 350: Derwent WPIX
(c) 2003 Thomson Derwent. All rts. reserv.
AA- 2002-088180/200212
TI- System for processing on - line bid business capable of keeping
    secret at high reliability and certification |
PA- KOREA ELECTRONICS & TELECOM RES INST (KOEL-N)
AU- <INVENTORS> JU G J; YOON S H
NC- 001
NP- 001
PN- KR 2001076762 A 20010816 KR 20004117 A. 20000128 200212 B
AN- <LOCAL> KR 20004117 A 20000128
AN- <PR> KR 20004117 A 20000128
AB- <PN> KR 2001076762 A
AB- <NV> NOVELTY - A system for processing an on -line bid business
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capable of keeping a secret at high reliability and a certification
   is provided to secure a stability equal to a process of a handing bid
   business and to prevent a consultation of persons who wish to receive
   an order and reduce a cost and a time by testifying the third party of
    a definite commodity limitation, a secret maintenance of a bid
   document, a verifying of a reliability of a contractor, and a contract.
AB- <BASIC> DETAILED DESCRIPTION - In an on - line
                                                    bid business
   processing system including a web client and a bid
                                                           server , the
         server receives a purchase requesting document from a web client
   of an ordering person. In addition, the bid
                                                 server prepares a
   public notification document of a bid and publicizes the document, and
   receives a bid participating application form of the web client of
   the ordering person, and selects a successful bidder and issues a
   successful bid notifying document.
        DwgNo 0/0
DE- <TITLE TERMS> SYSTEM; PROCESS; LINE; BID; BUSINESS; CAPABLE; KEEP;
   SECRET; HIGH; RELIABILITY; CERTIFY
DC- T01
IC- <MAIN> G06F-017/60
MC- <EPI> T01-J05A
FS- EPI |
 19/4/6
           (Item 6 from file: 350)
DIALOG(R) File 350: Derwent WPIX
(c) 2003 Thomson Derwent. All rts. reserv.
IM- *Image available*
AA- 2001-459009/200150
XR- <XRPX> N01-340325
TI- Anonymous trading method of securities over crossing network ,
    involves generating synthetic profile and matching it with contra side
   profile for facilitating a trade
PA- OPTIMARK INC (OPTI-N)
AU- <INVENTORS> ATCHISON D; FABISZAK C M; LUPIEN W A; RICHARD J T; SMIGEL M
NC- 026
NP- 001
PN- EP 1118953
                 A2 20010725 EP 2001200200 A 20010119 200150 B
AN- <LOCAL> EP 2001200200 A 20010119
AN- <PR> US 2000489769 A 20000121
FD- EP 1118953
                 A2 G06F-017/60
    <DS> (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV
   MC MK NL PT RO SE SI TR
LA- EP 1118953 (E<PG> 70)
DS- <REGIONAL> AL; AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI;
   LT; LU; LV; MC; MK; NL; PT; RO; SE; SI; TR
AB- <PN> EP 1118953 A2
AB- <NV> NOVELTY - Buy and sell orders in the form of profiles including
   one price and quantity coordinate that associates a preference value,
    are received for a particular security. A synthetic profile generated
    from available quantities in the profiles at each price and the
   preference value, is matched with a contra side profile for
    facilitating a trade.
AB- <BASIC> USE - For anonymous trading of securities including assets such
    as futures, derivatives, options, bonds, currencies, commodities
    insurance contracts, etc., over anonymous and confidential crossing
    network that matches buy and sell orders of traders or
    institutional investors. Also for trading media time, airline tickets,
    concert tickets, electronic components or any contract for goods or
    services.
```

ADVANTAGE - Allows traders to input satisfaction density profile

and maximum size limit which characterizes trader's degree of satisfaction to trade at any and all prices and sizes, and that matches orders, hence each trader is assured that the overall outcome of process in terms of average size and price, has maximized the mutual satisfaction of all traders.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of anonymous matching system.

pp; 70 DwgNo 1/25

DE- <TITLE TERMS> TRADE; METHOD; SECURE; CROSS; NETWORK; GENERATE; SYNTHETIC; PROFILE; MATCH; CONTRA; SIDE; PROFILE; FACILITATE; TRADE

DC- T01

IC- <MAIN> G06F-017/60|

MC- <EPI> T01-J05A

FS- EPI

# 19/4/7 (Item 7 from file: 350) DIALOG(R) File 350:Derwent WPIX (c) 2003 Thomson Derwent. All rts. reserv.

IM- \*Image available\*

AA- 2000-686644/200067

XR- <XRPX> N00-507695

TI- Encrypted electronic envelope transmission for trade transaction confirmation, involves generating envelope with encrypted confirmation documents based on password and trade details data of subscriber

PA- POSTX CORP (POST-N)

AU- <INVENTORS> SAHASRABUDDHE U; VENKATRAMAN R C; WARTY A

NC- 090

NP- 003

- PN- WO 200046729 A2 20000810 WO 2000US3278 A 20000208 200067 B
- PN- AU 200034854 A 20000825 AU 200034854 A 20000208 200067
- PN- US 6477647 B1 20021105 US 99247117 A 19990208 200276
- AN- <LOCAL> WO 2000US3278 A 20000208; AU 200034854 A 20000208; US 99247117 A 19990208
- AN- <PR> US 99247117 A 19990208
- FD- WO 200046729 A2 G06F-017/60
  - <DS> (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE
    DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK
    LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL
    TJ TM TR TT UA UG UZ VN YU ZA ZW
    \*DS> (Regional): AT BE CH CY DE DK EA ES EL EB GB GH GM GP IE IT KE IS
  - <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS
    LU MC MW NL OA PT SD SE SL SZ TZ UG ZW
- FD- AU 200034854 A G06F-017/60 Based on patent WO 200046729
- LA- WO 200046729 (E<PG> 38)
- DS- <NATIONAL> AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU ZA ZW
- DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE; IT; KE; LS; LU; MC; MW; NL; OA; PT; SD; SE; SL; SZ; TZ; UG; ZW
- AB- <PN> WO 200046729 A2
- AB- <NV> NOVELTY Confirmation mailer subsystem (34) parses and imports the required data from the OTC database (28) to an envelope server database (36). Envelope server (38) generates a trade confirmation document using the data parsed and imported from the database (28). The confirmation document is included in a secure electronic envelope (40) which is transmitted to the subscriber station (22).
- AB- <BASIC> DETAILED DESCRIPTION Password and trade details data of the customer are stored in a OTC database (28). The electronic envelope (40) is transmitted to the subscriber station (22) over a public internet or private network. The password data is supplied to the database (28) of the OTC from the subscriber. The trade details data is

based on information of each trade performed by the subscriber. The electronic envelope comprises the e-mail address of the customer. An INDEPENDENT CLAIM is also included for electronic trade confirmation system. USE - For confirming trade transactions by transmitting secure electronic envelopes containing trade confirmations via the internet or private network to customer's e-mail address to buy and sell stocks, bonds, mutual funds or other commodities . ADVANTAGE - Since trade confirmations are transmitted via public internet or private network in a secure and confidential manner, the system is cost efficient and the postage and printing cost associated with mailing hardcopy confirmations is reduced. DESCRIPTION OF DRAWING(S) - The figure illustrates the hardware configuration of online trade confirmation system. Subscriber station (22) OTC database (28) Confirmation mailer subsystem (34) Envelope server database (36) Envelope server (38) Electronic envelope (40) pp; 38 DwgNo 2/20| DE- <TITLE TERMS> ENCRYPTION; ELECTRONIC; ENVELOPE; TRANSMISSION; TRADE; TRANSACTION; CONFIRM; GENERATE; ENVELOPE; ENCRYPTION; CONFIRM; DOCUMENT ; BASED; PASSWORD; TRADE; DETAIL; DATA; SUBSCRIBER DC- T01 IC- <MAIN> G06F-001/24; G06F-017/60 MC- <EPI> T01-J05A2; T01-J05B4P| FS- EPI 19/4/8 (Item 1 from file: 347) FN- DIALOG(R) File 347: JAPIO CZ- (c) 2003 JPO & JAPIO. All rts. reserv. TI- ELECTRONIC AUCTION SYSTEM, AUCTION SERVER , COMPUTER PROGRAM AND RECORD MEDIUM PN- 2002-074077 -JP 2002074077 A-PD- March 12, 2002 (20020312) AU- HONMA NORIKO PA- SGS KK AN- 2001-054791 -JP 20011054791-AN- 2001-054791 -JP 20011054791-AD- February 28, 2001 (20010228) G06F-017/60; G06F-013/00; G06F-015/00 AB- PROBLEM TO BE SOLVED: To provide an electronic auction site, capable of reducing the occasions of being swindled, and moreover to smoothly buy and sell commodities . SOLUTION: This electronic auction system includes an electronic auction server for operating a bulletin board for mediating buying and selling of commodities , a commodity provider for accessing to the auction server via a network and showing the commodity to be auctioned on the bulletin board, and a commodity purchaser for accessing to the auction server via the network to bid the commodity to be auctioned shown on the bulletin board and purchase it. The bulletin board is formed by a private bulletin board and a general bulletin board, only the bona fide member can participate in the private bulletin board, and only the commodity to be auctioned, for which buying and selling is not concluded in the private bulletin board is presented on the general bulletin board. COPYRIGHT: (C)2002, JPO (Item 2 from file: 347) 19/4/9 FN- DIALOG(R) File 347: JAPIO

CZ- (c) 2003 JPO & JAPIO. All rts. reserv.

- TI- INSURANCE AUCTION ON INTERNET
- PN- 2001-202473 -JP 2001202473 A-
- PD- July 27, 2001 (20010727)
- AU- YAMAMOTO RYUICHI
- PA- YAMAMOTO RYUICHI
- AN- 2000-046609 -JP 200046609-
- AN- 2000-046609 -JP 200046609-
- AD- January 19, 2000 (20000119)
- G06F-019/00; G06F-017/60
- AB- PROBLEM TO BE SOLVED: To establish an insurance mediation market on the Internet for enabling each of individuals (including juristic persons) present the insurance whose contents are freely proposed to some extent to auction in accordance with the individual risk circumstances and also enabling everyone to freely subscribe and accept the market to some extent, thereby to establish a market having higher reliance than the conventional commodity auction on the Internet and also to establish the similar markets about the student loans, debt and credit of money and loans. SOLUTION: In addition to a homepage technique of the conventional commodity auction, various methods are available including a credit treatment (a method that uses a specific pattern that can be applied only by a manager in a page and ensures the truth/personality of a part that is modified/ hidden by the pattern in the auction data). COPYRIGHT: (C) 2001, JPO

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(Item 1 from file: 347)
FN- DIALOG(R) File 347: JAPIO
CZ- (c) 2003 JPO & JAPIO. All rts. reserv.
TI - AUCTION MALL SYSTEM
PN- 2002-024622 -JP 2002024622 A-
PD- January 25, 2002 (20020125)
AU- OTSUBO MASATOSHI
PA- GOORANDO KK
AN- 2000-208423 -JP 2000208423-
AN- 2000-208423 -JP 2000208423-
AD- July 10, 2000 (20000710)
G06F-017/60
AB- PROBLEM TO BE SOLVED: To provide an auction mall system realizing an
      easy personal auction trade while securing the reliability and
      soundness of the auction and providing rich commodity variety by a
      real specialty shop not being a virtual shop only on the Internet
      alone. SOLUTION: The auction headquarter server 1 of an auction
      headquarter integrally controlling a plurality of internet auction
      sites performing auction of goods on the Web is connected to an
      auction franchises server 2 of a franchisee franchised by the auction
     headquarter for managing an auction via a network. The auction
     headquarter server is provided with Web contents, which has an
      auction mall homepage linked with Web contents of auction mounted on
      the auction franchises server for integrally introducing a plurality
      of auctions, and member database registering and controlling a basic
      attribute of an auction participant and an identification code given
      to each participant. COPYRIGHT: (C) 2002, JPO
 20/4/4
            (Item 2 from file: 347)
FN- DIALOG(R) File 347: JAPIO
CZ- (c) 2003 JPO & JAPIO. All rts. reserv.
TI- AUTOMATIC MAINTENANCE SYSTEM FOR COMMODITY MASTER
PN- 09-180056 -JP 9180056
PD- July 11, 1997 (19970711)
AU- YAITA SHIGEO; NISHIYAMA TAKEHIKO
PA- HITACHI LTD [000510] (A Japanese Company or Corporation), JP (Japan);
      HITACHI SOFTWARE ENG CO LTD [472485] (A Japanese Company or
      Corporation), JP (Japan)
AN- 07-336451 -JP 95336451-
AN- 07-336451 -JP 95336451-
AD- December 25, 1995 (19951225)
IC- -6- G07G-001/12; G06F-017/60; G07G-001/14
         (PRECISION INSTRUMENTS -- Business Machines); 45.4 (INFORMATION
      PROCESSING -- Computer Applications)
AB- PROBLEM TO BE SOLVED: To eliminate waste for new commodity
      information registration at a specialty store and speedily complete
      the commodity master by registering new commodity information
      from a specialty store which obtain the new commodity information
      early.
      SOLUTION: A specialty store A inputs new commodity information
      from an input part 3 by using the processing part 4 of a POS system
      (a) and registers it in a store commodity master and a new commodity
      information transmission file. The host computer 9 at a processing
      center generates a store-classified new commodity information
```

from an input part 3 by using the processing part 4 of a POS system (a) and registers it in a store commodity master and a new commodity information transmission file. The host computer 9 at a processing center generates a store-classified new commodity information transmission file from a commodity master and a POS information management master on the basis of the new commodity information sent from the specialty store A and sends it to respective specialty stores. The POS system of a specially store B to which the file is sent performs the registration to the commodity master on the basis of the store-classified new commodity information transmission file.

7

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?t22/4/3,4,5,8,9,22,
            (Item 3 from file: 350)
DIALOG(R) File 350: Derwent WPIX
(c) 2003 Thomson Derwent. All rts. reserv.
IM- *Image available*
AA- 2003-101083/200309
TI- Method and system for performing auction over internet |
PA- LIM S S (LIMS-I)
AU- <INVENTORS> LIM S S
NC- 001
NP- 001
PN- KR 2002063080 A 20020801 KR 20013832 A 20010126 200309 B
AN- <LOCAL> KR 20013832 A 20010126
AN- <PR> KR 20013832 A 20010126
LA- KR 2002063080(1)
AB- <PN> KR 2002063080 A
                                 auction method and system is provided to
AB- <NV> NOVELTY - An internet
    offer various types of auction services, such as a lottery auction,
    a multiple price auction , a hidden card auction , a discount
    auction, a chatting auction, a group auction as well as a general
    auction so that it can widen a range of a user selection, increase a
    user satisfaction and activate an internet
                                                auction .
AB- <BASIC> DETAILED DESCRIPTION - The method comprises steps of an auction
    seller registering an article for sale at an auction service site by
    inputting data needed for a registration(401), a bidder selecting a
    desired auction type while inputting necessary data(402), the auction
    service site closing the auction procedure (403, 404), the service site
    checking if the auction is successful, in a case of a successful
    auction, determining an auction winner and a winning price, noticing
    the auction result, and notifying the seller and the winner of the
    auction result via an e-mail(405, 406), the winner requesting a
    delivery of the article while remitting corresponding money to an
    account of the service site, and the service site notifying the
    remittance of the seller via an e-mail(407), the seller confirming the
    remittance and delivering the article to the winner, and the winner
    checking the delivered article (408), and the service site paying the
    article to the seller(409). In the lottery auction, the service site
    designates a bidder to a group, draws a lottery for determining one
    group, and determines as an auction winner the highest price bidder
    among the group. In the multiple price auction, the bidder offers a
    multi-stepped price, and the service site determines as an auction
    winner the highest price bidder at the last step. In the hidden
    card auction , the service site allows a bidder to participate in the
    auction once more, and enables the bidder to hide the bidding price and
    open the price at the last step. In the discount auction, the service
    site discounts the winning price by a certain ratio. In the discount
    auction, the service site enables the bidders to chat with other
    bidders. In the group auction, the service site designates a discount
    ratio for a bidder group according to the bid amount, and determines as
    an auction winner the highest price bidder among the group.
        pp; 1 DwgNo 1/10
DE- <TITLE TERMS> METHOD; SYSTEM; PERFORMANCE; AUCTION
DC- T01
IC- <MAIN> G06F-017/60|
MC- <EPI> T01-J05A
FS- EPI
            (Item 4 from file: 350)
DIALOG(R) File 350: Derwent WPIX
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(c) 2003 Thomson Derwent. All rts. reserv.

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IM- *Image available*
AA- 2003-063545/200306
XR- <XRPX> N03-049375
TI- Delivery service identification system for internet, transmits
   finalization data of delivery containing buyer authentication to host
   computer, when report password from buyer terminal matches with
   registration password
PA- NIPPON TSUUN KK (NITS-N)
NC- 001
NP- 001
PN- JP 2002288292 A 20021004 JP 200189047 A 20010327 200306 B
AN- <LOCAL> JP 200189047 A 20010327
AN- <PR> JP 200189047 A 20010327
LA- JP 2002288292(8)
AB- <PN> JP 2002288292 A
AB- <NV> NOVELTY - A bar code reader of a communication terminal (20) reads
   encoded invoice data and password data printed on the label (12) and
   displays invoice number and registration password on a display section.
   A controller produces and transmits finalization data of delivery
   containing buyer authentication, to a host computer (15), when a report
   password input from a buyer terminal (2) matches with a registration
   password.
AB- <BASIC> USE - For identifying delivery service of cargoes such as
   foreign currency, valuables, secrecy goods, private goods from
   seller to buyer through internet .
       ADVANTAGE - Enables identifying the recipient of a delivery cargo
   reliably and improves customer service remarkably from the seller.
       DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of
   the delivery service identification system. (Drawing includes
   non-English language text).
       Buyer terminal (2)
       Label (12)
       Host computer (15)
       Communication terminal (20)
       pp; 8 DwgNo 1/10|
DE- <TITLE TERMS> DELIVER; SERVICE; IDENTIFY; SYSTEM; TRANSMIT; DATA;
   DELIVER; CONTAIN; BUY; AUTHENTICITY; HOST; COMPUTER; REPORT; PASSWORD;
   BUY; TERMINAL; MATCH; REGISTER; PASSWORD
DC- T01; T04
IC- <MAIN> G06F-017/60
IC- <ADDITIONAL> G06K-001/12; G06K-007/00|
MC- <EPI> T01-J05A; T04-A02; T04-A03
FS- EPI | |
            (Item 5 from file: 350)
DIALOG(R) File 350: Derwent WPIX
(c) 2003 Thomson Derwent. All rts. reserv.
IM- *Image available*
AA- 2002-750747/200281
XR- <XRPX> N02-591218
TI- Secure transaction provision method for financial transactions over a
   network e.g. on - line banking or stock trading involves
    registration, user license and private details on server
PA- XYLECO INC (XYLE-N)
AU- <INVENTORS> MEDOFF M
NC- 099
NP- 001
PN- WO 200284565 A1 20021024 WO 2002US11745 A 20020412 200281 B
AN- <LOCAL> WO 2002US11745 A 20020412
AN- <PR> US 2001961799 A 20010924; US 2001283571 P 20010413
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- FD- WO 200284565 A1 G06F-017/60 <DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW LA- WO 200284565 (E<PG> 82) DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ
- DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW
- DS- <REGIONAL> AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE; IT; KE; LS; LU; MC; MW; MZ; NL; OA; PT; SD; SE; SL; SZ; TR; TZ; UG; ZM; ZW
- AB- <PN> WO 200284565 A1
- AB- <NV> NOVELTY Secure transaction method has server that provides registration (204) and user license agreement forms to assure that client/user is qualified under securities law. When an authorized data , server returns cookie to client client/user requests private device. Server transmits instructions to restrict unauthorized use of data e.g. printing. Secure data is encrypted.
- AB- <BASIC> DETAILED DESCRIPTION INDEPENDENT CLAIMS are also included for the following;
  - (1) a method of providing private information from a server over a network using user license agreement,
  - information from a server (2) a method of providing **private** over a network with password system,
  - (3) a method of providing private information from a server over the Internet,
  - (4) a method of providing private information from a server over the Internet using cookies,
  - (5) a method of processing a private securities transaction with offers on a server,
  - (6) a method of processing a private securities transaction with offers and purchase requests,
    - (7) a system for **private** information delivery over a network,
    - (8) a server in a private financial information network,
  - (9) a system for processing a private securities transaction over a network.

USE - For secure on-line financial transactions including brokerage and investment banking.

ADVANTAGE - Provides security for financial transactions over the Internet.

DESCRIPTION OF DRAWING(S) - The flow chart represents a secure transaction method.

Registration (204)

pp; 82 DwgNo 3/12

DE- <TITLE TERMS> SECURE; TRANSACTION; PROVISION; METHOD; FINANCIAL; TRANSACTION; NETWORK; LINE; BANK; STOCK; TRADE; REGISTER; USER; LICENCE ; PRIVATE; DETAIL; SERVE

DC- T01|

IC- <MAIN> G06F-017/60

IC- <ADDITIONAL> H04K-001/00; H04L-009/00|

MC- <EPI> T01-D01; T01-N01A1; T01-N01A2F; T01-N02A3C; T01-N02B1B

FS- EPI

(Item 8 from file: 350) DIALOG(R) File 350: Derwent WPIX (c) 2003 Thomson Derwent. All rts. reserv.

IM- \*Image available\*

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AA- 2002-234692/200229
TI- System and method of procuring and delivering article via internet
PA- IBDIC.COM (IBDI-N)
AU- <INVENTORS> KIM Y S
NC- 001
NP- 001
PN- KR 2001099579 A 20011109 KR 200023171 A 20000429 200229 B
AN- <LOCAL> KR 200023171 A 20000429
AN- <PR> KR 200023171 A 20000429
LA- KR 2001099579(1)
AB- <PN> KR 2001099579 A
AB- <NV> NOVELTY - An Internet procurement/ delivery service system and
   method is provided to save the cost in business to business commerce by
    efficiently carrying out inventory control, management accounting,
   purchasing management, material exchange via the Internet .
AB- <BASIC> DETAILED DESCRIPTION - A shopping mall user(200) inputs
   private
             information for order in a shopping mall(205) registered
    into an Internet logistics support system(210). Credit card information
    of the user is transferred into the support system(210) for
    confirmation. The support system(210) confirms credit card information
    via a payment gateway (215), and informs authentication to the shopping
    mall(205). The support system(210) receives an order from the shopping
    mall(205) due to the order of the user(200). The support system(210)
    transfers order information into a supplier(225). The supplier(225)
   sends an article together with delivery information into a delivery company(230). The delivery company(230) delivers the article to the
        pp; 1 DwgNo 1/10|
DE- <TITLE TERMS> SYSTEM; METHOD; DELIVER; ARTICLE
DC- T01
IC- <MAIN> G06F-017/60
MC- <EPI> T01-J05A
FS- EPI |
 22/4/9
            (Item 9 from file: 350)
DIALOG(R) File 350: Derwent WPIX
(c) 2003 Thomson Derwent. All rts. reserv.
IM- *Image available*
AA- 2002-195423/200225
XR- <XRPX> N02-148502
               trading method for value bearing instrument e.g. ticket,
    involves security server which stores customer identity password and
    a shared secret piece of information
PA- CMA BUSINESS CREDIT SERVICES (CMAB-N)
AU- <INVENTORS> OKAMOTO S A; SCHATTMAIER S M; VON KAENEL T; ZEILE M T
NC- 096
NP- 003
PN- WO 200173644 A2 20011004 WO 2001US10520 A 20010328 200225 B
PN- AU 200147921 A 20011008 AU 200147921 A 20010328 200225
                  A1 20030305 EP 2001920915 A 20010328 200319
PN- EP 1287461
    <AN> WO 2001US10520 A 20010328
AN- <LOCAL> WO 2001US10520 A 20010328; AU 200147921 A 20010328; EP
    2001920915 A 20010328; WO 2001US10520 A 20010328
AN- <PR> US 2000193171 P 20000329
FD- WO 200173644 A2 G06F-017/60
    <DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR
    CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP
    KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD
    SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
    <DS> (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS
    LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW
```

- FD- AU 200147921 A G06F-017/60 Based on patent WO 200173644
- LA- WO 200173644 (E<PG> 41); EP 1287461 (E)
- DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ
  DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ
  LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG
  SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
- DS- <REGIONAL> AL; AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI; LT; LU; LV; MC; MK; NL; PT; RO; SE; SI; TR; EA; GH; GM; KE; LS; MW; MZ; OA; SD; SL; SZ; TZ; UG; ZW
- AB- <PN> WO 200173644 A2
- AB- <NV> NOVELTY When customer orders value bearing instrument e.g. ticket, travellers checks, encrypted data is sent to secure transaction server which holds customer identification, password and shared secret piece of information. These data are used to authenticate customer's order.
- AB- <BASIC> DETAILED DESCRIPTION The system determines if a user elected to have a ticket delivered by E-mail, step 110 and the packet is delivered by E-mail if so requested, step 112, otherwise it is determined if a reserve option was selected, step 114, before the ticket is provided to a reservation system, step 116. The system determines if the user selects a generate-now option, step 118 and, if selected, the ticket is transmitted to the user computer, step 120 via a network.

AN INDEPENDENT CLAIM is included for a computer system.

USE - Generating a value-bearing instrument such as a coupon or check etc.

ADVANTAGE - Secure **delivery** , exchange, forwarding and managing of instruments.

DESCRIPTION OF DRAWING(S) - The drawing shows the process. pp; 41 DwgNo 1/8

DE- <TITLE TERMS> LINE; TRADE; METHOD; VALUE; BEARING; INSTRUMENT; TICKET; SECURE; SERVE; STORAGE; CUSTOMER; IDENTIFY; PASSWORD; SHARE; SECRET;

DC- T01; W01

IC- <MAIN> G06F-017/60|

PIECE; INFORMATION

MC- <EPI> T01-D01; T01-N01A2; T01-N02A3C; T01-N02B1B; W01-A05B

FS- EPI |

# 22/4/22 (Item 1 from file: 347)

FN- DIALOG(R) File 347: JAPIO

CZ- (c) 2003 JPO & JAPIO. All rts. reserv.

TI- METHOD AND SYSTEM OF BUYING AND SELLING WITH USING MEANING INFORMATION NETWORK

PN- 2002-183477 -JP 2002183477 A-

PD- June 28, 2002 (20020628)

AU- SHIBATA HIROSHI; HOSHIAI TAKANARI; SAKAI TAKAMICHI; KOYANAGI KEIICHI

PA- NIPPON TELEGR & TELEPH CORP (NTT)

AN- 2000-380082 -JP 2000380082-

AN- 2000-380082 -JP 2000380082-

AD- December 14, 2000 (20001214)

G06F-017/60; G06F-017/30

AB- PROBLEM TO BE SOLVED: To improve real time property of delivery of buying and selling request information, and safety relating to confidential information of a candidate for buyer and a seller. SOLUTION: A sending terminal sending data, and a meaning information network comprising a receiving terminal provided with a filter defined by a type and acquisition conditions to selectively receive the data are used in this buying and selling method. The method includes a first step that the sending terminal sends buying

# Search Report from Ginger D. Roberts

request which designated conditions are given to as the data, a second step that the receiving terminal receiving the buying request sent as the data returns a selling request which designated conditions are given to, and a third step that the sending terminal receiving the selling request returned in the second step indicates the received selling request. COPYRIGHT: (C) 2002, JPO

April 8, 2003 6 14:21

```
?show files;ds
File 625: American Banker Publications 1981-2003/Apr 08
         (c) 2003 American Banker
File 268: Banking Info Source 1981-2003/Mar W5
         (c) 2003 ProQuest Info&Learning
File 626:Bond Buyer Full Text 1981-2003/Apr 08
         (c) 2003 Bond Buyer
File 267: Finance & Banking Newsletters 2003/Apr 07
         (c) 2003 The Dialog Corp.
Set
        Items
                Description
                COMMODITY OR COMMODITIES
        11756
S1
                WHEAT OR FEED OR GRAIN? ? OR COTTON OR RICE OR SOYBEANS OR
S2
        19142
             OILSEEDS OR PEANUTS OR TOBACCO OR MILK OR FARM() PRODUCT? ?
S3
                SPECIALTY OR FORMULATED OR FORMULATION? OR (NEW OR IMPROVE-
             D) (3N) (PROCESS? OR MANUFACTUR? OR TECHNOLOG? OR INVENTION? OR
             PATENT? ? OR INTELLECTUAL() PROPERTY)
                CHEMICAL? ? OR MATERIAL? ? OR PLASTIC? ? OR COMPOUND? ? OR
S4
             SEALANT? ? OR ADHESIVE? ? OR AGRICULTURAL OR FOOD? ? OR ENERG-
S5
       270417
                TRADE OR TRADING OR TRADED OR EXCHANGE OR EXCHANGES OR EXC-
             HANGING OR AUCTION? ? OR DYNAMIC()MARKET? OR MARKETPLACE? OR -
             BUY? (5N) SELL? OR BID?
         2018
S6
                E()S5
                (ONLINE? OR ON()LINE? OR CENTRAL OR SERVER? OR DATABASE? OR
S7
        11243
              DATA()BASE? OR NETWORK? OR INTERNET? OR WEB OR WEBSITE? OR W-
             EBPAGE? OR WEB()SITE? OR WEB()PAGE? OR PORTAL OR ISP OR SERVI-
             CE()PROVIDER OR REAL()TIME? OR REALTIME?)(5N)S5
                CBOT OR CEA
S8
S9
           25
                S1(5N)S3
           24
                RD (unique items)
S10
S11
            1
                (S6 OR S7 OR S8) AND S10
S12
          572
                S1(5N)S4
S13
           20
                S7 AND S12
                (IDENTIT? OR NAME? OR DATA OR INFORMATION OR ID OR DELIVER-
S14
        19886
             Y? OR DELIVERIES? OR SHIPPING? OR CODE OR ADDRESS OR COMPANY -
             OR TRADER? OR BUYER? OR BIDDER? OR S5) (6N) (SECRET? OR PRIVATE?
              OR HIDDEN? OR CONFIDENTIAL?)
        40872
                DELIVERY? OR SHIPPING OR MAILING
S15
                S7 (3S) S14 (3S) S15
S16
           64
            1
                S1(3S)S16
S17
S18
           48
                S16 NOT PY>2000
            4
                S13 AND PY>2000
S19
S20
           52
                S18 OR S19
S21
           50
                RD (unique items)
```

?t17/3,k/all

17/3,K/1 (Item 1 from file: 625)
DIALOG(R)File 625:American Banker Publications
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# 0034265

Track Data Will Develop Software for E.F. Hutton
American Banker - December 6, 1984, Thursday; Pg. 6
WORD COUNT: 117

#### TEXT:

- ... Hutton & Co. announced this week that Track Data Corp. will develop the software for satellite **delivery** of an advanced stock quotation service to the more than 400 Hutton offices around the...
- ... plant will consist of Data General computers to process input from the stock, option, and commodities exchanges. Real time prices then will be broadcast over Hutton's private satellite network.

Track Data , which is based in New York, is a supplier of real time stock market data... ?

?t20/3, k/all

## 20/3,K/1 (Item 1 from file: 625)

DIALOG(R)File 625:American Banker Publications (c) 2003 American Banker. All rts. reserv.

#### 0246527

# High-Net-Worth Clients Go Self-Service Route

American Banker - March 9, 2000; Pg. 6A; Vol. 165, No. 47

DOCUMENT TYPE: Journal LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1,863

#### BYLINE:

By JACQUELINE S. GOLD

#### ጥድሄጥ

...12,000 customers actively using its Internet service, takes a different approach. The Chicago-based **company** introduced Online **Private** Passport last

September and made it available to all its customers, regardless of annual income...

... of their relationship to

Northern Trust. Our next wave of transaction capabilities will be an on - line trading product."

Private banking clients cannot currently directly e-mail their
private

banker, but Ms. Carey said Northern...

# 20/3,K/2 (Item 2 from file: 625)

DIALOG(R) File 625: American Banker Publications (c) 2003 American Banker. All rts. reserv.

# 0244384

## Blue-Chip Financial Firms Pick AT&T Networking

American Banker - December 15, 1999; Pg. 12; Vol. 164, No. 239

DOCUMENT TYPE: Journal LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 496

## BYLINE:

By CAROL POWER

## TEXT:

...new

president of business services, said last week during the Bank Administration Institute's Retail **Delivery** '99 conference.

Mr. Roscitt called financial companies "big devourers of technology," a fact reflected in...

## ...more network

efficiency in a project that was completed six months ago. Merrill wanted its **private** -line **data** infrastructure to be replaced by frame relay before

it introduced **Internet trading** for full-service customers. It also wished

to offer data and voice networks and manage...

# 20/3,K/3 (Item 3 from file: 625)

DIALOG(R) File 625: American Banker Publications

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## 0230837

Trans Union Creates Digital Certificate With Verisign's Help

American Banker - January 21, 1999; Pg. 17; Vol. 164, No. 13

DOCUMENT TYPE: Journal LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 422

## BYLINE:

By JEFFREY KUTLER

#### TEXT:

...certificates that authenticate parties to an on-line communication or transaction.

Other users include Automotive Network Exchange, an electronic data interchange system for auto makers and their suppliers, and the legal publisher West Group.

In Trans Union's case, the community of interest revolves around home banking, on - line auction companies and brokerages, document delivery

Internet e-mail, Web retailing, and lending. Trans Union plans to
distribute digital certificates to consumers...

...be marketed to the community, which would, in

theory, be confident that transactions and personal data would be private

and free from tampering.

"We are able to offer Internet businesses the ability to verify...

## 20/3,K/4 (Item 4 from file: 625)

DIALOG(R) File 625: American Banker Publications (c) 2003 American Banker. All rts. reserv.

# 0200901

\* On-Line Banking: UPS Hopes Electronic Security System Delivers

American Banker - June 19, 1997; Pg. 15; Vol. 162, No. 117

DOCUMENT TYPE: Journal LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 848

## BYLINE:

By JEFFREY KUTLER

## TEXT:

...and facsimiles.

The UPS tie, hailed by NetDox as a breakthrough, indicates how physical mail- delivery concepts are crossing into the virtual world-something the U.S. Postal Service also has...

...doing business, and

UPS is part of the process to bring to the Internet a  $\ensuremath{\operatorname{\textbf{delivery}}}$  service with

the same level of trust, reliability, and security that our customers expect in other **delivery** services," said Mark Rhoney, UPS vice president of

strategic marketing. "By engaging the services of companies such as NetDox, which are developing new standards for Internet document **delivery**, we will

provide secure, instantaneous delivery options."

Although the Internet shows great commercial promise because of low cost and easy accessibility...

...digital certificates and public key cryptography with a centralized tracking system and notarized digital document delivery ,

NetDox

ePackage provides the assurance businesses need to use the Internet as a medium for the exchange of confidential information," Mr. Haynes said.

Mr. Haynes and vice chairman Thomas J. Friedman, his NetDox co-founder

...NetDox would maintain comprehensive information about the transmissions and would verify message status, content, and delivery with digital "hashing" and other cryptographic techniques to ensure documents are not compromised.

"There will...

20/3,K/5 (Item 5 from file: 625)

DIALOG(R) File 625: American Banker Publications (c) 2003 American Banker. All rts. reserv.

0098876

Summary of Fed Proposal on Overdrafts

American Banker - June 2, 1989; Pg. 4; Vol. 154, No. 107 WORD COUNT: 5,032

TEXT:

...entry overdrafts underscore the

importance of introducing a policy framework to guide the development of
 private sector book-entry delivery -against-payments systems, in a
 fashion

which promotes prudent risk controls and is sensitive to...

...and incentives implicit in

the new risk capital guidelines to develop arrangements to supplant physical **delivery** of definitive instruments with electronic transfers and to reduce the number of transactions and the...

...risk-reduction program, the staff proposes that the board publish a policy statement applicable to **private** book-entry **delivery** -against payment systems settling on the same day over Fed Wire.

The policy statement establishes...

 $\dots$ S. Treasury and federal agency securities and FXNET amd the Options Clearing Corporation for foreign **exchange** .

The G-10 central banks earlier this year completed a study of interbank netting arrangements and established an ad...

20/3,K/6 (Item 6 from file: 625)

DIALOG(R) File 625: American Banker Publications (c) 2003 American Banker. All rts. reserv.

0034265

Track Data Will Develop Software for E.F. Hutton American Banker - December 6, 1984, Thursday; Pg. 6

WORD COUNT: 117

TEXT:

... Hutton & Co. announced this week that Track Data Corp. will develop the software for satellite **delivery** of an advanced stock quotation service to the more than 400 Hutton offices around the...

... will consist of Data General computers to process input from the stock, option, and commodities **exchanges**. **Real time** prices then will be broadcast over Hutton's **private** satellite network.

Track Data , which is based in New York, is a supplier of real time stock market data...

20/3,K/7 (Item 1 from file: 268)
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00395830 68907346 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Tiptoeing toward B2B

Fraser, Hugh

Institutional Investor, v35, n2, p87-94, Feb 2001 DOCUMENT TYPE: Periodical; Feature LANGUAGE: English RECORD TYPE: Fulltext WORD COUNT: 4,484

# (USE FORMAT 7 OR 9 FOR FULLTEXT)

- ... its ubiquity and transactional power. A hospital purchasing officer in Australia, say, can go to **onlineassetexchange** .com to **bid** for surplus equipment being unloaded by a medical center in Alabama. The Net's huge...
- ...market for bandwidth, or transmission capacity, is approaching \$1 trillion in annual turnover, but the **online trade** is just getting started. Market research and consulting firm Forrester Research of Cambridge, Massachusetts, estimates...
- ...exclusive financing source, serving 6,000 daily users. On January 4 San Diego, California-based Online Asset Exchange struck a deal with First International Bancorp, a Connecticut-based business lender, to enable online...support services.

For all of these operators, the priorities were to lower purchasing costs through **auctions** or other **online** negotiation methods and to improve the supply chain by disintermediating middlemen, strengthening communications among buyers...

- ...TruExchange's first customer, Canadian B2B semiconductor brokerage Dynasty Components, which plans to start an **online** semiconductor **exchange** sometime in the first quarter, is a natural. That, Fertile explains, is because semiconductor suppliers...
- ...Louise Kitchen, a trader in Enron's London office, initiated a project to move gas **trading** operations onto the **Internet** (see box, page 94). That became a platform for Enron to handle other core trading...many trades can a trader make in a minute on the fax and phone? When **trading** goes **online** the number of deals multiplies," says Garner. "Our model is different from B2B matching [of...
- ...it opened Clickpaper.com, coming into direct competition with the beleaguered PaperExchange. Both offer reverse auctions and online negotiations, but Enron alone offers a way for customers to negotiate deals and hedge them...
- ...U.S. and in London, providing an infrastructure for switching bandwidth capacity between carriers that **buy** and **sell network** capacity.

As a big-impact player, Enron commands attention, but the company wasn't the...

- ...De Ferranti cobbled the first Band-X Web site together on his home computer. Today buyers and sellers physically connect their networks through the Band-X routed exchange. Last year more than \$80 million worth of bandwidth changed hands through its reverse auction...
- ...of options to fill gaps in their global networks. When they entered Band-X's online exchange in December, for example, the telecoms could

choose among such "switched minutes specials" as 42...

...risk may be greater, but so are techniques for managing it in large and liquid online marketplaces . Enron offers an evaluation of trade credit risk expressed as an interest rate on 10...says.

Other credit risk innovations could come out of the emerging-markets sector. DealCommodity, an online exchange in London, must cope with the fact that cotton producers in the former Soviet Union...

...our clients to produce documentation to support the debt. In an e-commerce scenario, the Web site is a trading place and the goods will be going from a third-party supplier to a third...

...she first told Enron Corp.'s senior traders and technical advisers about her proposal for **online** natural-gas **trading**. "We had a very nice meeting where they all said, \Oh yeah, that would be...

...between its November 1999 start and the end of 2000. About half the company's trading is now done online.

Houston, Texas-based Enron, a oncestaid gas-pipeline operator

functioning largely in regulated energy businesses...

...majority of its \$1.3 billion of profit from continuing operations came from wholesale energy trading (both online and traditional), as well as other services that have taken it well beyond its original...

...expanding internationally and moving into power plant construction. But their breakthrough was the trading of energyrelated commodities . Skilling set the tone with his idea in 1988 to create a marketmaking "gas bank...site goes one step further because it puts the whole center of our business - wholesale trading - online ." - H.F.

Hides and seeking fortunes

On any given day a million head of cattle...

#### 20/3,K/8 (Item 2 from file: 268) DIALOG(R) File 268: Banking Info Source

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00392905 65420054 (USE FORMAT 7 OR 9 FOR FULLTEXT)

# Web-enabling the CMBS world

Bergsman, Steve

Mortgage Banking, v61, n3, p74-80, Dec 2000 DOCUMENT TYPE: Periodical; Feature LANGUAGE: English RECORD TYPE: Fulltext WORD COUNT: 3,480

(USE FORMAT 7 OR 9 FOR FULLTEXT)

yield tables and access data about the pools from an ongoing credit-balance perspective.

"Our delivery mechanism to date for the data and analytics, from a secondary trading perspective, has been...

...secondary market, Gottlieb adds. The first is the perceived obstacle of security. With an ASP, confidential information is not stored on a company 's home computer, and that makes some people nervous. A lot of companies still prefer...

...work is done by each issuer's shop."

Gottlieb strongly suggests that secondary-market CMBS trading will eventually happen over the Internet and, in fact, a number of players have contacted Trepp about using its "engine" in...

20/3,K/9 (Item 3 from file: 268)

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00387361 55159401 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Conference report: 25th Annual Conference of IOSCO

Forster, Richard

International Financial Law Review, v19, n6, p6, Jun 2000 DOCUMENT TYPE:

Periodical; News LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 975

# (USE FORMAT 7 OR 9 FOR FULLTEXT)

... package we offer to investors is worth paying for". Additionally the trend towards demutuaization and private ownership of exchanges, as in host country Australia, means the motivation for those who run exchanges must be...

...regulators, the protection of investors, could not be affected by the new systems. "The regulatory delivery mechanism may change but the underlying value system remains the same," says Davies. A regulator...

...and tested objectives, and market confidence and consumerprotection are not changed by new systems of **delivery** and trading.

Such systems, along with changes to ownership (through

demutualization), have been taken on...

...of electronic systems including electronic crossing networks (ECNs) raised complex governance issues for the US **exchanges** with their **network** ofthousands of owners. Levitt says that the US system, which lacks the central electronic orderbooks...

## 20/3,K/10 (Item 4 from file: 268)

DIALOG(R) File 268: Banking Info Source

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00381028 50829825 (USE FORMAT 7 OR 9 FOR FULLTEXT)

# CUNA eSolutions helps CUs compete online

Peterson, Ann Hayes

Credit Union Magazine, v66, n3, p42-43, Mar 2000 DOCUMENT TYPE: Periodical; Feature LANGUAGE: English RECORD TYPE: Fulltext WORD COUNT: 830

## (USE FORMAT 7 OR 9 FOR FULLTEXT)

- ... says. With Aquis IP, credit unions can use the speed and security of a virtual private network to exchange data with sponsors, directors, or members at the cost of regular Internet access.
  - \* Digital certificates, which...

...shopping options, smart cards, a system-wide VPN, and personalization tools that let members request **delivery** of individualized data via any media.

For more information on CUNA eSolutions products and services...

## 20/3,K/11 (Item 5 from file: 268)

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00357760 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Protecting customer confidentiality

Riccobono, Richard M

National Mortgage News, v23, n16, p4, Jan 4, 1999 DOCUMENT TYPE: Journal Article ARTICLE TYPE: News LANGUAGE: English RECORD TYPE: Abstract Fulltext

WORD COUNT: 00846

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... addition to handling paper documents within traditional brick and mortar facilities, financial institutions may use **delivery** channels (e.g., public telephone networks and the Internet) that are partially or totally outside...

...and procedures, approved by your board of directors, that describe how you will ensure that **information** is properly protected, **confidential**, and used as agreed with the customer. This policy statement and applicable laws and regulations...

# 20/3,K/12 (Item 6 from file: 268)

DIALOG(R) File 268: Banking Info Source

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# 00349247 (USE FORMAT 7 OR 9 FOR FULLTEXT)

# Online privacy of consumer personal information

Anonymous

Disclosure, v15, n11, p6-8, Nov 1998 DOCUMENT TYPE: Journal Article ARTICLE TYPE: News LANGUAGE: English RECORD TYPE: Abstract Fulltext WORD COUNT: 02532

# (USE FORMAT 7 OR 9 FOR FULLTEXT)

... bundling of financial services. Financial institutions are increasingly deploying online systems to facilitate the convenient **delivery** of services. Some financial institutions use Internet Web sites designed to collect information from consumers...

...or e-mail links. Information about consumers is also collected through inconspicuous means such as **hidden**, undisclosed electronic **information** collection methods allowing the Web site to monitor the user's visit to the site.

The FDIC understands the importance of information **exchange** on the **Internet** and the benefits for banks and consumers. However, because the dramatic pace of technological change...

## 20/3,K/13 (Item 7 from file: 268)

DIALOG(R)File 268:Banking Info Source

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00317961 (USE FORMAT 7 OR 9 FOR FULLTEXT)

## Tech provider signs nonbank BDs

Anonymous

Bank Investment Product News, v3, n30, p5, Aug 4, 1997 DOCUMENT TYPE: Newsletter Article ARTICLE TYPE: News LANGUAGE: English RECORD TYPE: Abstract Fulltext WORD COUNT: 00369

# (USE FORMAT 7 OR 9 FOR FULLTEXT)

... savings for the systern. Cogan says that the Intranet has served as a venue for on - line trading, account establishment, and a place for representatives to pose questions. Cogan also said that due...

... The public side simply allows representatives to locate services and find out general information; the **private** side is exclusive and contains

confidential corporate and customer information. The private sites are secured systems that require a user identification and password, Friar explains.

Intranet sites...

...basically have the same issues as regular brokers, such as back office issues and information  $\mbox{delivery}$ . The cost of an extranet service can range anywhere from \$60,000 to \$250,000...

# 20/3,K/14 (Item 8 from file: 268)

DIALOG(R) File 268: Banking Info Source

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00295679 (USE FORMAT 7 OR 9 FOR FULLTEXT)

# Opening the door

Wright, Kirk

Credit Union Management, v19, n9, p38-39, Sep 1996 DOCUMENT TYPE: Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext WORD COUNT: 01388

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... innovative technology and establish market position while gaining expertise with a new method of service delivery .

At the same time, it's important to avoid using this technology to do the...

...the network of networks" can be a vehicle for commerce. So what's keeping the **Internet** from becoming a virtual **marketplace**? In a word: security; or, more specifically, the perception that a hacker can intercept credit...

...numbers or other personal information.

Internet security is effectively managed at two levels. Encryption scrambles **private** information using a formula known as a key. Firewalls protect servers and computer networks from unwanted...

...stake on the Internet, promises to spur universal acceptance of the network as an everyday delivery channel.

As a retail **delivery** channel, home banking holds as much promise as the technology gurus boldly predicted a decade...

# 20/3,K/15 (Item 9 from file: 268)

DIALOG(R) File 268: Banking Info Source

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00279255 (USE FORMAT 7 OR 9 FOR FULLTEXT)

## Five major trends in banking

Bers, Joanna Smith; Prince, Cheryl J; Sraeel, Holly Bank Systems & Technology, v33, n1, p8-16, Jan 1996 DOCUMENT TYPE: Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext WORD COUNT: 03711

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... conversion costs do not make much of a case for networked banks to carry proprietary data on-line, says Finkelstein.

Private networks, on the other hand, are by virtue of their limited access and standard equipment...

...some of the new network technologies that are cheaper," says Mack Hicks, vp of electronic delivery services for San Francisco-based Bank of

America, and chairperson of Menlo Park, CA-based...

...signed-over public pipelines. The goal is to connect Lawrence Livermore with all of their trading partners. "We knew that [ Internet -based EDI] is the wave of the future, and we wanted to demonstrate that it...

20/3,K/16 (Item 10 from file: 268)

DIALOG(R) File 268: Banking Info Source

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00268701 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Community crunch

Hope, Kerin

Banker, v145, n833, p39-40, Jul 1995 DOCUMENT TYPE: Journal Article

LANGUAGE: English RECORD TYPE: Abstract Fulltext

WORD COUNT: 01273

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... would be diluted from 66% to 30% while at least 15% would be offered to private investors through the Athens stock exchange.

Plans for privatising Bank of Central Greece, which is 53% controlled by Agricultural Bank, are less advanced, but a rights issue...

...last year and has few bad debts. Preliminary talks have been held with the Agoudimos **shipping** group, the bank's largest **private** shareholder, which is interested in increasing its 10% stake and taking over management of the...

## 20/3,K/17 (Item 11 from file: 268)

DIALOG(R) File 268: Banking Info Source

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00268286 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Business booms for Internet wannabe's

Anonymous

Financial Technology International Bulletin, v12, n9, p7, May 1995

DOCUMENT TYPE: Journal Article LANGUAGE: English RECORD TYPE: Abstract

Fulltext

WORD COUNT: 00831

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... and data around-the-clock. 'The Internet provides the largest and most inexpensive electronic service delivery front-end available today,' says Herb Phillipps, vice president of Electronic ServiceWay at the bank. 'Companies that ignore this network and the marketplace it creates do so at their own peril.'

In a bid to create a full...

...the package. For each order received, Mpact will create an EDI transaction that contains payment information and will automatically send it to private payment networks for processing.

EFT outfit, ACI is also committed to the Tandem platform. 'The...

20/3,K/18 (Item 12 from file: 268)

DIALOG(R) File 268: Banking Info Source

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00265852 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Client/server: A few basics

Anonymous -

ABA Banking Journal, v87, n6, p88-90, Jun 1995 DOCUMENT TYPE: Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext WORD COUNT: 01285

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... them in supermarkets) with Unisys's FBA Navigator application framework and FBA Finesse 2000 retail **delivery** application. Microsoft Windows NT servers will serve the PCs over \$6.9 billion-assets Bank...

...and image report retrieval.

First National Bank of Chicago uses Hewlett-Packard 712 and 735 servers and Next workstations for foreign exchange and derivatives trading.

At Norwest, a Windows NT client/server network provides commercial lenders and...

# 20/3,K/19 (Item 13 from file: 268)

DIALOG(R)File 268:Banking Info Source

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00252724 (USE FORMAT 7 OR 9 FOR FULLTEXT)

# Making it seamless

Shapiro, Harvey D

Institutional Investor, v28, n12, p126-128, Dec 1994 DOCUMENT TYPE: Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext WORD COUNT: 02170

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... lot of flavors of outsourcing," says G. Patrick McGunagle, managing director of Citibank's global **trade** financing **network**. "We provide **private** -label processing for banks using our network and technology. We give them the terminals and...

...retailer, for example. His computer could generate a purchase order, which would come through our **delivery** system to one of his suppliers and would pop out of the supplier's computer...

## 20/3,K/20 (Item 14 from file: 268)

DIALOG(R) File 268: Banking Info Source

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00244022 (USE FORMAT 7 OR 9 FOR FULLTEXT)

# Clear the decks

Warner, Alison

Banker, v144, n821, p74-76, Jul 1994 DOCUMENT TYPE: Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext

WORD COUNT: 01550

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... which handle processing of transactions, documentation and provide guidance to customers. It also has a **network** of international **trade** executives—sales staff with experience in trade finance.

"In the last 12 to 18 months...

...arm of the UK Export Credits Guarantee Department (ECGD) (sold to NCM Credit Insurance, the **private** Dutch insurer), combined with difficult **trading** conditions for corporates, help to explain the criticism. He adds that much of it is about pre- **delivery** finance, which tends to be financed by overdraft, since the risk is against the buyer...

20/3,K/21 (Item 15 from file: 268) DIALOG(R)File 268:Banking Info Source

(c) 2003 ProQuest Info&Learning. All rts. reserv.

00243572 (USE FORMAT 7 OR 9 FOR FULLTEXT)

The Clearinghouse

Eagle, Blake

Real Estate Finance, v11, n1, p7-13, Spring 1994 DOCUMENT TYPE: Journal

Article LANGUAGE: English RECORD TYPE: Abstract Fulltext

WORD COUNT: 04146

(USE FORMAT 7 OR 9 FOR FULLTEXT)

...ABSTRACT: regular delivery of standardized real estate portfolio-level information and the sponsorship of an independent **central** facility that will operate a **trading** market for institutionally owned and **privately** placed real estate securities.

- $\dots$  pension funds.(2) To that end, the Clearinghouse is designed to provide:
- \* Timely and regular **delivery** of standardized real estate portfolio-level information for use in the investment analysis and pricing of private real estate securities; and
- \* The sponsorship of an independent **central** facility that will operate a **trading** market for institutionally owned and **privately** placed real estate securities.

For a secondary market to develop in commingled fund shares, the...one or more experienced institutional securities traders, who in turn will implement the secondary market **trading** of the **privately** placed real estate investment securities among qualified investors. This trading function is critical to the...

...firm Cantor Fitzgerald, the world's largest "screen broker." Their services will feature a continuous auction market through their electronic network, information bulletin boards, and periodic electronic auction events.

An electronic Clearinghouse trading network will be established. Qualified customers who want to sell or seek to buy, exchange, or...

...or make an offer to buy with the Clearinghouse. Cantor Fitzgerald will link up the "trading network" with other institutional brokers and traders around the country with whom they have established trading...

20/3,K/22 (Item 1 from file: 626) DIALOG(R)File 626:Bond Buyer Full Text (c) 2003 Bond Buyer. All rts. reserv.

0212224

Upcoming Tennessee Note Deal Marks Internet First
The Bond Buyer - April 13, 1999; Pg. 5; Vol. 328, No. 30618

Word Count: 371

BYLINE:

By Robert Whalen

ጥርሂጥ

...parent company of The Bond Buyer.

The deal could also have been sold using PFM Auction , a private -label

electronic bidding Web site licensed by MuniAuction. However, the Internet -

bidding firm wanted to retain Tennessee as one of its larger repeat clients, Mosby said. The...

...two previous bond sales, he indicated.

Because note deals commonly draw scores of bidders, traditional delivery creates more paper work than a bond sale, said Myles Harrington, president of MuniAuction.

On...

20/3,K/23 (Item 1 from file: 267)

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04579962

Risk Management Is Key to Future of Online B-to-B Exchanges

Jerry Minkoff

Web Finance

June 11,2001 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 778 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

## Risk Management Is Key to Future of Online B-to-B Exchanges

Business-to-business **exchanges** were hit hard when the **Internet** bubble burst last year and venture capital dried up. But B-to-B exchanges may...

...set up to provide a more efficient way for companies in specific industry sectors to **buy** and **sell** supplies and services in an **online** environment that would eliminate the inefficiencies of phone- and paper-based methods.

техт

## TEVI:

Business-to-business **exchanges** were hit hard when the **Internet** bubble burst last year and venture capital dried up. But B-to-B exchanges may...

...set up to provide a more efficient way for companies in specific industry sectors to **buy** and **sell** supplies and services in an **online** environment that would eliminate the inefficiencies of phone- and paper-based methods.

Nearly every industry...

...trying to change the habits of their target audience. It turned out that the anonymous **online trading** environment was anathema to purchasing officers, she said.

Liquidity Comes First

However, some exchanges are...

...minds of companies, he added.

What Is Missing

eSpeed provides the technology used by 45 Internet and electronic exchanges, including parent company Cantor & Fitzgerald's bond trading network. Ten of those exchanges have what Uman called massive trading volume. "What is missing is risk management," he said...

...transactions require regulation.

At the most basic level, transactions that can be manipulated, such as agricultural commodities being traded by retail customers, are more heavily regulated than less manipulable transactions, such as...

COMPANY NAMES (DIALOG GENERATED): B to B; Cantor & Fitzgerald; Chicago Board Options Exchange; Chicago Mercantile Exchange Inc; Commodity Futures Trading Commission; Exchange Inc; ENRON Online; Garban International Capital; Laura Craft; LIFFE; Options Exchange; Opt4derivatives; Speed Inc; Trading Markets 2001

20/3,K/24 (Item 2 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
(c) 2003 The Dialog Corp. All rts. reserv.

04579363

U.S. Derivatives Trading Is Poised for Takeoff

Michael Ha Web Finance

May 28,2001 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 842 RECORD TYPE: FULLTEXT

- (c) SECURITIES DATA PUBLISHING All Rts. Reserv.
- ...U.S. derivatives market is the biggest in the world. But when it comes to **online trading**, it is still largely uncharted territory.

In America, less than 5% of overall **trading** is done **online**. Compare that to numbers from the European Union, where electronic and **Internet trading** accounts for a whopping 86.5% of total volume, and you can understand why many...

## TEXT:

...U.S. derivatives market is the biggest in the world. But when it comes to **online trading**, it is still largely uncharted territory.

In America, less than 5% of overall trading is done online. Compare that to numbers from the European Union, where electronic and Internet trading accounts for a whopping 86.5% of total volume, and you can understand why many exchanges regard the American marketplace as the next great opportunity.

One **exchange** with a new **Internet** product is the New York Mercantile Exchange. NYMEX Executive Vice President Neal Wolkoff spoke at..:

...the exchange's new integrated e-business system, enymex, calling it "a global, market-neutral, **Internet** -based electronic **trading** platform providing one-stop shopping for commodity and risk-management tools."

The plan to create...

...settled and physical commodity contracts.

When the platform is launched, it will initially focus on **energy commodities** and will have extended trading hours. "Our goal is to have a single technology interface...

...its American client base by introducing two new products this year: iAccess and @Eurex, both <code>Web</code> -based derivatives <code>trading</code> platforms for smaller brokerage houses.

As for his outlook on electronic trading in the U...

...participants also got business advice from eSpeed CEO Howard Lutnick. The company, though not an **exchange** itself, provides electronic and **Web**-based **trading** infrastructure and software for others. Its client list

includes Charles Schwab, Siebert Net, and Tradescape...

2001

20/3,K/25 (Item 3 from file: 267)
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04577536

Current Adds Option Pricing

Matthew Greco Web Finance April 2,2001

DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 294 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

...FENICS product, a currency option pricing tool used globally by banks involved in currency option trading .

Currenex, an **online** currency **exchange** open to institutional **buyers** and **sellers** worldwide, operates an **Internet** service, FXtrades, a **real** - **time** foreign **exchange marketplace**. Members include MasterCard International, Royal Dutch/Shell Group and Intel Corp. as well as 35...

#### TEXT:

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...were only available to professional traders using desktop applications." GFInet is a creator of independent online markets where voice and online trading are integrated with real - time and historical market data, market commentary and analytical tools. GFInet offers cash and derivative trading capability for the fixed income, FX, equity, energy, commodity and telecom markets.

Currenex's Internet-based service, FXtrades, links banks, corporate treasury departments, institutional funds/asset managers, government agencies, international organizations and central banks through a real - time FX marketplace providing a trading solution from initiation and execution to settlement and reporting.

2001

20/3,K/26 (Item 4 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
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04572380

Short Takes
Editorial Staff
Web Finance

October 30,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 1362 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

#### TEXT:

...than 7,500 stocks.

E-Debt Exchange Inc. Buys Debtforsale.com

E-Debt.com, an online debt portfolio trading marketplace operated by E-Debt Exchange Inc., bought Debtforsale.com, an exchange for trading and factoring...

...E\*Bank subsidiary and Sina.com (See WebFinance, 5/8/00), introduced a Chinese-language Web site, chinese.etrade.com.

E\* Trade Chinese features both Mandarin and Cantonese brokerage services, real-time translation of market news and...statement, in addition to their MasterCard or Visa bills. Citibank Bill Manager, delivered by bill delivery and management provider Paytrust, will have an \$8.95 monthly fee after a three-month...

 $\dots$  Sacramento, Calif., and Denver, and rolled out to other Western locations.

The new bank is named after Safeway's premium private label brand, Safeway SELECT. It offers a full line of banking products, including no-fee

20/3,K/27 (Item 5 from file: 267)
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#### 04571236

Apax goes into Digital Bridges and bolero.net
Lisa Bushrod
European Venture Capital Journal
October 1,2000 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH WORD COUNT: 447

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

...first was bolero.net, for which Apax acted as lead investor in a \$30 million **private** placement. bolero.net is an electronic **trade** community created by the international financial messaging co-operative SWIFT (Society for World-wide Interbank...

RECORD TYPE: FULLTEXT

...receipt of information within a binding legal structure, is effectively a global initiative to move **trade** onto the **Internet**. bolero.net, which provides secure electronic transmission of data and documents along the entire trade...

...paper-based trade documentation. The electronic trade community has already signed up 40 major banks, **shipping** companies, trading houses and business-to-business exchanges.
...

### TEXT:

...first was bolero.net, for which Apax acted as lead investor in a \$30 million **private** placement. bolero.net is an electronic **trade** community created by the international financial messaging co-operative SWIFT (Society for World-wide Interbank...

...Launched last September, bolero.net. which acts as a neutral third party to ensure secure **delivery** and receipt of information within a binding legal structure, is effectively a global initiative to move **trade** onto

the **Internet** . bolero.net, which provides secure electronic transmission of data and documents along the entire trade...

...paper-based trade documentation. The electronic trade community has already signed up 40 major banks, **shipping** companies, trading houses and business-to-business exchanges.

The bolero.net placement was arranged by...

20/3,K/28 (Item 6 from file: 267)

DIALOG(R) File 267: Finance & Banking Newsletters

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04569746

TAKING NOTES ON STUDENT LENDING

Credit Risk Management Report

August 7, 2000 VOL: 10 ISSUE: 15 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PHILLIPS BUSINESS INFORMATION

LANGUAGE: ENGLISH WORD COUNT: 1405 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...Financial Services

[WFC] & lt; E & gt;, "they're already

private. They're owned by a  $\ensuremath{\text{private}}$  holding  $\ensuremath{\text{company}}$  that's publicly  $\ensuremath{\text{traded}}$  ."

"We're getting rid of our GSE status. Sallie Mae wants to be involved in...the privately supplied Federal Family Education Loan Program] is not

behind an open and common  $\mbox{\sc delivery}$  system. Instead we see software that doesn't

communicate. It's a drawback."

"If a...

...have to work well

together. Does the

lender have a process for Web-based loan **delivery** ? How automated can the lender

make the process?

\* Can the lender provide private loans? With...

...in landing profitable schools. "Sallie Mae and others in the industry offer

sweet terms on

private loans in exchange for volume," says Andrade.

Another problem being seen is inducing a school to make a...

...totem pole when

it comes to school

budgets. "In some instances you see companies offering servers and hardware" in

exchange for loans, ...to be involved in eStudentLoan.com.

"We're seeing continuing technological advancements to speed up delivery ," says Veenis. "Everything could happen electronically. Student fills

out an electronic

application, an EFT file...

20/3,K/29 (Item 7 from file: 267)

DIALOG(R) File 267: Finance & Banking Newsletters

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04568131

TECHNOLOGY: Industry Tackles Selling Online

Angela J. Ottomanelli Annuity Market News

July 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 1287 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts: Reserv.

### TEXT:

...legal."

Companies also have to be able to protect intellectual property assets, such as domain names, patents, trade secrets, copyrights and database information, and this is not an easy process, Sofge said.

Unbundled products create yet another obstacle...to receiving only electronic documents, Kinstlick said. NAIC insurance replacement regulations also require confirmation of delivery in writing.

Confirmation could be made via email, but only if consent is obtained in...

20/3,K/30 (Item 8 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
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04565757

Sec Roundup

Editorial Staff Private Equity Week

May 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 757 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

### TEXT:

Jeff Allen Music LLC is offering \$1 million of revenue participation notes in a **private** placement according to a Securities & **Exchange** Commission filing. At the time of the filing, the company had already sold \$20,000...

- ...has sold \$3 million of preferred stock and warrants to two accredited investors in a **private** placement, according to a Securities & **Exchange** Commission filing. Funds will be used for working capital. Based in Minneapolis, Minn., the company...
- ...sold up to \$19.8 million of common stock to five accredited investors in a **private** placement according to a Securities & **Exchange** Commission filing. Funds will be used for the acquisition of other businesses. Based in Herndon...
- ...company is an application service provider utility that consists of managed networks, data center, service **delivery** processes and applications implementation professionals.

Fortune Brands Inc. is offering \$2 billion of commercial paper in a private placement according to a Securities & Exchange Commission filing. Goldman Sachs & Co. is agenting the transaction. Funds will be used for the...

...Inc. has sold \$1.4 million of debt securities to one accredited investor in a **private** placement according to a Securities & **Exchange** Commission

filing. Funds will be used towards the repayment of indebtedness, working capital, and consulting...

### ...accessories.

Azioma Inc. is offering \$1.5 million of 10% convertible promissory notes in a **private** placement according to a Securities & **Exchange** Commission filing. Funds will be used for marketing and advertising of the optimization components, the...

... optimization problems.

Blink.com Inc. is offering \$1.5 million of convertible securities in a **private** placement according to a Securities & **Exchange** Commission filing. At the time of the filing the company had already sold \$1.4...

...be used as working capital. Based in New York, the company is a provider of **Internet** bookmark management.

Trading News Inc. has sold \$1 million of convertible promissory notes to 17 accredited investors in a private placement according to a Securities & Exchange Commission filing. Funds will be used for working capital. Based in New York, the company sold \$1 million of convertible securities to eight accredited investors in a private placement according to a Securities & Exchange Commission filing. Funds will be used for working capital. Based in Cambridge, Mass., the company...

### ...based platforms.

ThinkingBytes Technology Inc. is offering \$1.5 million of convertible securities in a **private** placement according to a Securities & **Exchange** Commission filing. At the time of the filing, the company had already sold \$170,000...

...com Inc. has sold \$5 million of convertible securities to one accredited investor in a **private** placement according to a Securities & **Exchange** Commission filing. The transaction was agented by G. Kopolow & Associates. Funds will be used as...

...Dynacs Engineering Co. is offering \$1.9 million of convertible notes and warrants in a **private** placement according to a Securities & **Exchange** Commission filing. At the time of the filing the company had already sold \$300,000...

## 20/3,K/31 (Item 9 from file: 267)

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04565730

### TelePacific Communications Rings In with \$150M Fourth Round

Dan Primack & Leslie Green

Private Equity Week

May 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 704 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

### TEXT:

...the sole investor in the initial close and will receive a 26% equity stake in **exchange** for its participation. The **private** equity powerhouse will also receive a pair of board seats with an option for a...

...will be if TelePacific can prove it has the winning formula to defeat the incumbent **network** of local **exchange** carriers, which Glickman estimates still control as much as 95% of the telecom marketplace.

"We...

...mid-sized business needs, we have both voice and data developed in-house and our delivery message is fully converged in that both our voice and data product can be

20/3,K/32 (Item 10 from file: 267)

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04565628

### TelePacific Rings For \$150M Round

Dan Primack & Leslie Green

IPO Reporter

May 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 705 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

#### TEXT

...the sole investor in the initial close and will receive a 26% equity stake in **exchange** for its participation. The **private** equity powerhouse will also receive a pair of board seats with an option for a...

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"We...

...mid-sized business needs, we have both voice and data developed in-house and our **delivery** message is fully converged in that both our voice and data product can

20/3,K/33 (Item 11 from file: 267)

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04565462

Trading & Technology - Quieter Days for Market Makers: But That's the Way They Planned it

Peter Chapman

Traders

April 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 1251 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

### TEXT:

...Bernard L. Madoff Investment Securities and M.H. Meyerson have signed agreements with the firm, **Internet Trading** Technologies (ITTI), for its order-routing service.

The product, Universal Trader, though not exactly new...to route orders through the extranet to ITTI.

Market data vendor ILX, a Thomson Financial **company**, which operates a **private** network of 134,000 terminals, will incorporate Universal Trader into its offering. Unlike the top...

 $\dots$ New York brokerage and clearing firm, has deployed the technology as its new options order **delivery** platform, WWOW.

The firm is also close to a deal with a major listed trader...

20/3,K/34 (Item 12 from file: 267)

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04563465

The New Economy Beckons: Following the money, LBO firms shun the traditional for high tech

Rose Darby

Investment Dealers Digest

March 13,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 3731 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

#### TEXT:

...that was poorly managed and had no debt. Typically, an LBO firm would take the <code>company</code> private , load it up with debt and fire a lot of people. As a result, the...a classic change of control-type investment, in which an LBO firm buys an entire <code>company</code> or takes a public one private , many are settling for minority stakes, often in publicly-held companies.

Despite that fact, however...counts is understanding how that pipeline changes a business, or how it can change the <code>delivery</code> of products."

The fact that the ...have a profound impact on how deeply buyout firms and financial investors delve into the <code>Internet marketplace</code> and New Economy businesses going forward.

20/3,K/35 (Item 13 from file: 267)

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04562877

Foreign Exchange Trading on the Internet Is Increasing

Jerry Minkoff

Web Finance

February 28,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 1066 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

### TEXT:

...particular building or district dedicated to the business. Most trades take place electronically among a **network** of banks and foreign **exchange** brokerage houses, making **Internet** applications a natural progression. The daily forex market is estimated to be up to \$1...

...CMC Group plc, cmcplc.com, claims to be the first company to offer its clients real - time Internet foreign exchange trading, launching its Internet service in May 1996. CMC Group said it has become the biggest Internet forex trading company in the world, handling around 50,000 transactions each month.

Another company that caters...

...nonbank traders is MG Financial Group, forex-mg.com, which describes itself as the leading **privately** held **online** foreign **exchange trading** service in the U. S. MG has more than 1,000 clients in 60 countries...

...leveraged, with margins between 0.5% and 1.0% on small trades. MG first offered Internet -based trading in April 1997.

MG recently launched Deal Station 2000, a new version of its trader...

...more volume and volatility. I think that if there are traders out there engaged in online speculative trade, then FX is a natural progression from that. It's really what they're looking...more are developing Web-based systems because of economies of scale and cheaper Web-based delivery of the service. It causes one to think whether a product like Reuters' Dealing 3000...

...forex dealers, released by Reuters last month. The Bank Perspective

Szoc's view of the Internet 's influence on the foreign exchange markets was supported by a foreign exchange trader with a major money center bank, who spoke to WebFinance on condition of anonymity. "Eventually, I'm convinced, most FX trading will occur on the Internet," he said. "There will be rules stipulating that really small trades go through one system...not doing it now."

Despite the credit issue, banks are aware of the potential of Web -based FX trading , according to the trader, and are working on more powerful online systems. However, they are...

...anyone who has really, truly broad, generally available to all customers-or almost all customers- Internet trading of FX."

(Item 14 from file: 267) 20/3,K/36 DIALOG(R) File 267: Finance & Banking Newsletters (c) 2003 The Dialog Corp. All rts. reserv.

04562807

Deal Review: As Hackers Hacked, Tumbleweed Rolled In Internet Filter Co. Ricado Roberts

Mergers & Acquisitions Reports

February 28,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 932 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

# TEXT:

...security meets business right now, "more specifically, "in terms of digital rights and digital content delivery in a secure manner."

Through its Integrated Messaging Exchange product line, Tumbleweed offers customers secure...

- ... America Inc., a 5% Tumbleweed shareholder, as well as its technological partner. "UPS, a Tumbleweed service provider, launched a product called Document Exchange around June 1998," said Joe Consul, chief financial officer of Tumbleweed. Smith proposed that Worldtalk...
- ...some time? Smith noted that at the time of their initial meetings, "Tumbleweed was a private company , while Worldtalk was public, and it wouldn't have been practical for Worldtalk shareholders to lose their liquidity and become part of a private company ."

Consul added, "We made the decision to go public because our business was rapidly growing...

...1 billion worth of damage resulted because of Internet hacker attacks on a number of Web sites , including, Yahoo!, CNN, E\* Trade Group Inc., ZDNet, and Amazon.com Inc.. An industry update by Kovar also said those...

RECORD TYPE: FULLTEXT

20/3,K/37 (Item 15 from file: 267)
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04561825

EVCJ Awards for 1999

Andy Thomson

European Venture Capital Journal

February 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 5288

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

#### TEXT:

...GBP15 million. In France, the firm co-led the Ffr811 million management buyout of parcel delivery company Mory Group alongside NatWest Equity Partners. It also backed the leveraged buyout of Remy...firm could have had an even better year, though, had it not lost in an auction process when acting alongside a private equity house attempting to buy Cablecom (GBP2.3 billion) and been conflicted when asked to...in companies such as Computacenter and Dr Solomons - has been enhanced recently through high-profile internet flotations such as the eXchange and QXL. Much of the credit for this must go to Peter Englander, head of...

20/3,K/38 (Item 16 from file: 267)
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04560801

Investors Serve OpenTable.com with \$10M First Round Financing

J. Bradley Spirrison Private Equity Week

January 10,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 541 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

### TEXT:

...30 million, will be held within the next six months and likely will be the company's final private equity financing.

"We have made good inroads in Boston, Washington, D.C., and Atlanta and

...toward a higher breed of culinary establishments.

"They are focused on offering to-go' and **delivery** services and cater to Pizza Hut and fast food services," he said. "We help restaurants manage themselves more efficiently by providing them with our software and active **database**."

In **exchange** for its reservation services, OpenTable.com charges restaurants a monthly fee and a percentage of...

20/3,K/39 (Item 17 from file: 267)
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04560478

### Privacy Issue Prominent In 2000

RETAIL DELIVERY NEWS

January 5, 2000 NE VOL: 5 ISSUE: 1 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PHILLIPS BUSINESS INFORMATION

LANGUAGE: ENGLISH WORD COUNT: 944 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

#### TEXT:

...data with a non-affiliated thirdparty institution.

The survey, conducted during BAI's Retail **Delivery** show last month in Miami, shows 62 percent of bankers polled believe it will be...

...a simple thing for companies collecting a lot of information," Barefoot says.

The ABA is **mailing** a privacy policy "template" to its members in keeping with the association's challenge to...to research by Cyber Dialogue.

The number of people who personalized Web pages by submitting **private information** to a hosting site rose from 2.8 million in April 1997 to 18.8...

...6 million people.

New York-based research firm Cyber Dialogue found that 88 percent of **online** users say **exchanging** information with **Web sites** is

the "best way for companies to learn about customers." This view, however, is tempered...

...findings also suggest online users believe businesses are beginning to understand the importance of respecting private information. In 1999, only half of online users felt submitting personal information online is a guarantee...

# 20/3,K/40 (Item 18 from file: 267)

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04560196

### SEC Roundup

Editorial Staff

Private Placement Letter

December 20,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 1098 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

### TEXT:

...offering \$75 million of convertible promissory notes, convertible preferred stock and common stock in a **private** placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, the company had already placed \$11.46...

...million of senior subordinated notes and stock warrants to a single accredited investor in a **private** placement, according to a Securities and **Exchange** Commission filing. The successful transaction was agented by McFarland, Grossman & Co. Proceeds will be used...
...in polymer applications.

C3i Inc. is offering \$1.5 million of debt securities in a private

placement, according to a Securities and Exchange Commission filing. At the time of the filing, the company had already placed \$1.07...

...hot-swap replacement.

Dietology Inc. is offering \$500,000 of convertible promissory notes in a private placement, according to a Securities and Exchange Commission filing. At the time of the filing, the company had already placed half of ...

- ...notes with preferred stock warrants, underlying preferred stock, preferred stock and common stock in a **private** placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, the company had already placed \$7 million...
- ...Hi-Tech Environmental Products LLC is offering \$4.5 million of debt notes in a **private** placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, one accredited investor and one non-accredited...
- ...Inc. has sold \$250,000 of convertible promissory notes to one accredited investor in a **private** placement, according to a Securities and **Exchange** Commission filing. Proceeds from the deal will be used ...Corp. is offering \$5.5 million of one-year unsecured convertible subordinated notes in a **private** placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, 113 accredited investors had already purchased \$5...
- ...communications products.
- Medical Simulation Corp. is offering \$650,000 of convertible bridge notes in a **private** placement, according to a Securities and **Exchange** Commission filing. At the time of the filing, 14 accredited investors had already purchased \$536...
- ...Inc. has sold \$1.75 million of bridge notes to one accredited investor in a **private** placement, according to a Securities and **Exchange** Commission filing. Proceeds are slated to be used for working capital. Based in Irvine, Calif...
- ...Foundation Inc. has sold \$550,000 of debt securities to 13 accredited investors in a **private** placement, according to a Securities and **Exchange** Commission filing. Funds garnered from the deal will be used for the purchase of real...
  ...elderly.
- Sterling Capital LLC is offering \$1.5 million of secured bridge notes in a **private** placement, according to a Securities and **Exchange** Commission filing. The notes carry a 17% coupon. At the time of the filing, the...
- ...1 million of convertible promissory notes and stock warrants to one accredited investor in a **private** placement, according to a Securities and **Exchange** Commission filing. Funds collected from the deal will be used for working capital, salaries and fees. Located in San Jose, Calif., the issuer produces map management software that enables the **delivery** of navigational solutions.
- Utility.com Inc. is offering \$4 million of convertible bridge notes in a private placement, according to a Securities and Exchange Commission filing. At the time of the filing, eight accredited investors had already purchased \$3 in a private placement, according to a Securities and Exchange Commission filing. The notes have a five-year maturity and carry a 9% coupon. Proceeds...
- ...rental or leasing and installation of machinery and equipment. The Houston-based issuer operates an **Internet** site for **buying** and **selling**

goods.

WholesalePortal.com Inc. is offering \$300,000 of convertible promissory notes in a private placement, according to a Securities and Exchange Commission filing. Proceeds from the transaction will go toward working capital, marketing and advertising. The...

20/3,K/41 (Item 19 from file: 267)

DIALOG(R) File 267: Finance & Banking Newsletters

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04560138

In the Net Ad Wars, CMGI Squares Off: Using Arsenal Full of Richly Valued Stock, Web Behemoth Buys and Buys

Stephen Lacey

Mergers & Acquisitions Reports.

December 20,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 666 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

#### TEXT:

...engine Altavista has leveraged its escalating share price to fund the acquisitions of three publicly **traded Internet** companies and one would-be public Internet property.

And just last week, CMGI announced its...

...three days later, Engage Technologies, of which CMGI owns 79%, announced an agreement to acquire **privately** held, ad serving and analysis **company** AdKnowledge Inc. for \$193 million in stock.

On Sept. 30, CMGI announced a planned stock...

...price last May.

Moreover, with the goal of transforming its Adserve Network from a pure delivery platform for the serving of ad banners into a vehicle for comprehensive marketing solutions, CMGI...

20/3,K/42 (Item 20 from file: 267)

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04559153

Short Takes

Matthew Greco

Web Finance

November 29,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 1306 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

### TEXT:

...central production site at PayMaxx, where payroll checks and reports are processed for next-day **delivery**. BrightLane Payroll can expidite data gathering for employers at the cost of a local phone...deposit in one user-friendly package."

OffRoad Capital Debuts First Deal

OffRoad Capital, the first online private capital marketplace, completed its first private placement funding with nearly 100 investors from 23 states. Until now, most angel-funded deals...

20/3,K/43 (Item 21 from file: 267)

DIALOG(R) File 267: Finance & Banking Newsletters

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04557654

Earnings Up, TSYS, NOVA Prepare For Business Beyond Y2K

CARD NEWS

October 20, 1999 1 VOL: 14 ISSUE: 20 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PHILLIPS BUSINESS INFORMATION

LANGUAGE: ENGLISH WORD COUNT: 1481 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...and Nordstrom National

Credit Bank [JWN] portfolios complete, TSYS has become the largest processor of **private** label card portfolios.

The **company** will invest some of the gains across the pond, where Europeans are being primed for...

...period, Nordstrom outsourced

its 5.4 million retail card account portfolio to TSYS, making the company the largest processor of private -label cards.

By the end of the calendar year 1999, the company expects to have...site - to payment services such as universal shopping cart, virtual terminal, sales tax calculation and **shipping** capabilities, and online transaction processing capabilities.

Econex Is NOVA's Gateway To E-Commerce

The...

...variance in

the progress merchants have made either moving from the off-line to the **online marketplace** and moving to a more sophisticated online presence, says Logan.

Because there is such a...

20/3,K/44 (Item 22 from file: 267)

DIALOG(R) File 267: Finance & Banking Newsletters

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04553794

How To Service High Net Customers

RETAIL DELIVERY NEWS

July 21, 1999 Y NE VOL: 4 ISSUE: 14 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PHILLIPS BUSINESS INFORMATION

LANGUAGE: ENGLISH WORD COUNT: 815 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...vision

and references, Carey says.

Because the bank had a history of investing in online delivery, a cost benefit analysis was not required, Carey says. The bank did rely on Forrester...

...the bank's phase one implementation

could cost up to \$10 million.

The bank built private passport, an online delivery system for personal and small-business clients. The bank has two online systems: one through...than the Web."

- \* Have a clear strategy. Merrill Lynch [MER], Charles Schwab [SCH] and E\* **Trade** [EGRP] all have different **Internet** initiative offerings, she says.
- \* Set aside the concept of a traditional ROI plan. "If two years ago when **online trading** was 10 percent of volume of retail trade, I'd have told you it would...

# 20/3,K/45 (Item 23 from file: 267)

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04550858

### Out Takes

Ron Cooper

Investment Dealers Digest

May 31,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 1657 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

### TEXT:

...its own electronic market. It also plans to link with a number of independent electronic **networks** in order to **trade** shares that are listed on the rival Nasdaq stock market.

M. H. Meyerson & Co., a...comes to VBW from Cruttenden Roth, where he was vp focusing on biotechnolgy and drug delivery. Prior to that, he was a biotech analyst at Genesis Merchant Group Securities.

Robert O...controlled by Arnault, Vulcan Ventures, the investment firm run by Allen, and TA Associates, a **private** equity investment **company** based in Boston.

Timothy Leach has been appointed executive vp and chief investment officer for...

## 20/3,K/46 (Item 24 from file: 267)

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04546563

# Chromatis Nails \$12M First Round

Kelly Holman

Private Equity Week

March 15,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 275 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

### TEXT:

Green said.

Proceeds from the round will carry the company through beta testing and enable delivery to the initial customers. Competitive local exchange carriers, Internet service providers and cable providers, as well as larger telecom carriers will be target customers of Chromatis.

Green said the **company** would likely pursue additional **private** equity later this year.

20/3,K/47 (Item 25 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04541395

Mailing List Management Company Raises \$4 Million First Round

Shawn Neidorf

Venture Capital Journal

November 1,1998 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 326 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

#### TEXT:

SAN FRANCISCO - Topica Inc., an Internet mailing -list management company, in September raised \$4 million in its first round of institutional venture

...and Topica board member Dan Nova said the company will help e-mail users find **mailing** lists by topic and also will assist with managing subscriptions. Topica also will aid list...

...Internet Profiles (I/Pro), a Web measurement and auditing company, he spearheaded three of the **company** 's four **private** fund-raising rounds, two of which were venture-backed and one corporate. As a board member of **Internet** companies Link **Exchange** and Kana Communications, he was involved in securing first rounds of financing for each company...

# 20/3,K/48 (Item 26 from file: 267)

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04541237

Mailing List Management Company Raises \$4 Million First Round

Shawn Neidorf

Venture Capital Journal

November 1,1998 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 326 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

### TEXT:

SAN FRANCISCO - Topica Inc., an Internet mailing -list management company, in September raised \$4 million in its first round of institutional venture ...

...and Topica board member Dan Nova said the company will help e-mail users find mailing lists by topic and also will assist with managing subscriptions. Topica also will aid list...

...Internet Profiles (I/Pro), a Web measurement and auditing company, he spearheaded three of the company 's four private fund-raising rounds, two of which were venture-backed and one corporate. As a board member of Internet companies Link Exchange and Kana Communications, he was involved in securing first rounds of financing for each company...

20/3,K/49 (Item 27 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04538000

Trade Finance - Supplement to Project Finance - Cover Story, Time for a change of gear

Project Finance

August 10, 1998 PAGE: 16, 019 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: EUROMONEY ELECTRONIC PUBLICATIONS

LANGUAGE: ENGLISH WORD COUNT: 3743 RECORD TYPE: FULLTEXT

(c) EUROMONEY ELECTRONIC PUBLICATIONS All Rts. Reserv.

#### TEXT:

...that we are in the process of developing," reveals Robert Fraser, head of electronic trade delivery at Standard Chartered in London.

Demand from clients can come in a number of forms...in Detroit, AMS has fully automated its back office and the bank is now implementing trade over the internet.

Royal Bank of Scotland was one of the first banks to offer such a letter...negligible cost of entry.

Impressively, the list of participants contains most of the big banks, **shipping** lines, freight forwarders and major companies from every industry.

Says another banker in London: "Bolero...transaction, it is digitally signed, and the seller or freight forwarder can then create electronic **shipping** documents in accordance with the transportation documents.

The technology checks the invoice electronically against the **shipping** documents to determine compliance. Once the central processor has determined that the exporter has complied...

...added role to play in insuring cargoes transacted via the TradeCard system. There are also **private** investors in the operating **company**.

Kurt Cavano at AMS says that TradeCard is "an alternative vehicle to letters of credit...

# 20/3,K/50 (Item 28 from file: 267)

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00039238

### INDUSTRY BRIEFS

RETAIL DELIVERY SYSTEMS NEWS

January 30, 1998 VOL: 3 ISSUE: 2 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PHILLIPS BUSINESS INFORMATION

LANGUAGE: ENGLISH WORD COUNT: 496 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

### ...Corillian Server.

Crestar Bank [CF] of Richmond, Va., has completed its transition to open financial **exchange** by installing a Corillian **server** platform. Crestar is able to connect its HTML browser-based Internet banking platform to customers...

#### ...recent

report from the Federal Deposit Insurance Corp. (FDIC). The five areas of risk include: data privacy and confidentiality; data integrity; authentication; non-repudiation; access control; and system design. Threats to privacy include "sniffer programs... ...allow banks to verify legitimacy of communications. Non-repudiation systems create proof of origin or delivery of information. These are necessary to avoid subsequent validity disputes, Ledig says. The FDIC pays...

20/3,K/51 (Item 29 from file: 267)
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### 00037649

### - East -

Private Equity Week

July 15, 1996 VOL: 3 ISSUE: 29 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: INVESTMENT DEALERS DIGEST

LANGUAGE: ENGLISH WORD COUNT: 1590 RECORD TYPE: FULLTEXT

(c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

### TEXT:

CDW Holding Corp. of Pittsburgh is raising \$2.885 million through a **private** offering of common stock, according to **information** provided by the company. One accredited investor has been attracted to the offering; a total...

...for general corporate purposes.
WORLDSTYLE Inc. of New York is raising \$2 million through a **private** offering of common stock, according to **information** provided by the company. To date, one accredited investor has purchased \$400,000 of the issuers offering. WORLDSTYLE is a business looking to create a fashion **marketplace** on the **Internet**. Proceeds from the sale will be used for salaries, start-up expenses and working capital...

...EMCEE Broadcast Products Inc. of White Haven, Pa., is raising \$1.893 million through a **private** offering of common stock, according to **information** provided by the company. EMCEE manufactures and sells multi-channel multi-point distribution services as...

## ...rental of equipment.

Innovative Displays Inc. of Westbury, N.Y., is raising \$1 million through **private** sale of common stock, according to **information** provided by the company. To date, seven accredited investors have purchased \$250,000 of common...

...administrative expenses.

MAXQ Technologies Inc. of Fairport, N.Y., is raising \$1 million through a private preferred offering, according to information provided by the company. MAXQ Technologies is involved with the development, marketing, and sales systems...

...working capital.

American Track Systems Inc. of Shrewsbury, Pa., is raising \$1 million through a private sale of common stock, according to information provided by the company. Fifteen non-accredit investors have snapped up the offering to raise working capital.

Applelusisi Inc. of Wilmington, Del., is raising \$40,000 through a **private** sale of common stock, according to **information** provided by the company. To date, one accredited investor has snapped up \$5,000 of...

- ...Marinelli Shoes & Accessories Inc. of Coral Gables, Fla., is raising \$2.375 million through the **private** sale of preferred stock, according to **information** provided by the company. The issuer plans to design, develop and market a complete line...
- ...Rock Drilling, L.L.C. of Sedona, Ariz., is raising \$1.197 million through a **private** offering, according to **information** provided by the company. Proceeds from the transaction will be used to drill test and...
- ...gas well.

Ringling Multimedia Corp. of Sarasota, Fla., is raising \$1.5 million through a **private** common stock offering, according to **information** provided by the company. Ringling manufactures proprietary multimedia products and provides services on a for...

...Place Surgery Center Inc. of Charlotte, N.C., is raising \$1.25 million through the **private** sale of common stock, according to **information** provided by the company. Park Place Surgery Center owns and operates an ambulatory surgical center...

...to acquire other businesses.

PowerNet Holding Corp. of Dallas is raising \$1 million through a **private** offering, according to **information** provided by the company. Investors have already snapped up \$25,561 of the offering. Proceeds...

...will be used for working capital. PowerNet is an Internet technology company specializing in the **delivery** of technology to customers. Post N Mail, L.C. of Houston raised \$9 ...and retail sales. Advanced Business Sciences Inc. of Omaha, Neb., is raising \$3.6 million **privately**, according to **information** provided by the **company**. To date, six investors have been attracted to the offering and \$175,000 has been...

...of debt and working capital.

LSC Acquisition Corp. of Chicago raised \$3 million through a **private** sale of common stock, according to **information** provided by the company. The issue attracted four accredited investors and proceeds will be used...

... Moberly, Missouri.

Spy Optic Inc. of Carlsbad, Calif., is raising \$1.5 million through a **private**, offering, according to **information** provided by the company. The issuer is designing, producing and distributing ...the implementation of IndeNet.

Therametrics Inc. of San Diego raised \$3.65 million through a **private** preferred offering, according to **information** provided by the company. The transaction attracted four accredited investors. The biotechnology research and development...

20/3,K/52 (Item 30 from file: 267)
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00033715

Is This a Time To Be Thinking About One-Day Settlement?

### Search Report from Ginger D. Roberts

Investment Dealers' Digest

October 13, 1997 VOL: 63 ISSUE: 41 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: INVESTMENT DEALERS DIGEST

LANGUAGE: ENGLISH WORD COUNT: 2022 RECORD TYPE: FULLTEXT

(c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

#### TEXT:

...learn to more quickly respond to brokers with affirmations that okayed trade messages, IPO prospectus **delivery** conventions had to change, and retail brokers had to be convinced their customers wouldn't...New York Stock Exchange amend its Rule 387, which requires every institutional trade eligible for **delivery** versus payment privileges (DVP) to be processed directly through the Depository Trust Co. (Almost all institutional trades qualify for DVP, which essentially permits securities to be transferred among **buyers** and **sellers** before payments are actually received.)

Private vendors, led by Thomson Financial Services ESG Group, have long argued that they have efficient...is operated by J.P. Morgan, and its Luxembourg-based rival, Cedel, are working on real - time trade processing formats. Since they interface with virtually every market in the world to help bank...

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?show files;ds
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         (c) 2003 ProQuest Info&Learning
     16:Gale Group PROMT(R) 1990-2003/Apr 07
         (c) 2003 The Gale Group
File 148: Gale Group Trade & Industry DB 1976-2003/Apr 07
         (c) 2003 The Gale Group
File 160: Gale Group PROMT(R) 1972-1989
         (c) 1999 The Gale Group
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         (c) 2003 The Gale Group
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       9:Business & Industry(R) Jul/1994-2003/Apr 07
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         (c) 2003 Resp. DB Svcs.
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         (c) 2003 Financial Times Ltd
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         (c) 2003 Business Wire.
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         (c) 2003 PR Newswire Association Inc
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         (c) 2003 McGraw-Hill Co. Inc
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         (c) 2003 San Jose Mercury News
File 636: Gale Group Newsletter DB(TM) 1987-2003/Apr 07
         (c) 2003 The Gale Group
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         (c) 1999 PR Newswire Association Inc
Set
        Items
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S1
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                WHEAT OR FEED OR GRAIN? ? OR COTTON OR RICE OR SOYBEANS OR
S2
      2102905
             OILSEEDS OR PEANUTS OR TOBACCO OR MILK OR FARM() PRODUCT? ?
                SPECIALTY OR FORMULATED OR FORMULATION? OR (NEW OR IMPROVE-
S3
             D) (3N) (PROCESS? OR MANUFACTUR? OR TECHNOLOG? OR INVENTION? OR
             PATENT? ? OR INTELLECTUAL() PROPERTY)
                CHEMICAL? ? OR MATERIAL? ? OR PLASTIC? ? OR COMPOUND? ? OR
S4
             SEALANT? ? OR ADHESIVE? ? OR AGRICULTURAL OR FOOD? ? OR ENERG-
                TRADE OR TRADING OR TRADED OR EXCHANGE OR EXCHANGES OR EXC-
S5
     15458038
             HANGING OR AUCTION? ? OR DYNAMIC() MARKET? OR MARKETPLACE? OR -
             BUY? (5N) SELL? OR BID?
S6
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                (ONLINE? OR ON()LINE? OR CENTRAL OR SERVER? OR DATABASE? OR
S7
      1041274
              DATA()BASE? OR NETWORK? OR INTERNET? OR WEB OR WEBSITE? OR W-
             EBPAGE? OR WEB()SITE? OR WEB()PAGE? OR PORTAL OR ISP OR SERVI-
             CE()PROVIDER OR REAL()TIME? OR REALTIME?)(5N)S5
S8
                CBOT OR CEA
        31212
S9
         7624
                S1(5N)S3
                (IDENTIT? OR NAME? OR DATA OR INFORMATION OR ID OR DELIVER-
S10
      1562836
             Y? OR DELIVERIES? OR SHIPPING? OR CODE OR ADDRESS OR COMPANY -
             OR TRADER? OR BUYER? OR BIDDER? OR S5) (6N) (SECRET? OR PRIVATE?
              OR HIDDEN? OR CONFIDENTIAL?)
                DELIVERY? OR SHIPPING OR MAILING
S11
      3399802
                (S1(5N)S3 OR S1(5N)S4)(3S)(S6 OR S7 OR S8)(3S)S10(3S)S11
S12
           50
S13
          313
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(S1(5N)S3 OR S1(5N)S4)(S)(S6 OR S7 OR S8)

S14

3312

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S14(S)S10(S)S11
S15
         134
                S14(S)S10
S16
S17
         175
                S12 OR S15 OR S16
                S16 NOT S12
S18
          125
                S17 NOT PY>2000
S19
          101
          49
                RD (unique items)
S20
?t20/3,k/all
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20/3,K/1 (Item 1 from file: 15)

DIALOG(R)File 15:ABI/Inform(R)

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02037787 55143401

### Altrade serves as a natural resource

Mullich, Joe

Informationweek n790 PP: 152-154 Jun 12, 2000

ISSN: 8750-6874 JRNL CODE: IWK

WORD COUNT: 1378

...TEXT: first steps toward an energy exchange. "The Williams Co. started the beginning of an energy trading network on the back of their bandwidth private network," Braziel says. "But it was only a trading platform to match buyers and sellers ." In 1996, the Williams Co. network was merged with the provider of its fulfillment software, Duke Energy Corp. in Charlotte, N...

... natural gas use Altrade to enter and pick up orders, and to negotiate deals in **private** chat rooms. **Traders** specify prices based on product type, quantity, timing, and **delivery** locations. Without Altrade, the traders would have to make dozens or even hundreds of phone calls to get the best prices-still the way more than half of the **energy commodity** market operates. In 1999, the average size of a transaction on Altrade was \$150,000 per mouse click.

Transactions are anonymous and made in  ${\tt real}$  time, using a dynamic  ${\tt bid}$  /ask process that works in a fashion similar to a stock or commodity exchange. The...

... million in annual revenue. Altra Energy has developed such applications as risk management, scheduling and **delivery**, and reporting that are used by more than 130 companies. The initial software license fee...

# 20/3,K/2 (Item 2 from file: 15)

DIALOG(R)File 15:ABI/Inform(R)

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02016756 52940279

### Who's who in B-to-B online

Anonymous

Progressive Grocer v79n4 PP: S9, S14+ Apr 2000

ISSN: 0033-0787 JRNL CODE: PGR

WORD COUNT: 3987

...TEXT: for the fresh fruit and juice industries, eFruit reports that its system offers 24hour global trading, a pre-qualified buyer / seller network, and of course, lower administrative costs and higher cost savings with shipping, storage and handling.

Foodtrader.com-More than 3,500 products in over 35 food categoriesranging

... escargot-are represented at this global site. Registered sellers

anonymously post their products and prices online. Buyers view and bid on products through a secure channel on Foodtrader's Web site. The site includes free online resources to shipping lines, customs brokers, trade attorneys, country profiles and more to empower buyers and sellers do...
...This site, which is tailored primarily to farmers and processors, offers members the ability to buy and sell more than 400 agricultural commodities online. The system sorts products by seller, price, location and quantity, among other criteria, which allows...

... Bureau members, however, will continue to receive free membership, a savings of nearly \$475 annually.

Onlineproduce .net-This net market exchange allows its grower, shipper/packer, wholesaler, buyer, broker and retailer members to find or post...

...market reports, weather reports, stock updates, news headlines and more. The site provides growers and **buyers** with **confidential** pricing and inventory **information** and also has a sister auction site, cheaproduce.com, which allows pricing to be set...

20/3,K/3 (Item 3 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)

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01707916 03-58906

Statements to the Congress: Alan Greenspan, July 24, 1998

Greenspan, Alan

Federal Reserve Bulletin v84n9 PP: 742-745 Sep 1998

ISSN: 0014-9209 JRNL CODE: FRS

WORD COUNT: 2539

...TEXT: The vast majority of financial futures traded simply are not as susceptible to manipulation as **agricultural** and other **commodity** futures where supplies are more limited. And participants in financial futures markets are predominantly professionals...

... 1979-80 fiasco in silver demonstrated. The primary source of regulatory effectiveness has always been **private** traders being knowledgeable of their counterparties. Government regulation can only act as a backup. It should...

20/3,K/4 (Item 4 from file: 15)

DIALOG(R)File 15:ABI/Inform(R)

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01707914 03-58904

Statements to the Congress: Laurence H. Meyer, July 16, 1998

Meyer, Laurence H

Federal Reserve Bulletin v84n9 PP: 725-729 Sep 1998

ISSN: 0014-9209 JRNL CODE: FRS

WORD COUNT: 16096

...TEXT: The vast majority of financial futures traded simply are not as susceptible to manipulation as **agricultural** and other **commodity** futures where supplies are more limited. And participants in financial futures markets are predominantly professionals...

... 1979-80 fiasco in silver demonstrated. The primary source of regulatory effectiveness has always been **private** traders being knowledgeable of

their counterparties. Government regulation can only act as a backup. It should...

(Item 5 from file: 15) 20/3,K/5

DIALOG(R)File 15:ABI/Inform(R)

(c) 2003 ProQuest Info&Learning. All rts. reserv.

01346209 99-95605

FTZs: Gateways to Latin America

Thurston, Charles W

Distribution v95n13 PP: 44-46 Dec 1996

ISSN: 1066-8489 JRNL CODE: DWW

WORD COUNT: 1081

...TEXT: says talks have moved slowly.

Argentina will nevertheless forge ahead with plans to develop a network of free trade zones requiring private sector investments estimated at between \$25 million and \$100 million per site, with one in...

... a means of attracting foreign manufacturing investment to the country, which now depends heavily on agricultural commodities for foreign exchange earnings.

One of those planned zones, in Tucuman, will include a new...

(Item 6 from file: 15) 20/3,K/6

DIALOG(R)File 15:ABI/Inform(R)

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00726414 93-75635

A Market Grows in Russia

Klebnikov, Paul

PP: 78-82 Jun 8, 1992 JRNL CODE: FBR Forbes v149n12

ISSN: 0015-6914

WORD COUNT: 2590

... TEXT: of many exchanges and by no means the largest. That title goes to the Russian Commodities & Raw Materials Exchange, housed in the imposing pre-revolutionary exchange building in central Moscow. Under socialism, the building became a post office; now it is restored to its...

...organized as a joint stock company and is owned by several hundred large state and private companies. Like Alysa, the Russian Exchange handles everything from computers to coal, but it boasts much larger shipments and is currently...

... and enforcement, the exchanges fill that role. As clearing agent, the Russian Exchange guarantees the delivery of the product, imposing penalties on the seller if the shipment is either late or...

(Item 1 from file: 16) 20/3,K/7

DIALOG(R) File 16: Gale Group PROMT(R)

(c) 2003 The Gale Group. All rts. reserv.

Supplier Number: 84739008 (USE FORMAT 7 FOR FULLTEXT) www.globalfoodexchange.com. (Dot.coms).(business-to-business marketplace that connects buyers and sellers of food products in six categories) (Brief Article)

Restaurant Business, v99, n10, p228(1)

May 15, 2000

Language: English Record Type: Fulltext

Article Type: Brief Article

Document Type: Magazine/Journal; Trade

Word Count: 73

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...buyers and sellers of food products in six categories: poultry, seafood, produce, meat, dairy and **specialty commodities**. Market information such as market price information, industry trends and inventory demands is provided. A **private network** for **buyers** and **sellers** to **confidentially** negotiate is also offered. Logistical support, online product quality testing, credit checking and financing are...

20/3,K/8 (Item 2 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2003 The Gale Group. All rts. reserv.

09188273 Supplier Number: 61836402 (USE FORMAT 7 FOR FULLTEXT)
WHO'S WHO IN B-TO-B ONLINE. (business to business) (Industry
Overview) (Statistical Data Included)

MAJOR, MEG

Progressive Grocer, v79, n4, p9

April, 2000

Language: English Record Type: Fulltext

Article Type: Industry Overview; Statistical Data Included

Document Type: Magazine/Journal; Trade

Word Count: 2370

- ... the fresh fruit and juice industries, eFruit reports that its system offers 24-hour global **trading**, a pre-qualified **buyer** / **seller network**, and of course, lower administrative costs and higher cost savings with **shipping**, storage and handling.
- \* Foodtrader.com--More than 3,500 products in over 35 food categories...
- ...escargot--are represented at this global site. Registered sellers anonymously post their products and prices online. Buyers view and bid on products through a secure channel on Foodtrader's Web site. The site includes free online resources to shipping lines, customs brokers, trade attorneys, country profiles and more to empower buyers and sellers do...
- ...This site, which is tailored primarily to farmers and processors, offers members the ability to buy and sell more than 400 agricultural commodities online. The system sorts products by seller, price, location and quantity, among other criteria, which allows...
- ...Bureau members, however, will continue to receive free membership, a savings of nearly \$475 annually.
- \* Onlineproduce .net--This net market exchange allows its grower, shipper/packer, wholesaler, buyer, broker and retailer members to find or post...
- ...market reports, weather reports, stock updates, news headlines and more. The site provides growers and buyers with confidential pricing and inventory information and also has a sister auction site, cheaproduce.com, which allows pricing to be set...

20/3,K/9 (Item 3 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2003 The Gale Group. All rts. reserv.

08589195 Supplier Number: 64703412 (USE FORMAT 7 FOR FULLTEXT)
NGFA calls exclusion of futures a 'mistake'. (National Grain and Feed
Association) (Brief Article)

Feedstuffs, v72, n31, p5

July 24, 2000

Language: English Record Type: Fulltext

Article Type: Brief Article

Document Type: Magazine/Journal; Trade

Word Count: 795

... could decline as commercial commodity interests seek lower-cost alternatives to hedge products, including electronic **exchanges**, **private** contracting, agricultural swaps or vertical integration through joint ownership, joint ventures or contracting.

\* Price transparency...

...farmers and commercial firms in agriculture, could be threatened by a long-term decline in **trading** in **central**, open futures markets.

NGFA also recommended Congress and CFTC consider ways to provide greater legal...

... regulatory status of over-the-counter financial instruments.

NGFA noted forward cash contracts that require **delivery** of the underlying commodity have been exempt from CFTC regulation under existing law and CFTC...

20/3,K/10 (Item 4 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2003 The Gale Group. All rts. reserv.

08127201 Supplier Number: 67832198 (USE FORMAT 7 FOR FULLTEXT) Achieving a Web-centric Culture, Inside and Out.

Shelley, Suzanne

Chemical Engineering, v107, n12, p131

Nov, 2000

Language: English Record Type: Fulltext Document Type: Magazine/Journal; Refereed; Trade

Word Count: 2225

... Moai (www. moai.com). That company's LiveExchange platform automates auctions and reverse auctions, letting buyers and sellers negotiate transactions online, in realtime. "This new sales mechanism gives Eastman a quick, secure and direct pipeline to buyers, letting...

...September, ShipChem.com was designed to bring chemical manufacturers and distributors together with freight carriers, **shipping** companies and other transportation providers, third-party logistics planners, and providers of other value-added...

20/3,K/11 (Item 5 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2003 The Gale Group. All rts. reserv.

08126558 Supplier Number: 67722660 (USE FORMAT 7 FOR FULLTEXT) Digital signatures mean better security online . CENICEROS, ROBERTO Business Insurance, v34, p3

Dec 4, 2000

Language: English Record Type: Fulltext

Document Type: Magazine/Journal; Trade

Word Count: 2012

... forms. The public key, whose numerical code is known to more parties than know the **code** for the **private** key, is then used to decrypt and read the document. Even if several people know a public key code, that **information** is not sufficient to derive the **private** key. Therefore, they cannot use their public key information to forge or alter a document...

...digital signatures, Mr. Colton said. The act will also help spur the expected growth of **Internet** -based business **exchange** markets that connect buyers and sellers of **commodities** -such as paper, metals, **chemicals** -or almost anything necessary to run a business or create finished products. Internet-based business...

20/3,K/12 (Item 6 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2003 The Gale Group. All rts. reserv.

08093960 Supplier Number: 67495671 (USE FORMAT 7 FOR FULLTEXT)
CheMatch.com Private Auction Platform Surpasses Previous Record.

Business Wire, p0170

Dec 1, 2000

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 470

HOUSTON--(BUSINESS WIRE)--Dec. 1, 2000

CheMatch.com -- The Global **Trading Network**, a leading **Internet** -based **marketplace** and information resource for buying and selling bulk **commodity chemicals**, **plastics** and fuel products, announced that during the month of November more than 95,000 metric tons of product was transacted through the CheMatch.com **Private Auction** Platform, which represents a 49% increase over the previous monthly record.

Since inception of the...

20/3,K/13 (Item 7 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2003 The Gale Group. All rts. reserv.

08093091 Supplier Number: 67464106 (USE FORMAT 7 FOR FULLTEXT)
getPlastic.com and Moldflow Partner to Give Online Users Powerful Plastics
Simulation and Analysis Capabilities.

PR Newswire, p8581

Nov 30, 2000

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 813

358- 5868.

About getPlastic.com

Founded in 1999, getPlastic.com is the first and only online procurement marketplace designed specifically for engineers and purchasing professionals who locate and buy raw materials for plastic products. getPlastic.com provides buyers with one-stop-shopping access to engineering resins; custom compounds manufactured to specification, and commodity materials - sourced from global suppliers, manufacturers and compounders. An independent third party, getPlastic.com streamlines supply

. . .

...virtually stress-free. getPlastic.com is privately held and funded by Zero Stage Capital and private investors. The company is located at One New England Executive Park, Burlington, MA 01803 and can be reached...

20/3,K/14 (Item 8 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2003 The Gale Group. All rts. reserv.

07912066 Supplier Number: 66160870 (USE FORMAT 7 FOR FULLTEXT)
NewsEdge's Industry-Specific Syndicated Content Enriches B2B Web Sites,
Drives eCommerce and Builds Company Brand.

Business Wire, p2531

Oct 17, 2000

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 1195

... com users.

About getPlastic.com

Founded in 1999, getPlastic.com is the first and only online procurement marketplace designed specifically for engineers and purchasing professionals who locate and buy raw materials for plastic products. getPlastic.com provides buyers with one-stop-shopping access to engineering resins; custom compounds manufactured to specification, and commodity materials - sourced from global suppliers, manufacturers and compounders. An independent third party, getPlastic.com streamlines supply ...

...virtually stress-free. getPlastic.com is privately held and funded by Zero Stage Capital and **private** investors. The **company** is located at One New England Executive Park, Burlington, MA 01803 and can be reached...

20/3,K/15 (Item 9 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2003 The Gale Group. All rts. reserv.

07729774 Supplier Number: 64449504 (USE FORMAT 7 FOR FULLTEXT)
GETPLASTIC.COM EXTENDS GLOBAL REACH OF PLASTICS E-MARKET WITH ASIA PACIFIC
AND EUROPE-MIDDLE EAST-AFRICA GENERAL MANAGERS.

PR Newswire, p0029 August 22, 2000

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 939

... custom formulation.
About getPlastic.com

Founded in 1999, getPlastic.com is the first and only **online** procurement **marketplace** designed specifically for engineers and purchasing professionals who locate and buy raw materials for plastic products. getPlastic.com provides buyers with one-stop-shopping access to engineering resins; custom **compounds** manufactured to specification, and **commodity materials** - sourced from global suppliers, manufacturers and compounders. An independent third party, getPlastic.com streamlines supply

...virtually stress-free. getPlastic.com is privately held and funded by Zero Stage Capital and private investors. The company is located at One

New England Executive Park, Burlington, MA 01803 and can be reached...

20/3,K/16 (Item 10 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
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07648197 Supplier Number: 63746415 (USE FORMAT 7 FOR FULLTEXT)

AgEx.com Announces Custom Trading Site and Services To Help Tomato Growers Recoup Losses Caused by Tri Valley Growers Bankruptcy.

Business Wire, p0238

July 28, 2000

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 476

... www.agex.com or www.tomatoex.com.

Crops listed on the Posting Board can be **bid** upon by purchasers through a neutral, **private** AgEx.com **auction** site. An intervention team led by Richardson has established new business rules to facilitate sales...

...to the Posting Board, the new site includes latest news on global tomato trends, pricing, shipping, current import/export data and field information. Information is compiled by the AgEx.com News...

20/3,K/17 (Item 11 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
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07455702 Supplier Number: 62695110 (USE FORMAT 7 FOR FULLTEXT)

ALTRADE SERVES AS A NATURAL RESOURCE -- A HEAD START AND BIG TRADING VOLUME
GIVE THE NATURAL GAS MARKETPLACE A COMPETITIVE EDGE. CAN IT LAST? (Company Business and Marketing)

Mullich, Joe

InformationWeek, p152

June 12, 2000

Language: English Record Type: Fulltext Abstract

Document Type: Tabloid; General Trade

Word Count: 1362

first steps toward an energy exchange. "The Williams Co. started the beginning of an energy trading network on the back of their bandwidth private network," Braziel says. "But it was only a trading platform to match buyers and sellers." In 1996, the Williams Co. network was merged with the provider of its fulfillment software, Duke Energy Corp. in Charlotte, N...

...natural gas use Altrade to enter and pick up orders, and to negotiate deals in **private** chat rooms. **Traders** specify prices based on product type, quantity, timing, and **delivery** locations. Without Altrade, the traders would have to make dozens or even hundreds of phone calls to get the best prices-still the way more than half of the **energy commodity** market operates. In 1999, the average size of a transaction on Altrade was \$150,000 per mouse click.

Transactions are anonymous and made in **real time**, using a dynamic **bid** /ask process that works in a fashion similar to a stock or commodity exchange. The...

...million in annual revenue. Altra Energy has developed such applications as risk management, scheduling and **delivery**, and reporting that are used by more than 130 companies. The initial software license fee...

20/3,K/18 (Item 12 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
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07276402 Supplier Number: 61761076 (USE FORMAT 7 FOR FULLTEXT)

New Technology Could Make Toronto Hub for Global Electricity Exchange;

Success of "Stress Test" Proves Viability of Internet Futures Platform.

Business Wire, p1496

April 27, 2000

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 509

... the development of risk management products for Ontario's electricity industry."

BetterMarkets Ltd. is a **privately** owned Toronto based **online** commodity- **trading** centre, originally founded as MyBroker.com. BetterMarkets Ltd. combines the strength of some of Canada...

...experts through an affiliation with The Fields Institute for Research in Mathematical Sciences, with an Internet trading model that allows energy users and producers to trade commodities in a real time, high speed, secure environment.

20/3,K/19 (Item 13 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2003 The Gale Group. All rts. reserv.

07235190 Supplier Number: 61581281 (USE FORMAT 7 FOR FULLTEXT)
Usource Approved for Business in Pennsylvania.

Business Wire, p1920

April 19, 2000

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 376

... to build new expertise in competitive energy procurement."

Launched in mid-1999, Usource is an Internet based energy

marketplace that allows large commercial/industrial customers to post
their energy needs for evaluation and bid...

...to buy their electricity and gas. Usource runs on the sophisticated transaction-based software and **energy commodity** Exchange developed and licensed by Enermetrix.com. (http://www.enermetrix.com/), a **privately** held **company** headquartered in Maynard, MA.

Unitil is a public utility holding company with subsidiaries providing electric...

20/3,K/20 (Item 14 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
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07174014 Supplier Number: 61344748 (USE FORMAT 7 FOR FULLTEXT)

Usource Signs Major Industrial Group.

Business Wire, p1307

April 6, 2000

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 423

 $\ldots$  the lowest priced and most reliable sources of energy for its members.

Usource is an **Internet** based energy **marketplace** that allows large commercial/industrial customers to post their energy needs for evaluation and bid...

...to buy their electricity and gas. Usource runs on the sophisticated transaction-based software and **energy commodity** Exchange developed and licensed by Enermetrix.com. (http://www.enermetrix.com/), a **privately** held **company** headquartered in Maynard, MA.

Unitil is a public utility holding company with subsidiaries providing electric...

20/3,K/21 (Item 15 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
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07160152 Supplier Number: 61028658 (USE FORMAT 7 FOR FULLTEXT)

Antonio D. Aguiar Joins Usource Team.

Business Wire, p1241

March 31, 2000

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 336

... Rhode Island, and a M.S. in Finance from Bentley College.

Usource is a live Internet -based marketplace in which suppliers make firm bids against firm commitments by customers to buy their electricity and gas. Usource allows large commercial/industrial customers to post their energy needs on the Internet for evaluation and bid by a large number of pre-qualified suppliers. If the supplier offers a price the

...and conditions, the deal is done. Usource runs on the sophisticated transaction-based software and **energy commodity** Exchange developed and licensed by Enermetrix.com. (www.enermetrix.com), a **privately** held **company** headquartered in Maynard, MA.

Unitil is a public utility holding company with subsidiaries providing electric...

20/3,K/22 (Item 16 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2003 The Gale Group. All rts. reserv.

07131704 Supplier Number: 60583071 (USE FORMAT 7 FOR FULLTEXT) Usource Approved for Business in Maine.

Business Wire, p1559

March 23, 2000

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 387

... energy procurement."

Usource allows large commercial/industrial customers to post their energy needs on the **Internet** for evaluation and **bid** by a large number of pre-qualified suppliers. If the supplier offers a price the...

...to buy their electricity and gas. Usource runs on the sophisticated

transaction-based software and **energy commodity** Exchange developed and licensed by Enermetrix.com. (http://www.enermetrix.com/), a **privately** held **company** headquartered in Maynard, MA.

Unitil is a public utility holding company with subsidiaries providing electric...

20/3,K/23 (Item 17 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
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06623644 Supplier Number: 55707498 (USE FORMAT 7 FOR FULLTEXT)
Huntsman Begins Selling Over the Internet Through e-Chemicals.
PR Newswire, p4672

Sept 9, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 388

... increasing service to our customers. Our customers can order a variety of products and get **shipping** and product information online at their convenience," said Chuck Wegman, distributor marketing for Huntsman Corporation.

About Huntsman Corporation

Huntsman Corporation is the USA's largest **privately** held chemical **company** . Its operating companies manufacture basic products for the world's most essential industries including chemicals...

20/3,K/24 (Item 18 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
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02335294 Supplier Number: 43061007 (USE FORMAT 7 FOR FULLTEXT)

A market grows in Russia

Forbes, p78 June 8, 1992

Language: English Record Type: Fulltext Document Type: Magazine/Journal; General Trade

Word Count: 2609

- ... of many exchanges and by no means the largest. That title goes to the Russian **Commodities** & Raw **Materials** Exchange, housed in the imposing pre-revolutionary **exchange** building in **central** Moscow. Under socialism, the building became a post office; now it is restored to its...
- ...organized as a joint stock company and is owned by several hundred large state and **private** companies. Like Alysa, the Russian **Exchange** handles everything from computers to coal, but it boasts much larger shipments and is currently...
- ...and enforcement, the exchanges fill that role. As clearing agent, the Russian Exchange guarantees the **delivery** of the product, imposing penalties on the seller if the shipment is either late or...

20/3,K/25 (Item 19 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
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01459910 Supplier Number: 41761524

The exchange structure for defence industries enterprises (VPK exchange) is

being created in the USSR.

Menedzher, p3

Number 7, 1991 Language: Russian; NONENGLISH Record Type: Abstract

Document Type: Magazine/Journal; Trade

### ABSTRACT:

The exchange structure for defence industries enterprises (VPK exchange) is being created by the Russia Commodity and Raw Material Exchange (RTSB) in cooperation with the Central Research Institute on Machinery (TsNIIMASH) in Kaliningrad, Moscow region. It is expected that the new...

...a special division of the Business News Agency (AEN). All operations provided inside the VPK exchange 'will be secret and information on trade and commodity will be unavailable to the public. Those commodities that may be sold to...

20/3,K/26 (Item 1 from file: 148) DIALOG(R) File 148: Gale Group Trade & Industry DB

(c) 2003 The Gale Group. All rts. reserv.

13393408 SUPPLIER NUMBER: 69066727 (USE FORMAT 7 OR 9 FOR FULL TEXT) Notes on Current Labor Statistics. (Statistical Data Included)

Monthly Labor Review, 123, 10, 48

Oct, 2000

DOCUMENT TYPE: Statistical Data Included ISSN: 0098-1818

LANGUAGE: English RECORD TYPE: Fulltext WORD COUNT: 27301 LINE COUNT: 08883

Labor Statistics, the Departments of Commerce, Interior, and Agriculture, the Federal Reserve Board, regulatory agencies, associations, and other sources.

For most industries, the productivity indexes refer to the output 146.2 147.4 per...146.1

> Food and beverages 165.9 166.6 166.8

Commodities less food 134.4 134.0 135.7

and beverages Nondurables less food and

> 140.9... beverages

(Item 2 from file: 148) 20/3,K/27

DIALOG(R) File 148: Gale Group Trade & Industry DB (c) 2003 The Gale Group. All rts. reserv.

12436425 SUPPLIER NUMBER: 63817050 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Electronic trading: big bang and beyond.

Stavros, Richard

Public Utilities Fortnightly (1994), 138, 14, S24

July 15, 2000

RECORD TYPE: Fulltext LANGUAGE: English LINE COUNT: 00270 WORD COUNT: 3348

markets," he says.

But in Altra's financial basis swap market that has no physical delivery , Braziel says he is working with the CFTC to avoid being regulated as a futures...

... of a wide range of standardized physical commodity contracts.

The Player's Platform: Too Risky?

Internet -based transaction systems to buy or sell directly with

one energy company energy -related products and other commodities were made popular with the roll-out of EnronOnline.

But although the one-company approach...

20/3,K/28 (Item 3 from file: 148)

DIALOG(R) File 148: Gale Group Trade & Industry DB (c) 2003 The Gale Group. All rts. reserv.

SUPPLIER NUMBER: 61641943 (USE FORMAT 7 OR 9 FOR FULL TEXT)

CheMatch goes public. (Brief Article)

Chemistry and Industry, 232 March 20, 2000

DOCUMENT TYPE: Brief Article ISSN: 0009-3068 LANGUAGE: English

RECORD TYPE: Fulltext

WORD COUNT: 138 LINE COUNT: 00016

The first interactive, real -time trading system to allow confidential transactions in bulk commodity chemicals on the Internet is going public. The sale of shares in CheMatch.com is expected...

20/3,K/29 (Item 4 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB (c) 2003 The Gale Group. All rts. reserv.

10546158 SUPPLIER NUMBER: 21154133 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Statements to the Congress. (Federal Reserve Board)

Federal Reserve Bulletin, v84, n9, p725(21)

Sept, 1998 ISSN: 0014-9209 LANGUAGE: English RECORD TYPE: Fulltext; Abstract WORD COUNT: 17255 LINE COUNT: 01419

The vast majority of financial futures traded simply are not as susceptible to manipulation as agricultural and other commodity futures where supplies are more limited. And participants in financial futures markets are predominantly professionals...

...1979-80 fiasco in silver demonstrated. The primary source of regulatory effectiveness has always been private traders being knowledgeable of their counterparties. Government regulation can only act as a backup. It should...

20/3,K/30 (Item 5 from file: 148)

DIALOG(R) File 148: Gale Group Trade & Industry DB (c) 2003 The Gale Group. All rts. reserv.

SUPPLIER NUMBER: 19445666 (USE FORMAT 7 OR 9 FOR FULL TEXT) What do the futures hold? (food industry's futures speculation) (includes related article on the 1996 Freedom to Farm Act)

Dwyer, Steve

Prepared Foods, v166, n3, p17(3)

March, 1997

ISSN: 0747-2536 LANGUAGE: English RECORD TYPE: Fulltext; Abstract WORD COUNT: 1510 LINE COUNT: 00122

we have no control over," Green got involved with the Chicago Board of Trade's ( CBOT ) commodity price risk management program. Green asserts that in that time the company has benefited...

...ingredient costs, an expense that typically represents the largest cost

portion of many foods.

The CBOT offers futures and options contracts on commodities frequently used in food manufacturing - corn, oats, wheat, soybeans, soybean oil, soybean meal and rice. With these contracts, food...

...risk of rising price levels through a practice commonly referred to as hedging.

Green, whose privately -held company buys ingredients like corn starch and fructose for use in the manufacturing of such products...

...through a certain period of time. We acquire contracts for the 'physical' oil and begin shipping to our customers immediately. We then hedge the equivalent of that purchase against the exchange...

...on the physical oil, we make it on the short position." Since it's a privately -held company, Green wouldn't divulge the financials related to its hedging activity. However, he did add...

...car or truck of (vegetable) oil was shipped until it arrived at our facilities."

Trading CBOT futures is also an invaluable instrument for Van Den Bergh Foods Co., Lisle, Ill. "It...

...watch. The normally staid and predictable soybean market is exploding. Sovbean futures prices for March delivery jumped 57 cents a bushel, or 8.3%, so far this year while golden corn...

(Item 6 from file: 148) 20/3,K/31 DIALOG(R) File 148: Gale Group Trade & Industry DB (c) 2003 The Gale Group. All rts. reserv.

(USE FORMAT 7 OR 9 FOR FULL TEXT) SUPPLIER NUMBER: 11866708 05792091 The M&A Rosters; third quarter 1991. Mergers & Acquisitions, 26, n4, 65(65) Jan-Feb, 1992

RECORD TYPE: FULLTEXT LANGUAGE: ENGLISH ISSN: 0026-0010 104170 LINE COUNT: 10201 WORD COUNT:

others; telecommunications products, ranging from voice instruments to complex switching and transmissions systems; computers and data networking products and systems; and components for high technology products and services. These services and...

(Item 7 from file: 148) 20/3,K/32 DIALOG(R) File 148: Gale Group Trade & Industry DB (c)2003 The Gale Group. All rts. reserv.

(USE FORMAT 7 OR 9 FOR FULL TEXT) SUPPLIER NUMBER: 10615190 Free foreign exchange market launched. (Egypt) (includes other news briefs) MEED Middle East Economic Digest, v35, n9, p18(2) March 8, 1991

RECORD TYPE: FULLTEXT LANGUAGE: ENGLISH ISSN: 0047-7230

LINE COUNT: 00040 WORD COUNT: 536

from tourism and remittances have been drastically reduced because of the Gulf crisis. However, the central bank has considerable foreign exchange reserves to call on, and is expected to sell dollars on the secondary market to stabilise the exchange rate. Private -sector importers have also been able to tap into a European Investment Bank credit line administered by Commerzbank to purchase non- food commodities from

Europe. According to the most recent IMF data foreign exchange reserves stood at \$1...

20/3,K/33 (Item 1 from file: 160)
DIALOG(R)File 160:Gale Group PROMT(R)
(c) 1999 The Gale Group. All rts. reserv.

01541047

Daily news service on Japanese technology. INFORMATION HOTLINE November, 1986 p. 3

...news service that provides information on technological, industrial, and economic trends in Japan. COMLINE contains information from government and private publications, trade journals, data bases, and TV. The information provided in the service is transmitted every weekday into English and...

...Chemicals and Materials, Biotechnology and Medical, Economy and Finance, and New Products; and WEEKLY REPORT (Commodities, Automobiles, Best Selling Products, Energy, New Business, and Life Styles).

20/3,K/34 (Item 1 from file: 9)
DIALOG(R)File 9:Business & Industry(R)
(c) 2003 Resp. DB Svcs. All rts. reserv.

02986785 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Achieving a Web-centric Culture, Inside and Out (Eastman Chemical, with sales of almost \$4.6 bil in 1999, expects online revenue to make up 30% of its sales by 2003)

Chemical Engineering, v 107, n 12, p 131+

November 2000

DOCUMENT TYPE: Journal ISSN: 0009-2460 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1999

(USE FORMAT 7 OR 9 FOR FULLTEXT)

### TEXT:

...Moai (www.moai.com). That company's LiveExchange platform automates auctions and reverse auctions, letting **buyers** and **sellers** negotiate transactions **online**, in **realtime**. "This new sales mechanism gives Eastman a quick, secure and direct pipeline to buyers, letting...

...September, ShipChem.com was designed to bring chemical manufacturers and distributors together with freight carriers, **shipping** companies and other transportation providers, third-party logistics planners, and providers of other value-added...

20/3,K/35 (Item 2 from file: 9)
DIALOG(R)File 9:Business & Industry(R)
(c) 2003 Resp. DB Svcs. All rts. reserv.

02899733

Licensed exchange

(SourcingDirect.com, the cash commodity agribusiness exchange for feed, food and petfood ingredients, granted a license to Pasternak Baum)
Pet Food Industry, v 42, n 8, p 67+
August 2000

DOCUMENT TYPE: Journal ISSN: 0031-6245 (United States)

LANGUAGE: English RECORD TYPE: Abstract

#### ABSTRACT:

The cash commodity agribusiness exchange for feed, food and petfood ingredients, SourcingDirect.com (Eden Prairie, MN) recently announced that it has granted a license to Pasternak, Baum & Co Inc (Greenwich, CT) for a private -label version of its exchange. Pasternak is a top cash commodity brokerage firm for all grains in the domestic as...

...foreign market. Per the terms of the private-label licensing pact, Pasternak will meld the **exchange** to its **website** along with other SourcingDirect.com services. The site is set to be on line for...

20/3,K/36 (Item 3 from file: 9)
DIALOG(R)File 9:Business & Industry(R)
(c) 2003 Resp. DB Svcs. All rts. reserv.

02826350 (USE FORMAT 7 OR 9 FOR FULLTEXT)

ALTRADE SERVES AS A NATURAL RESOURCE -- A HEAD START AND BIG TRADING VOLUME GIVE THE NATURAL GAS MARKETPLACE A COMPETITIVE EDGE. CAN IT LAST? (Altrade, an online trading exchange for the natural gas, gas liquids, and electrical power industries, processed \$9 bil in transactions for energy products in 1999)

Information Week, p 152

June 12, 2000

DOCUMENT TYPE: Journal ISSN: 8750-6874 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1321

(USE FORMAT 7 OR 9 FOR FULLTEXT)

### TEXT:

...first steps toward an energy exchange. "The Williams Co. started the beginning of an energy trading network on the back of their bandwidth private network," Braziel says. "But it was only a trading platform to match buyers and sellers." In 1996, the Williams Co. network was merged with the provider of its fulfillment software, Duke Energy Corp. in Charlotte, N...

...natural gas use Altrade to enter and pick up orders, and to negotiate deals in **private** chat rooms. **Traders** specify prices based on product type, quantity, timing, and **delivery** locations. Without Altrade, the traders would have to make dozens or even hundreds of phone calls to get the best prices-still the way more than half of the **energy commodity** market operates. In 1999, the average size of a transaction on Altrade was \$150,000 per mouse click.

Transactions are anonymous and made in **real time**, using a dynamic **bid** /ask process that works in a fashion similar to a stock or commodity exchange. The...

...million in annual revenue. Altra Energy has developed such applications as risk management, scheduling and **delivery**, and reporting that are used by more than 130 companies. The initial software license fee...

20/3,K/37 (Item 4 from file: 9)
DIALOG(R)File 9:Business & Industry(R)
(c) 2003 Resp. DB Svcs. All rts. reserv.

01700802 (USE FORMAT 7 OR 9 FOR FULLTEXT)

FTZs: Gateways to LATIN AMERICA

(Latin America's Free Trade Zones trade estimated \$40-60 bil/yr in goods; Mercosur members will add 57 FTZs in next few years)

Distribution, v 95, n 13, p 44+

December 1996

DOCUMENT TYPE: Journal ISSN: 1057-9710 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1389

(USE FORMAT 7 OR 9 FOR FULLTEXT)

### TEXT:

...says talks have moved slowly.

Argentina will nevertheless forge ahead with plans to develop a **network** of free **trade** zones requiring **private** sector investments estimated at between \$25 million and \$100 million per site, with one in...

...a means of attracting foreign manufacturing investment to the country, which now depends heavily on **agricultural commodities** for foreign exchange earnings.

One of those planned zones, in Tucuman, will include a new...

20/3,K/38 (Item 1 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2003 The Dialog Corp. All rts. reserv.

13235546 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Commodinent completes first on line trade
Mohd R. Jamaludin
BUSINESS TIMES (MALAYSIA)
October 11, 2000

JOURNAL CODE: FBTM LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 287

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... with few other parties to support the transaction needs of its members, such as providing **shipping**, credit settlement and insurance services through a network of Internet-enabled third-party service providers...

20/3,K/39 (Item 2 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2003 The Dialog Corp. All rts. reserv.

12990140 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Venture Market East 2000 to Host Local Presenting Companies With Headline Sponsors

PR NEWSWIRE

September 25, 2000

JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 1168

... storing and exchanging contact information digitally. -PLmarket.com, Shelton, Conn. -- provides a business-to-business
marketplace focused on private label retailers, wholesalers,
manufacturers, and service providers in the food, drug, and mass market
industry...

... services, and technology solutions to companies with Web sites. —
Veritas Medicine, Cambridge, Mass. — operates an online exchange to
recruit patients for clinical trials. Patients will be able to fill out a
confidential questionnaire containing basic information about their
medical condition and will be sent a targeted list of clinical trials for

... and routers. -- Waybid, New York -- provides technologies that allow sellers to syndicate listings across a **network** of business-to-business **online marketplaces** . -- ZIPTRAN, Boston -- provides **real time** credit and finance services to business-to-business exchanges.

20/3,K/40 (Item 3 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2003 The Dialog Corp. All rts. reserv.

11920581 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Collectivebid.Com Signs Agreement With Bettermarkets Ltd.: Commodity Trader BetterMarkets Ltd. to Benefit From Customized B2B on-line Auctioning Software

CCN DISCLOSURE June 26, 2000

JOURNAL CODE: WCCN LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 569

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... owned Toronto based online commodity-trading center. The company facilitates on-line trading in all **commodities** including electricity, **plastics** and financial products. Earlier this year, the company created the **trading** platform, Voltz to handle **online trading** when Ontario's electricity market opens this fall. With VoltZ, when a bid or offer...

... The Fields Institute for Research in Mathematical Sciences at the University of Toronto), with an **Internet trading** model that allows energy users and producers to **trade** commodities in a **real time**, high speed, secure environment.

"We are very excited about the increased flexibility that Collectivebid.com...

20/3,K/41 (Item 4 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2003 The Dialog Corp. All rts. reserv.

11680967 (USE FORMAT 7 OR 9 FOR FULLTEXT)

(BW) Collectivebid.Com Signs Agreement With Bettermarkets Ltd.: Commodity Trader BetterMarkets Ltd. to Benefit From Customized B2B on-line Auctioning Software

BUSINESS WIRE June 26, 2000

JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 587

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... owned Toronto based online commodity-trading center. The company facilitates on-line trading in all **commodities** including electricity, **plastics** and financial products. Earlier this year, the company created the **trading** platform, Voltz to handle **online trading** when Ontario's electricity market opens this fall. With VoltZ, when a bid or offer...

 $\dots$  The Fields Institute for Research in Mathematical Sciences at the University of Toronto), with an Internet trading model that allows energy users and producers to trade commodities in a real time , high speed, secure environment.

excited about the increased flexibility that "We are very Collectivebid.com...

20/3,K/42 (Item 5 from file: 20) DIALOG(R)File 20:Dialog Global Reporter (c) 2003 The Dialog Corp. All rts. reserv.

11483828 (USE FORMAT 7 OR 9 FOR FULLTEXT)

getPlastic.com Opens First E-Market to Help Plastics Processors Procure High-Performance Resins, Create Custom Compounds

PR NEWSWIRE

June 13, 2000

JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 1223

(USE FORMAT 7 OR 9 FOR FULLTEXT)

virtually stress-free. getPlastic.com is privately held and funded by Zero Stage Capital and private investors. The company is located at One New England Executive Park, Burlington, MA 01803 and can be reached...

20/3,K/43 (Item 6 from file: 20) DIALOG(R) File 20: Dialog Global Reporter (c) 2003 The Dialog Corp. All rts. reserv.

10764033 (USE FORMAT 7 OR 9 FOR FULLTEXT)

New technology could make Toronto hub for global exchange CANADA NEWSWIRE

April 27, 2000

JOURNAL CODE: WCNW LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT:

(USE FORMAT 7 OR 9 FOR FULLTEXT)

The Fields Institute for Research in Mathematical Sciences, with an Internet trading model that allows energy users and producers to trade commodities in a real time , high speed, secure environment.
/For further information: contact: Scott McIndless, BetterMarkets

Ltd., (416) 361-6377...

20/3,K/44 (Item 7 from file: 20) DIALOG(R) File 20: Dialog Global Reporter (c) 2003 The Dialog Corp. All rts. reserv.

10607245 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Usource Bookings Up 100% in Q1 2000

BUSINESS WIRE

April 17, 2000

JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE:

WORD COUNT: 426

... and energy commodity Exchange developed and licensed by Enermetrix.com. (http://www.enermetrix.com/), a privately held company headquartered in Maynard, MA.

20/3,K/45 (Item 8 from file: 20)
DIALOG(R)File 20:Dialog Global Reporter
(c) 2003 The Dialog Corp. All rts. reserv.

10271390 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Usource Signs New York Housing Authority - Posts 6 BCF Natural Gas Requirement

BUSINESS WIRE

March 27, 2000

JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 451

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... and energy commodity Exchange developed and licensed by Enermetrix.com. (http://www.enermetrix.com/), a privately held company headquartered in Maynard, MA.

Unitil is a public utility holding company with subsidiaries providing electric...

20/3,K/46 (Item 1 from file: 610)

DIALOG(R) File 610: Business Wire

(c) 2003 Business Wire. All rts. reserv.

00386949 20.001017291B3925 (USE FORMAT 7 FOR FULLTEXT)

NewsEdge's Industry-Specific Syndicated Content Enriches B2B Web Sites, Drives eCommerce and Builds Company Brand-SalesLobby.com, getPlastic.com and Manufacturing.Net Leverage NewsEdge's eTopics to Drive eCommerce

Business Wire Tuesday, October 17, 2000 10:35 EDT

JOURNAL CODE: BW LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

DOCUMENT TYPE: NEWSWIRE

WORD COUNT: 1,169

...com users.

About getPlastic.com

Founded in 1999, getPlastic.com is the first and only **online** procurement **marketplace** designed specifically for engineers and purchasing professionals

who locate and buy raw materials for plastic products.  $\operatorname{getPlastic.com}$  provides

buyers with one-stop-shopping access to engineering resins; custom compounds

manufactured to specification, and **commodity materials** - sourced from global

suppliers, manufacturers and compounders. An independent third party, getPlastic.com streamlines supply...

...virtually stress-free.

getPlastic.com is privately held and funded by Zero Stage Capital and
private

investors. The **company** is located at One New England Executive Park, Burlington, MA 01803 and can be reached...

20/3,K/47 (Item 2 from file: 610) DIALOG(R)File 610:Business Wire (c) 2003 Business Wire. All rts. reserv.

00247011 20000403094B7166 (USE FORMAT 7 FOR FULLTEXT)

ContractorHub.com Launches Comprehensive E-Commerce Exchange to Streamline Procurement of Construction Materials and Services for the \$725 Billion US Construction Market

Business Wire

Monday, April 3, 2000 09:51 EDT

JOURNAL CODE: BW LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

DOCUMENT TYPE: NEWSWIRE

WORD COUNT: 1,136

 $\dots$ ContractorHub.com allows contractors and suppliers to negotiate competitive

pricing and issue online payment for **materials** , **commodity** or specified items

and services using the Trade Acceptance Draft (TAD(TM)) Program from Actrade...

...instantly transmits their requests to either a national network of suppliers, or to their own **private** procurement **network** . Responding

suppliers dispatch their **bids** through ContractorHub.com where contractors can

review and sort the results. Once an order is...

## · 20/3,K/48 (Item 1 from file: 613)

DIALOG(R) File 613: PR Newswire

(c) 2003 PR Newswire Association Inc. All rts. reserv.

00310949 20000412HSW019 (USE FORMAT 7 FOR FULLTEXT)

Idapta Signs on First Four B2b Emarket Makers to Use Market/Exchange(TM) And Commerce O/S(TM) to Power Transactions Within Their Vertical Marketplaces

PR Newswire

Wednesday, April 12, 2000 08:35 EDT

JOURNAL CODE: PR LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

DOCUMENT TYPE: NEWSWIRE

WORD COUNT: 1,302

...manage and scale their e-commerce marketplaces.

About FuelSpot.com

FuelSpot.com is the premier  $\mbox{trading}$ ,  $\mbox{exchange}$ , and  $\mbox{e-commerce}$   $\mbox{portal}$  for

the energy products industry. The **Web site** is an independent **trading** /supply

platform that combines integrated logistics, back-office systems, and e-commerce for use by...

...and exchange crude and refined oil products, natural gas, coal and uranium; participate in an **online auction** for capital equipment,

products and services; and access targeted content, including real-time pricing data...

...sellers of high-value food products in key categories: poultry, seafood, produce, meat, dairy, and **specialty commodities**. For more information call 404-872-4489 or visit http://www.GlobalFoodExchange.com .

About TireDex...

...international, full-service business-to-business wine commodity exchange. This new and unique service for buying and selling

fine wines will utilize the **Internet** to create a 24/7 global "stock market"

with an unparalleled range of fine wine. Members will have access to up-to-date market information and an international **shipping**, storage and distribution network.

About Idapta

Idapta, Inc., formerly known as Intelligent/Digital, provides enterprise-level software platforms and trading applications on which B2B eMarkets can build and operate Internet -based, real - time marketplaces for

their buying and selling communities. Founded ...is a C-Corporation, registered in Delaware, with headquarters in Atlanta, Georgia. Idapta is a privately held company with funding worth \$35 million

from @Ventures, the affiliated venture capital arm of CMGI, Inc. (Nasdaq: CMGI), SAP and other  $\ \ \,$  private investors. For more  $\ \ \,$  information , visit

http://www.idapta.com .

SOURCE Idapta, Inc.

CONTACT: Kerrin Roberts of Ketchum for Idapta...

20/3,K/49 (Item 1 from file: 624)
DIALOG(R)File 624:McGraw-Hill Publications
(c) 2003 McGraw-Hill Co. Inc. All rts. reserv.

01117614

# Asia's Internet Deficit: The Net is transforming the West, but companies in the East lag behind

Business Week October 23, 2000; Pg 62; Number 3704 Journal Code: BW ISSN: 0007-7135

Section Heading: Business Week International Editions: Business Week e.biz:

SPECIAL REPORT: B2B

Word Count: 2,498 \*Full text available in Formats 5, 7 and 9\*

BYLINE:

by KEN BELSON

Contributors: Bruce Einhorn in Hong Kong, Moon Ihlwan in Seoul, Manjeet Kripalani in Bombay, Michael Shari in Singapore and Alysha Webb in Shanghai

## TEXT:

... e-commerce. Its hundreds of large manufacturers are keen to automate production of their chips, chemicals, and steel-- commodity -style products well-suited to trading online. Asia's conglomerates also have ready-made networks of companies that share financing and infrastructure... buyers find chips during shortages, and another to organize customer bids by date, price, and delivery date. Although the suppliers registered on the site are largely Asian, the majority of the...

```
File 256:SoftBase:Reviews, Companies&Prods. 82-2003/Mar
         (c) 2003 Info. Sources Inc
Set
        Items
                Description
S1
          377
                COMMODITY OR COMMODITIES
S2
          376
                WHEAT OR FEED OR GRAIN? ? OR COTTON OR RICE OR SOYBEANS OR
             OILSEEDS OR PEANUTS OR TOBACCO OR MILK OR FARM() PRODUCT? ?
S3
         2945
                SPECIALTY OR FORMULATED OR FORMULATION? OR (NEW OR IMPROVE-
             D) (3N) (PROCESS? OR MANUFACTUR? OR TECHNOLOG? OR INVENTION? OR
             PATENT? ? OR INTELLECTUAL() PROPERTY)
                CHEMICAL? ? OR MATERIAL? ? OR PLASTIC? ? OR COMPOUND? ? OR
S4
             SEALANT? ? OR ADHESIVE? ? OR AGRICULTURAL OR FOOD? ? OR ENERG-
S5
         8985
                TRADE OR TRADING OR TRADED OR EXCHANGE OR EXCHANGES OR EXC-
             HANGING OR AUCTION? ? OR DYNAMIC() MARKET? OR MARKETPLACE? OR -
             BUY? (5N) SELL? OR BID?
          467
                E()S5
S6
         3192
S7
                (ONLINE? OR ON()LINE? OR CENTRAL OR SERVER? OR DATABASE? OR
              DATA()BASE? OR NETWORK? OR INTERNET? OR WEB OR WEBSITE? OR W-
             EBPAGE? OR WEB()SITE? OR WEB()PAGE? OR PORTAL OR ISP OR SERVI-
             CE()PROVIDER OR REAL()TIME? OR REALTIME?) (5N)S5
S8
                CBOT OR CEA
S9
            1
                S1(5N)S3
         1384
S10
                (IDENTIT? OR NAME? OR DATA OR INFORMATION OR ID OR DELIVER-
             Y? OR DELIVERIES? OR SHIPPING? OR CODE OR ADDRESS OR COMPANY -
             OR TRADER? OR BUYER? OR BIDDER? OR S5) (6N) (SECRET? OR PRIVATE?
              OR HIDDEN? OR CONFIDENTIAL?)
S11
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S12
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            0
                (S1(5N)S3 OR S1(5N)S4)(2S)(S6 OR S7 OR S8)(2S)S10
S13
S14
            9
                (S1(5N)S3 OR S1(5N)S4)(S)(S6 OR S7 OR S8)
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S15
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            0
                S14(S)S10
S16
            0
                S12 OR S15 OR S16
S17
S18
            0
                S16 NOT S12
            0
S19
                S17 NOT PY>2000
            0
S20
                RD (unique items)
S21
           7
                S14 NOT PY>2000
            4
                RD (unique items)
S22
?t22/3,k/all
```

## 22/3,K/1

?show files;ds

DIALOG(R) File 256: SoftBase: Reviews, Companies & Prods. (c) 2003 Info. Sources Inc. All rts. reserv.

00126484 DOCUMENT TYPE: Review

PRODUCT NAMES: B2B Marketplaces (842338); Chemical Industry (831794)

TITLE: Evolution Of B2B Species: Second-generation marketplaces tackle...

AUTHOR: Wilson, Tim

SOURCE: InternetWeek, v831 pl(2) Oct 2, 2000

ISSN: 0746-8121

HOMEPAGE: http://www.internetwk.com

RECORD TYPE: Review

REVIEW TYPE: Product Analysis GRADE: Product Analysis, No Rating

REVISION DATE: 20010430

...already have contractual relationships, and will allow members to can access many partners, including other exchanges, through one Web site. However, unlike the majority of about 700 other B2B e - marketplaces, Envera will offer no spot purchasing. The exchange, says Robert Mooney, CEO of Envera, differs...

...to one expert, three separate types of chemicals exchanges are emerging: traditional exchanges that emphasize commodity materials, supply chain focused exchanges, and e - marketplaces.

## 22/3,K/2

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods. (c)2003 Info.Sources Inc. All rts. reserv.

00125268 DOCUMENT TYPE: Review

PRODUCT NAMES: B2B Marketplaces (842338); Futures (830263)

TITLE: Online exchanges mounting challenges

AUTHOR: Krueger, Diane

SOURCE: Futures, v29 n5 p12(2) May 2000

ISSN: 0746-2468

HOMEPAGE: http://www.futuresmag.com

RECORD TYPE: Review

REVIEW TYPE: Product Analysis GRADE: Product Analysis, No Rating

REVISION DATE: 20011030

Futures exchanges face increasing pressure from Internet traders after FutureCom received permission from the Commodity Futures Trading Commission to become the first Internet -based U.S. futures exchange. Other companies are also looking at Internet trading. For instance, FutureCom, which is owned by the Texas Beef Group, will first offer cash... ... a better business model for derivative products to graders, and the Internet provided us the network to delivery the trading platform... The combination of these two developments...make(s) for a very compelling trading platform...

 $\dots$ SG Investment Banking, and Totalfina Elf Group) will also begin the Intercontinental Exchange for trading <code>energy</code>, metal, and other <code>commodities</code> .

## 22/3,K/3

DIALOG(R) File 256: SoftBase: Reviews, Companies & Prods. (c) 2003 Info. Sources Inc. All rts. reserv.

00119849 DOCUMENT TYPE: Review

PRODUCT NAMES: AltraNet.com (774227); VerticalNet (774171); Chemdex (774235); CheMatch.com (774219)

TITLE: Trading Hubs Gain Respect

AUTHOR: Girishankar, Saroja

SOURCE: InternetWeek, v787 p44(3) Nov 1, 1999

ISSN: 0746-8121

HOMEPAGE: http://www.internetwk.com

RECORD TYPE: Review

REVIEW TYPE: Product Analysis

GRADE: Product Analysis, No Rating

REVISION DATE: 20020722

A discussion of trading hubs looks at such successes as that of AltraNet, an online broker and trading hub for the energy industry. AltraNet, says Rusty Braziel, founder and chairman of parent Altra...
...and helping buyers and sellers to match each others' needs. The analyst, a specialist in online business trading hubs, says his original expectation of a thousand hubs by 2002 will be surpassed, since...

...trading exchanges. For instance, CheMatch is an auction platform and electronic stock market for trading **commodity chemicals**. Among buyers and sellers on the site are the top 50 in the industry, including...

## 22/3,K/4

DIALOG(R) File 256:SoftBase:Reviews,Companies&Prods. (c) 2003 Info.Sources Inc. All rts. reserv.

00118235 DOCUMENT TYPE: Review

PRODUCT NAMES: Supply Chain Management (833444)

TITLE: We Must Never Break the Chain

AUTHOR: Ritter, David

SOURCE: Intelligent Enterprise, v2 n9 p68(3) Jun 22, 1999

ISSN: 1524-3621

HOMEPAGE: http://www.intelligententerprise.com

RECORD TYPE: Review

REVIEW TYPE: Product Analysis GRADE: Product Analysis, No Rating

REVISION DATE: 20001230

...but e-channels are really creating new markets for intermediaries. Among the more intriguing are **e - marketplaces**. **Internet** market developers and **exchange** managers are emerging in large numbers. They perform many services, including information **exchange**, **online** communities, spot **bidding** in **online auctions**, full service procurement, and inventory management. The **e - marketplace** can level the playing field, and has the potential to allow all suppliers to compete...

...direct materials can be divided into highly specific components, custom parts manufactured to specification, and **commodity** parts and **materials**. Supply chain automation employs technology to lower the number of, or eliminate altogether, the manual...

```
?show files;ds
File 13:BAMP 2003/Mar W4
         (c) 2003 Resp. DB Svcs.
      75:TGG Management Contents(R) 86-2003/Mar W5
         (c) 2003 The Gale Group
Set
        Items
                Description
S1
        14301
                COMMODITY OR COMMODITIES
S2
        16447
                WHEAT OR FEED OR GRAIN? ? OR COTTON OR RICE OR SOYBEANS OR
             OILSEEDS OR PEANUTS OR TOBACCO OR MILK OR FARM() PRODUCT? ?
        55325
                SPECIALTY OR FORMULATED OR FORMULATION? OR (NEW OR IMPROVE-
S3
             D) (3N) (PROCESS? OR MANUFACTUR? OR TECHNOLOG? OR INVENTION? OR
             PATENT? ? OR INTELLECTUAL() PROPERTY)
.S4
                CHEMICAL? ? OR MATERIAL? ? OR PLASTIC? ? OR COMPOUND? ? OR
             SEALANT? ? OR ADHESIVE? ? OR AGRICULTURAL OR FOOD? ? OR ENERG-
                TRADE OR TRADING OR TRADED OR EXCHANGE OR EXCHANGES OR EXC-
S5
       124281
             HANGING OR AUCTION? ? OR DYNAMIC() MARKET? OR MARKETPLACE? OR -
             BUY? (5N) SELL? OR BID?
         1655
                E()S5
S6
        13209
                (ONLINE? OR ON()LINE? OR CENTRAL OR SERVER? OR DATABASE? OR
S7
              DATA()BASE? OR NETWORK? OR INTERNET? OR WEB OR WEBSITE? OR W-
             EBPAGE? OR WEB()SITE? OR WEB()PAGE? OR PORTAL OR ISP OR SERVI-
             CE()PROVIDER OR REAL()TIME? OR REALTIME?)(5N)S5
S8
          284
                CBOT OR CEA
59
          112
                S1(5N)S3
S10
        17853
                (IDENTIT? OR NAME? OR DATA OR INFORMATION OR ID OR DELIVER-
             Y? OR DELIVERIES? OR SHIPPING? OR CODE OR ADDRESS OR COMPANY -
             OR TRADER? OR BUYER? OR BIDDER? OR S5) (6N) (SECRET? OR PRIVATE?
              OR HIDDEN? OR CONFIDENTIAL?)
S11
        60890
                DELIVERY? OR SHIPPING OR MAILING
S12
                (S1(5N)S3 OR S1(5N)S4)(3S)(S6 OR S7 OR S8)(3S)S10(3S)S11
            3
            G
S13
                (S1(5N)S3 OR S1(5N)S4)(2S)(S6 OR S7 OR S8)(2S)S10
           49
S14
                (S1(5N)S3 OR S1(5N)S4)(S)(S6 OR S7 OR S8)
S15
            0
                S14(S)S10(S)S11
                S14(S)S10
S16
            1
S17
            4
                S12 OR S15 OR S16
S18
            1
                S16 NOT S12
                S17 NOT PY>2000
S19
            3
            3
S20
                RD (unique items)
S21
           29
                S14 NOT PY>2000
           28
S22
                RD (unique items)
           54
S23
                S12:S22
           33
                S23 NOT PY>2000
S24
           32
S25
                RD (unique items)
?t25/3,k/all
 25/3,K/1
              (Item 1 from file: 13)
DIALOG(R)File
              13:BAMP
(c) 2003 Resp. DB Svcs. All rts. reserv.
01191627
                    02706095 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Getting the Most from Online Auctions
(To develop online industrial auctions that are successful, participants
   need a thorough understanding of what is being bought and sold as well
   as a firm grasp of the capabilities that are offered by potential
   suppliers; identifying an opportunity is one of 4 steps to executing a
   successful reverse auction)
Article Author(s): Bockman, Ed
ePlant, n 3, p 32-34
September 2000
```

DOCUMENT TYPE: Journal; Guideline (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 2459

TEXT: ...Inc.

Sparked by the rapid growth of consumer-oriented sites like eBay, Yahoo, Amazon.com, Internet auctions have become all the rage. Unfortunately, buying industrial goods and services online is not as... ...a Beanie Baby. Creating successful online markets for direct (also called strategic or mission-critical) materials, commodities and services involves more than just posting your needs on the Internet and watching the bids flow in.

(Item 2 from file: 13) 25/3,K/2

DIALOG(R)File 13:BAMP

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02696897 (USE FORMAT 7 OR 9 FOR FULLTEXT) 01189748

## B2Bs Go Bust -- Some exchanges are falling to competition and flawed business models

(According to InformationWeek survey, more than 25% of respondents whose companies do not take part in an E-marketplace say it is because there is no business case for joining one; 23% say there is no clear return on investment)

Information Week, p 22

December 11, 2000

DOCUMENT TYPE: Journal; Survey ISSN: 8750-6874 (United States) LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1617

(USE FORMAT 7 OR 9 FOR FULLTEXT)

...and gloom for the independents. ChemConnect and Altra Energy are carving out niches by providing commodity exchanges for trading chemicals and energy, respectively; they operate like a stock exchange used by traders that may not ever take possession of the products. E marketplaces such as DoveBid and SalvageSale.com fill another niche, providing auctions for used, excess, or ...

25/3,K/3 (Item 3 from file: 13)

DIALOG(R) File 13:BAMP

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02704151 (USE FORMAT 7 OR 9 FOR FULLTEXT) 01189698

## Digital signatures mean better security online

(It is anticipated that the Electronic Signatures in Global and National Commerce Act, the main purpose of which is to encourage secure Internet-based commerce, will facilitate a multi-trillion dollar boom in online-based business transactions)

Article Author(s): Ceniceros, Roberto Business Insurance, v 34, p 3

December 04, 2000

DOCUMENT TYPE: Journal ISSN: 0007-6864 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1837

(USE FORMAT 7 OR 9 FOR FULLTEXT)

### TEXT:

...digital signatures, Mr. Colton said. The act will also help spur the expected growth of Internet -based business exchange markets that connect buyers and sellers of commodities -such as paper, metals, chemicals -or almost anything necessary to run a business or create finished products.

Internet-based business...

25/3,K/4 (Item 4 from file: 13)

DIALOG(R) File 13:BAMP

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## Project Finance Meets E-Commerce

(Electronic commerce can play a significant role in project finance, starting with the request for proposal stage, where those seeking financing can attract a wider market, through the project management stage)

Article Author(s): McKenna, John J; Saiger, Jonathan S; Dhungel, Himesh Journal of Project Finance, v 6, n 3, p 15-18

Fall 2000

DOCUMENT TYPE: Journal ISSN: 1082-3220 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 2251

(USE FORMAT 7 OR 9 FOR FULLTEXT)

#### ጥፑሂጥ •

...gas industry through its industry partners, Aquila Energy TotalfinaElf, Royal Dutch Shell, and BP, this Internet exchange includes among its partners banks and an exchange company to create an over-the-counter exchange for energy, metals, and other commodity products. Whether this model can survive in the B2B shakeout will be determined by how...

25/3,K/5 (Item 5 from file: 13)

DIALOG(R) File 13:BAMP

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01185912 02668641 (USE FORMAT 7 OR 9 FOR FULLTEXT)

## Enron Surges Into E-Markets

(Enron is still developing its online trading system, even after having logged nearly \$200 bil in only one year)

Article Author(s): Preston, Robert; Koller, Mike

Information Week, p 93+

November 06, 2000

DOCUMENT TYPE: Journal; Case study ISSN: 8750-6874 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 2755

(USE FORMAT 7 OR 9 FOR FULLTEXT)

## TEXT:

...In April, the company created a 1,500-employee incubator, Enron Net Works, to explore **E** - **marketplace** opportunities in data storage, logistics services, **agricultural** products, and any other **commodity** that's potentially tradable. Skilling sees data storage, in particular, as a "classic" commodity.

"You...

25/3,K/6 (Item 6 from file: 13)

DIALOG(R) File 13:BAMP

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01181390 02596652 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Chemical companies use E-commerce to cut costs and enhance supply chains -- Efficiency Is New Byword

(The chemical industry is focusing on information technology and e-commerce to cut costs and improve supply chain management)

Article Author(s): Whiting, Rick Information Week, n 803, p 141-150

September 11, 2000

DOCUMENT TYPE: Journal ISSN: 8750-6874 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 2413

(USE FORMAT 7 OR 9 FOR FULLTEXT)

## TEXT:

...based busi- ness-to-business market-places are themselves a response to the rise of **online** venture capital-backed **exchanges** such as CheMatch .com Inc. and ChemConnect Inc. They have captured a larger share of the spot-purchase **chemical** market, particularly for **commodity chemicals** where buyers don't want to be locked into long-term contracts, says George Young...

...at Millennium Chemicals Inc., a Red Bank, N.J., company that makes a variety of **commodity**, industrial, and **specialty chemicals**. He questioned, for example, whether **online exchanges** can resolve conflicts in the different Extensible Markup Language standards on which they're basing...

25/3,K/7 (Item 7 from file: 13)

DIALOG(R)File 13:BAMP

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01181348 02596664 (USE FORMAT 7 OR 9 FOR FULLTEXT)

IT is critical for energy companies that want to expand their reach -- Information: The Most Valuable Asset

(Amerada Hess is among other energy companies that has discovered that its information is possibly more valuable than the oil and gas resources it has at its disposal)

Article Author(s): Sweat, Jeff Information Week, n 803, p 213-220

September 11, 2000

DOCUMENT TYPE: Journal ISSN: 8750-6874 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 2516

(USE FORMAT 7 OR 9 FOR FULLTEXT)

## TEXT

...energy company stepping away from the physical is Enron Corp., which has set up an **online exchange buying** and **selling energy** as a **commodity**. With that model, customers need never physically receive the oil or gas; they can buy...

25/3,K/8 (Item 8 from file: 13) DIALOG(R)File 13:BAMP (c) 2003 Resp. DB Svcs. All rts. reserv.

01179808 02585307 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Buying Chemicals Online

(Companies in the chemical process industries are increasingly realizing that eprocurement can reduce costs)

Article Author(s): Shanley, Agnes; Fouhy, Ken

ePlant, v 1, p 8-11

May 2000

DOCUMENT TYPE: Journal (United States)
LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 2356

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...Online exchanges (One buyer, many sellers)

CheMatch (www.chematch.com, see story, p. 36)

Summary: Online exchange for large volumes of commodity chemicals; recently added plastics. Benzene accounts for more than half the trades. Plans an IPO this quarter. Services: Logistics...

...000

Fees: 0.1 cents/gal.

ChemConnect (www.chemconnect.com, see story, p. 34)

Summary: Online exchange offering a variety of commodity and specialty chemicals

Services: Offers online testing capability, customized newsfeed and interactive bidding; plans to offer ERP, logistics...

25/3,K/9 (Item 9 from file: 13)

DIALOG(R) File 13:BAMP

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01175769 02546021 (USE FORMAT 7 OR 9 FOR FULLTEXT)

The next step for e-markets

(As the business-to-business (B2B) e-commerce market evolves, B2B markets that want to reach their full potential must offer capabilities in such areas as up-front contract negotiation; pricing adjustments;

pass-through discounts; substitutes and upgrades; and credit memos)

Article Author(s): Cahn, David M

Manufacturing Systems, v 18, n 7, p 26

July 2000

DOCUMENT TYPE: Journal ISSN: 0748-948x (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 722

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...the ability to provide different prices to different buyers, or to offer volume discounts.

Eventually, e - marketplaces will become key channels for procurement of

non- commodity goods and services (i.e., materials used in manufacturing). These direct materials have unpredictable replenishment requirements and highly complex pricing arrangements. But until e-marketplaces successfully address the intricacies of direct materials procurement, many sellers will continue to view e...

25/3,K/10 (Item 10 from file: 13) DIALOG(R)File 13:BAMP (c) 2003 Resp. DB Svcs. All rts. reserv. 01174006 02547437 (USE FORMAT 7 OR 9 FOR FULLTEXT) Online auctions are catching on (Online auctions offer opportunity for both manufacturers and distributors with excess and obsolete inventory) Industrial Distribution, v 89, n 8, p 21 August 01, 2000 DOCUMENT TYPE: Journal ISSN: 0019-8153 (United States) LANGUAGE: English RECORD TYPE: Fulltext WORD COUNT: 626 (USE FORMAT 7 OR 9 FOR FULLTEXT) ...than 4,000 suppliers participated in the B2B marketplace run by FreeMarkets, Inc., which includes online auctions for industrial parts, raw materials, commodities and services. The firm created auctions for more than \$2.7 billion in goods last... 25/3,K/11 (Item 11 from file: 13) DIALOG(R)File 13:BAMP (c) 2003 Resp. DB Svcs. All rts. reserv. 01173017 .02505787 Power Move (HoustonStreet Exchange and Enermetrix.com were developed as online energy commodity exchanges that serve as good models for e-commerce Article Author(s): Schwartz, Karen D CIO, v 13, n 18, p 128-130+ July 01, 2000 DOCUMENT TYPE: Journal; Case study ISSN: 0894-9301 (United States) LANGUAGE: English RECORD TYPE: Abstract (HoustonStreet Exchange and Enermetrix.com were developed as online energy commodity exchanges that serve as good models for e-commerce ABSTRACT: HoustonStreet Exchange and Enermetrix.com were developed as online

HoustonStreet Exchange and Enermetrix.com were developed as **online energy commodity exchanges** that serve as good models for e-commerce.
These two Internet companies are making significant...

...attention of the energy community and continue to enhance the scope and functionality of their **Web sites**. They function as **online trading exchanges** for the energy industry but do so from opposite ends of the market. HoustonStreet.com...

25/3,K/12 (Item 12 from file: 13) DIALOG(R)File 13:BAMP (c) 2003 Resp. DB Svcs. All rts. reserv.

01170381 02490087 (USE FORMAT 7 OR 9 FOR FULLTEXT)

New Paradigm for Trade Credit: On-Line Marketplaces Introduce Third-Party Lenders, Market Pricing

(The rapid growth of on-line marketplaces, also called hubs and exchanges, is forcing change on the inefficient traditional US model for providing trade credit)

Article Author(s): Gamble, Richard H Business Credit, v 102, n 6, p 30-34

June 2000

DOCUMENT TYPE: Journal ISSN: 0897-0181 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 4723

(USE FORMAT 7 OR 9 FOR FULLTEXT)

### TEXT:

...from dot-com land--like Eastman Chemical Co., Kingsport, TN. Eastman has begun to use on - line exchanges, both selling and buying commodity chemicals on ChemConnect, but that exchange still sends parties off-line for settlement and fulfillment, reports...

25/3,K/13 (Item 13 from file: 13)

DIALOG(R) File 13:BAMP

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01170227 02489462 (USE FORMAT 7 OR 9 FOR FULLTEXT)

## Altrade Serves as a Natural Resource

(Altrade, a trading exchange for natural gas, has the competitive edge in the market because of its traffic volume and quick entrance into the market, but many wonder if this success will continue)

Article Author(s): Mullich, Joe

Information Week, p 152,154

June 12, 2000

DOCUMENT TYPE: Journal ISSN: 8750-6874 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1360

(USE FORMAT 7 OR 9 FOR FULLTEXT)

## TEXT:

...first steps toward an energy exchange. "The Williams Co. started the beginning of an energy trading network on the back of their bandwidth private network," Braziel says. "But it was only a trading platform to match buyers and sellers " In 1996, the Williams Co. network was merged with the provider of its fulfillment software, Duke Energy Corp. in Charlotte, N...

...natural gas use Altrade to enter and pick up orders, and to negotiate deals in **private** chat rooms. **Traders** specify prices based on product type, quantity, timing, and **delivery** locations. Without Altrade, the traders would have te make dozens or even hundreds of phone calls to get the best prices—still the way more than half of the **energy commodity** market operates. In 1999, the average size of a transaction on Altrade was \$150,000 per mouse click.

Transactions are anonymous and made in **real time**, using a dynamic **bid** /ask process that works in a fashion similar to a stock or commodity exchange. The...

...million in annual revenue. Altra Energy has developed such applications

as risk management, scheduling and **delivery**, and reporting that are used by more than 130 companies. The initial software license foe...subsidiary of BayCorp Holdings Ltd. in Portsmouth, N.H., was launched in July as an **online trading** platform that lets power traders buy and sell wholesale electricity. In December, EnronOnline, another Internet-based transaction system for wholesale **energy** and other **commodities**, went online.

But AMR's Parker Says Altrade's early entry into the market gives...

25/3,K/14 (Item 14 from file: 13)

DIALOG(R) File 13:BAMP

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01169727 02508852 (USE FORMAT 7 OR 9 FOR FULLTEXT)

E-Procurement: Chemicals: E-market makers scramble to add value for buyers (Chemical suppliers are ramping up e-commerce sites, and within the next 3-5 yrs, up to 15% of \$1.4 tril chemical industry will be marketed via

Internet exchanges; discusses new value-added services)

Article Author(s): Reilly, Christopher

Purchasing, v 128, n 10, p S79-S90

June 15, 2000

DOCUMENT TYPE: Journal ISSN: 0033-4448 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 3834

(USE FORMAT 7 OR 9 FOR FULLTEXT)

### TEXT:

...led to some changing strategies and refining focuses among virtual suppliers.

While the most successful **online exchanges** to date have concentrated on auctioning of **commodity chemicals** in large volumes, many of the larger dot.coms, such as ChemConnect and CheMatch.com, have added new chemicals to their product portfolios for **online trading**.

At the same time, some new chemical exchanges are springing up to fill in gaps...

25/3,K/15 (Item 15 from file: 13)

DIALOG(R) File 13:BAMP

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01168477 02477003 (USE FORMAT 7 OR 9 FOR FULLTEXT)

DuPont's solution: downplay dot-coms

(Its alliances with dot-coms seemingly a thing of the past, DuPont e-business efforts are now focusing on alliances with old-line corporations)

Article Author(s): Callahan, Sean

BtoB, v 85, p 1,34

May 22, 2000

DOCUMENT TYPE: Journal ISSN: 1087-948X (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1226

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...1, Minority equity DuPont uses site mainly
1999 investment in to procure bulk

to be functional by the

end of...

	CheMatch.com	commodity chemicals
Jan. 24,	Alliance with Ventro	An e - marketplace for
2000	Corp. to create	procurement of pumps
	Industria Solutions	and other fluid
	portal	processing
2000	with Internet Capita	l assessing ventures in
	Group to invest in and	various verticals
create <b>e - marketplaces</b>		
March 1,	Forms consortium with	Rooster.com went online
2000	Cenex Harvest States	in May; transaction
	Cooperative and	capability expected
	Cargill	later this year
April 5,	Forms consortium with	E - marketplace expected
2000	Dow, BASF and other	to go online Oct. 1
	thermoplastic	
•	producers	
May 11		
buying and		
2000	investment in	selling used equipment
	AssetTrade.com	
May 17,	Forms consortium with	<b>E - marketplace</b> expected

25/3,K/16 (Item 16 from file: 13)

DIALOG(R) File 13:BAMP

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01166108 02456623 (USE FORMAT 7 OR 9 FOR FULLTEXT)

## Rolling Out the Strategies of Chemical Dot-Coms

BP Amoco, Dow and

other chemical

(With increasingly varied offerings, new players are entering bulk chemical e-commerce; supply chain streamlining, auctions, and exchanges are among the business models being adopted)

Article Author(s): Boswell, Clay

Chemical Market Reporter, v 257, n 16, p FR17-FR19

April 17, 2000

DOCUMENT TYPE: Journal ISSN: 1092-0110 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 2622

(USE FORMAT 7 OR 9 FOR FULLTEXT)

## TEXT:

2000

...a company spokesperson. OneChem.com offers customizable internet "StoreFronts" and other transaction management tools. 1st **Chemical** Market facilitates trading in **commodities**, specialties and fine **chemicals**. The related 1st Polymer Market, now integrated with PlasticsPlatform, offers trading in polymers, as does...

...Institute (MRI). A scientist there, frustrated with his search for outsourcing partners, suggested that an **online exchange** would improve the process; ChemSource is the result. For a fixed membership fee, ChemSource allows...

...selection of a winning bid. "We have built in a fairly extensive ability to do **confidentiality** agreements with anyone who wants to **bid**," notes Bill Duncan, CEO of ChemSource. "Plus, buyers can specify suppliers, if they like, and...

25/3,K/17 (Item 17 from file: 13)

DIALOG(R) File 13: BAMP

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01158306 02374420 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Businesses Seek To Cut Weak Links From Supply Chains

(Companies are changing how they produce, move, and market products as a result of improved technology and new business models)

Article Author(s): Democker, Judy

Information Week, p 141, 144+

March 06, 2000

DOCUMENT TYPE: Journal ISSN: 8750-6874 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 1678

(USE FORMAT 7 OR 9 FOR FULLTEXT)

### TEXT:

...based software from Ariba, Commerce One, 12, and Manugistics, companies are beginning to explore using **trading** hubs-- **online marketplaces** such as Altra Energy Systems, Chemdex, and e-Steel that let businesses buy **commodity** goods such as bulk **chemicals**, metals, and power more efficiently.

DaimlerChrysler, Ford, and General Motors are looking to portals to...

25/3,K/18 (Item 18 from file: 13)

DIALOG(R) File 13:BAMP

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01149687 02273758 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Producers, third-party exchanges gear up for the online buy

(Whether chemical buyers are Internet savvy or note, no one can deny the potential benefits in efficiency, global reach and reduced costs that the Internet offers chemical purchasing professionals)

Article Author(s): Reilly, Christopher

Purchasing, v 127, n 9, p S13, S14+

December 16, 1999

DOCUMENT TYPE: Journal ISSN: 0033-4448 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 3806

(USE FORMAT 7 OR 9 FOR FULLTEXT)

## TEXT:

 $\ldots$ small regional distributor to a national distributor," he says. "It's exciting."

George Werlang, a chemical commodities trader with Voest Alpine, an Austrian international commodities trading house, based in Houston, Texas, has used CheMatch's real - time exchange format to source a wide range of industrial chemicals and products for the pharmaceutical, feed...

25/3,K/19 (Item 19 from file: 13)

DIALOG(R) File 13: BAMP

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01147518 02264835 (USE FORMAT 7 OR 9 FOR FULLTEXT)

brand and trust on the Internet

(There are a number of chemical manufacturers that are building their

## Search Report from Ginger D. Roberts

brands through their Web sites by giving out company financial reports, environmental audits, and community projects)

Article Author(s): Moran, Nuala

Chemical Week Buyers' Guide and Industry Almanac, p S14,S15

November 1999

DOCUMENT TYPE: Journal ISSN: 0009-272X (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 1385

(USE FORMAT 7 OR 9 FOR FULLTEXT)

### TEXT

...parlance, the goal is to establish 'stickiness.'

Buyers are already gravitating to new services like **online auctions** and brokerages. Rather than traditional one-to-one links, **online auctions** and **exchanges** offer **buyers** and **sellers** an opportunity to efficiently trade chemicals. They also offer a gateway to any number of... ... around the world. ChemConnect, for example, is one of the players attempting to create an **online exchange**. It recently announced the launch of the World Chemical Exchange, a service tailored to large-scale **commodity chemical** transactions. Companies listed with the service place notices for the chemicals they want to buy...

...and offer process to large-scale chemicals trading.

But how important will brand be in trading commodity chemicals on the Internet? Bartels argues that online auctions need to operate like stock markets, where the names of those buying and selling are protected. "To be successful online auctions must be anonymous because companies don't want their competitors to know what is happening...

25/3,K/20 (Item 20 from file: 13)
DIALOG(R)File 13:BAMP
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01143853 02248249 (USE FORMAT 7 OR 9 FOR FULLTEXT)

E-commerce is expanding -- slowly, mostly in chemicals, Part 2 of 2 (Although a number of producers, distributors and third-party marketplaces have the Web sites to make it possible, business-to-business commerce in industrial commodities has been slow to catch on)

Article Author(s): Stundza, tom Purchasing, v 127, n 6, p S14-S29

October 21, 1999

DOCUMENT TYPE: Journal ISSN: 0033-4448 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 2162

(USE FORMAT 7 OR 9 FOR FULLTEXT)

## TEXT:

...terpenes, thermal fluids, and thickeners.

By contrast, CheMatch bills itself as "the first anonymous interactive Internet -based trading exchange for bulk commodity chemicals."

This Web site brings buyers and sellers of standard bulk commodity chemicals together to engage in real-time confidential trades. "This makes it possible to execute significantly...

25/3,K/21 (Item 21 from file: 13)

DIALOG(R) File 13:BAMP

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01139955 02157824 (USE FORMAT 7 OR 9 FOR FULLTEXT)

## New Ways to Reach Customers

(Suppliers should make sure that they receive certain things from their E-market providers; these things include average order size, average price of product, and amount of product sold)

Article Author(s): Taylor, Dave; Terhune, Alyse

Information Week, p 377-378

September 27, 1999

DOCUMENT TYPE: Journal ISSN: 8750-6874 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 1362

(USE FORMAT 7 OR 9 FOR FULLTEXT)

### TEXT:

...customer-centric enterprise, then a direct Internet sales channel is preferable to the multisupplier neutral marketplace model.

While the E-market **Web** outlet promises the faint of heart part of the sell-direct mystique--namely, a relatively cheap sales channel, probably for **commodities** or excess **material** --it does not offer manufacturers much cloth from which to refashion the enterprise and customer...

25/3,K/22 (Item 22 from file: 13)

DIALOG(R) File 13:BAMP

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01133245 02099080 (USE FORMAT 7 OR 9 FOR FULLTEXT)

## Personal Preference

(Choosing between online information provider is basically a matter of preference as they are somewhat indistinguishable in terms of service and price; article offers buyer's guide)

Article Author(s): Moules, Jonathan

Treasury & Risk Management, v 9, n 6, p 45-47

August 1999

DOCUMENT TYPE: Journal ISSN: 1067-0432 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 2452

(USE FORMAT 7 OR 9 FOR FULLTEXT)

## TEXT:

...593-5682 forwards, cross rates, domestic

www.reuters.com instruments and rate fixes. News on

energy , commodities and fixed-income

equities. Access to Reuters

Financial Television through Reuters

Web. Supports real-time...

...and PR

Week.

PC or any computer connected to an Internet browser.

Newsline, which covers 6,000 newspapers, magazines and trade journals

in 17
languages,
including The

New York Times, El Pais and The Economist.

Dow...

25/3,K/23 (Item 23 from file: 13)

DIALOG(R) File 13:BAMP

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01125622 02019472 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Financing the Deal

(It is important for companies to first do research and put together a plan before asking a lender for money)

Article Author(s): Earle, Neil World Trade, v 12, n 7, p 44-48

July 1999

DOCUMENT TYPE: Journal ISSN: 1054-8637 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 1832

(USE FORMAT 7 OR 9 FOR FULLTEXT)

## TEXT:

...of Commerce. The TIC identifies government programs that can support your particular product and provides information about both public and private financing alternatives. The Foreign Agricultural Service (FAS), part of the US Department of Agriculture, is still another resource that employs specialists who help companies market agricultural commodities specifically. Individual state government agencies also furnish international trade and commerce data. In California, a... ... quantum leaps' around the stifling red tape and bureaucracy that can bedevil overseas ventures."

The **private** sector also provides valuable **information** to companies that want to enter the global **marketplace**. A quick search of the **Internet** lists thousands of references, including many companies that offer international assistance programs. Dun & Bradstreet is...

25/3,K/24 (Item 24 from file: 13)

DIALOG(R) File 13:BAMP

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01125142 02015178

## Bid Business

(The World Wide Web has had a significant impact on the auctioneering industry)

Article Author(s): Fickel, Louise CIO WebBusiness, v 12, n 16, p 46-48+

June 01, 1999

DOCUMENT TYPE: Journal ISSN: 0894-9301 (United States)

LANGUAGE: English RECORD TYPE: Abstract

## ABSTRACT:

...to experience a new way of selling and buying products should consider logging on to online auction sites. Online auctions are very similar to live auctions; the only difference is that the former is done via the Internet. In most online auctions, buyers log on an auction site and enter their bid for a particular product. Buyers can view the bids of...

...the products. Commodity auctions are like independent auctions only the products sold are quickly moving commodities such as energy and utilities, and are done at the last minute. Meanwhile, in private auctions, businesses offer their products to a seller through auction sites that they themselves established. Article includes the perspectives of buyers and sellers on online auctions.

(Item 25 from file: 13) 25/3,K/25 DIALOG(R) File 13:BAMP (c) 2003 Resp. DB Svcs. All rts. reserv. 01626292 (USE FORMAT 7 OR 9 FOR FULLTEXT) 01093827 Fighting Derivatives Software Fatigue (As derivatives systems become cheaper, easier to buy, they also grow harder to integrate and keep current) Article Author(s): Goff, John Treasury & Risk Management Technology Buyers' Guide, v 8, n 6, p 47-49 DOCUMENT TYPE: Journal ISSN: 1067-0432 (United States) LANGUAGE: English RECORD TYPE: Fulltext; Abstract WORD COUNT: 4139 (USE FORMAT 7 OR 9 FOR FULLTEXT) TEXT: ...fxpress.com www.fxpress.com From \$39,000 annual license fee. **FXpress** An integrated foreign- exchange exposure management system. Real - time data feed. Emphasis on helping the end user determine its foreign-exchange exposure. Strong counterparty...the complete risk management work-flow from transaction processing to decision support. Principal components include real - time derivatives trading; a global, multibook, multicurrency trading and risk management system; and a limit manager, which helps... ...vanilla and exotic instruments: interest rates and fixed income, equity, equity convertibles, foreign exchange and commodities . (Credit and energy derivatives pricing packages were recently released.) Monis XL is available as Excel add-in, a... 25/3,K/26 (Item 26 from file: 13) 13:BAMP DIALOG(R)File (c) 2003 Resp. DB Svcs. All rts. reserv. 01093826 01626291 (USE FORMAT 7 OR 9 FOR FULLTEXT) Time to Get Real? (Treasurers are now debating whether they need historical or real-time data online) Article Author(s): Jovin, Ellen Treasury & Risk Management Technology Buyers' Guide, v 8, n 6, p 41 DOCUMENT TYPE: Journal ISSN: 1067-0432 (United States) LANGUAGE: English RECORD TYPE: Fulltext; Abstract WORD COUNT: 2177

(USE FORMAT 7 OR 9 FOR FULLTEXT)

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K2

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PC; Microsoft Windows NT; TCP/IP...

...prices. Coverage of U.S.

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forwards, cross rates, domestic instruments, rate fixes. News on **commodities**, **energy**, fixed-income, equities. Historical data. Analytics cover deposits, foreign exchange, futures, FRAs, interest-rate swaps...

25/3,K/27 (Item 27 from file: 13)

DIALOG(R) File 13:BAMP

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01078551 01432289 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Satisfying 25,000 users

(Cargill unified its e-mail system so it could move data more quickly between all its locations in more than 65 countries worldwide)
Communications News, v 35, n 4, p 29

April 1998

DOCUMENT TYPE: Journal ISSN: 0010-3632 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 691

## **ABSTRACT:**

Cargill (Minnetonka, MN), a merchandiser, processor, and distributor of agricultural and industrial commodities, combined cc:Mail Unix e-mail client software with OpenMail servers to provide secure messaging...

...of problems. E-mail is essential to the global operations of Cargill, especially its commodities **trading** business which requires **real** - **time** information on the movement of shipments. The continuous availability of messaging services is assured through...

25/3,K/28 (Item 28 from file: 13)

DIALOG(R) File 13: BAMP

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01026246 00832966 (USE FORMAT 7 OR 9 FOR FULLTEXT)

FTZs: Gateways to LATIN AMERICA

(A range on new trade agreements has a number of Latin American nations on the FTZ bandwagon, as a way to improve regional distribution)

Article Author(s): Thurston, Charles W

Distribution, p 44-46

December 1996

DOCUMENT TYPE: Journal ISSN: 1057-9710 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 1355

(USE FORMAT 7 OR 9 FOR FULLTEXT)

### TEXT

...says talks have moved slowly.

Argentina will nevertheless forge ahead with plans to develop a **network** of free **trade** zones requiting **private** sector investments estimated at between \$25 million and \$100 million per site, with one in...

...a means of

attracting foreign manufacturing investment to the country, which now depends heavily on agricultural commodities for foreign exchange earnings.

One of those planned zones, in Tucuman, will include a new...

25/3,K/29 (Item 29 from file: 13)

DIALOG(R) File 13:BAMP

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01011948 00800688 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Materials Recycling and Reverse Channel Networks: The Public Policy Challenge; Part 2 of 3 Parts

(For reverse channel networks to play a role in shifting to the routine use of recyclables, public policy and private initiatives must interact)

Article Author(s): Allen, Jeff; Fuller, Donald A; Glaser, Mark

Journal of Macromarketing, v 16, n 1, p 52-72

Spring 1996

DOCUMENT TYPE: Journal ISSN: 0276-1467 (United States)

LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 4462

(USE FORMAT 7 OR 9 FOR FULLTEXT)

## TEXT:

...also involves setting up a network of commodities inspectors to verify product quality. Initial recyclables/ commodities to be traded include plastics and glass (Rabasca 1994a).

Examples of state and local partnering with private industry are also...

25/3,K/30 (Item 1 from file: 75)

DIALOG(R)File 75:TGG Management Contents(R)

(c) 2003 The Gale Group. All rts. reserv.

00236234 SUPPLIER NUMBER: 62982910 (USE FORMAT 7 FOR FULL TEXT)

Pandora's browser. (Brief Article)

Institutional Investor, 34, 5, 24

May, 2000

DOCUMENT TYPE: Brief Article ISSN: 0020-3580 LANGUAGE: English

RECORD TYPE: Fulltext

WORD COUNT: 1717 LINE COUNT: 00130

that we live in a rapidly commoditizing world. At McKinsey I did a lot of commodities -trading work for energy -related clients. I learned that you can make money in commodities only if you have...

...these industrial inputs.

How did FreeMarkets come out of that?

At GE we used a **private network** to create **online auctions** for the first time in September 1994. But it became immediately clear that technology alone...

...a drawing, like in typical purchasing, and say to a few suppliers, "Give me a bid ." FreeMarkets created a very deep database of suppliers and a field force to look at plants and get quality ratings on...

25/3,K/31 (Item 2 from file: 75)

DIALOG(R) File 75:TGG Management Contents(R)

(c) 2003 The Gale Group. All rts. reserv.

00212949 SUPPLIER NUMBER: 20899031 (USE FORMAT 7 FOR FULL TEXT)

Is there a future for futures? (exchanges)

Clow, Robert

Institutional Investor, v32, n6, p53(1)

June, 1998

ISSN: 0020-3580 LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 3733 LINE COUNT: 00296

... still have a strong hand to play. For one thing, less liquid markets, such as agricultural and commodities futures, are less likely to be replaced by screen-based trading. And exchanges continue to...

...on the retail side of the market. Exchange chiefs remain adamantly optimistic. "I believe the CBOT will continue to grow in volume," says Arbor. "We have made a very important decision...

25/3,K/32 (Item 3 from file: 75)

DIALOG(R)File 75:TGG Management Contents(R)

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00201334 SUPPLIER NUMBER: 19622269 (USE FORMAT 7 FOR FULL TEXT)

The case (for) (against) (for) (against) regulation of derivatives markets: 150 years of experience provide a framework for decision.

Greenspan, Alan

The Journal of Lending & Credit Risk Management, v79, n9, p38(11)

May, 1997

ISSN: 0021-986X LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 4604 LINE COUNT: 00389

... on exchanges other than those designated as contract markets by the Secretary of Agriculture. The **Secretary** was permitted to so designate an **exchange** only if certain conditions were met. These included the establishment of procedures for recordkeeping and...

...to be executed off of the regulated exchanges; it explicitly excluded forward contracts for the **delivery** of grain from the exchange-trading

requirement. Forward contracts were essentially defined as contracts for future **delivery** to which farmers or farm interests were counterparties or in which the seller, if not...

...The next major piece of federal legislation affecting futures regulation was the Commodity Exchange Act ( CEA ) of 1936. As in the case of the Grain Futures Act, an important objective of the CEA was to discourage forms of speculation that were seen as exacerbating price volatility. In addition, the CEA introduced provisions designed primarily to protect small investors in commodity futures, whose participation had been...

...that is, futures brokers, and for the segregation of customer funds from FCM funds. The CEA also expanded the coverage of futures regulation to cover contracts for cotton, rice, and certain...

...fundamental changes in the objectives of derivatives regulation. However, it expanded the scope of the CEA quite significantly. In addition to creating the Commodity Futures Trading Commission (CFTC) as an independent...

...giving the CFTC exclusive jurisdiction over commodity futures and options, the 1974 amendments expanded the CEA 's definition of "commodity" beyond a specific list of agricultural commodities to include "all other goods and articles, except onions, . . and all services, rights, and interests in which contracts for future delivery are presently or in the future dealt in."

In one respect, this was sweeping deregulation...change brought a tremendous range of off-exchange transactions potentially within the scope of the CEA . In particular, it could be interpreted to extend the broad prohibition on off-exchange trading...